

*Joint CTEQ Meeting and POETIC 7 (7th International Conference on
Physics Opportunities at an Electron-Ion-Collider)
Temple University, Philadelphia, November 14th 2016*

Nuclear PDFs at an EIC

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Contents:

1. Introduction.

2. Present status.

3. Impact of LHC pPb data.

4. Electron-ion colliders:

- Framework.

- The EIC.

- The LHeC/FCC-he.

- Deuteron.

5. Conclusions.

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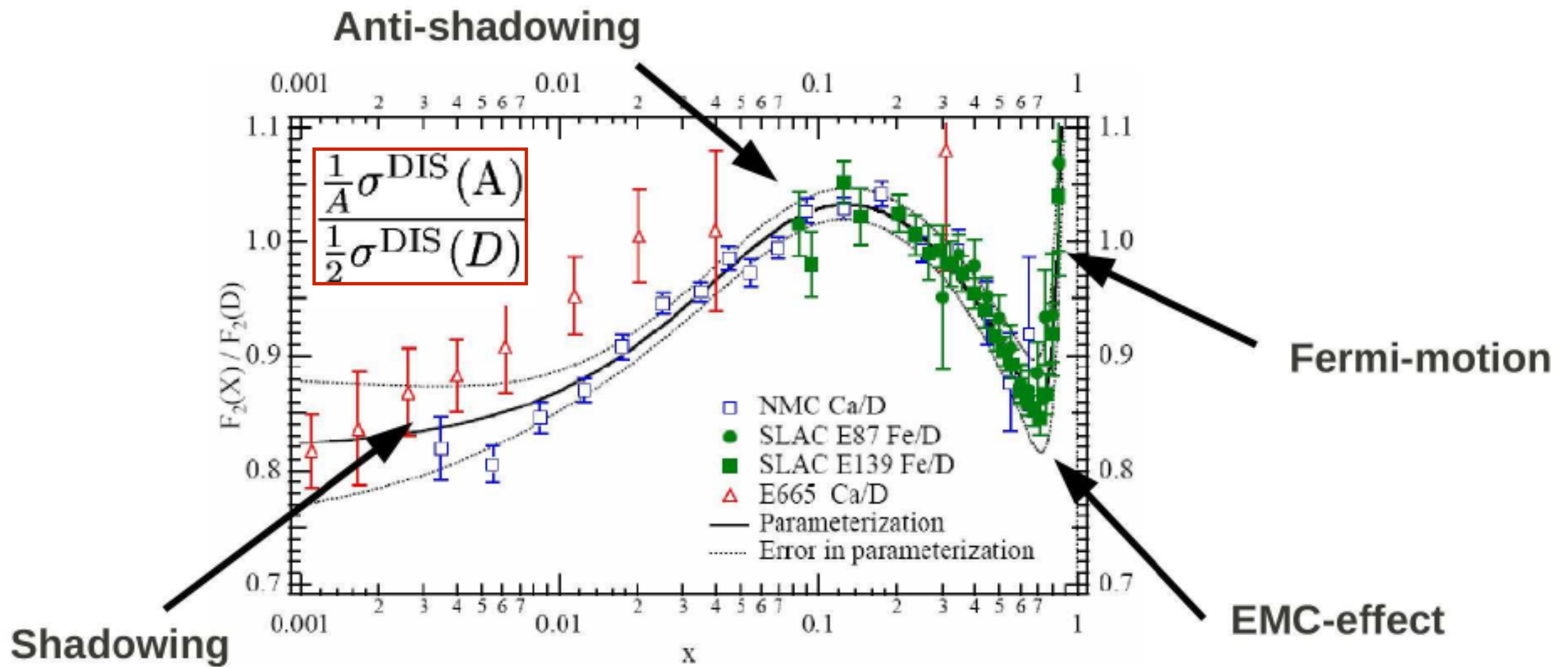
4. Electron-ion colliders:

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Note: I focus on the determination of nPDFs from data through DGLAP fits (so Q^2 will be perturbative to apply collinear factorisation), not on the origin of the different effects seen in structure functions or the motivations for physical initial conditions.

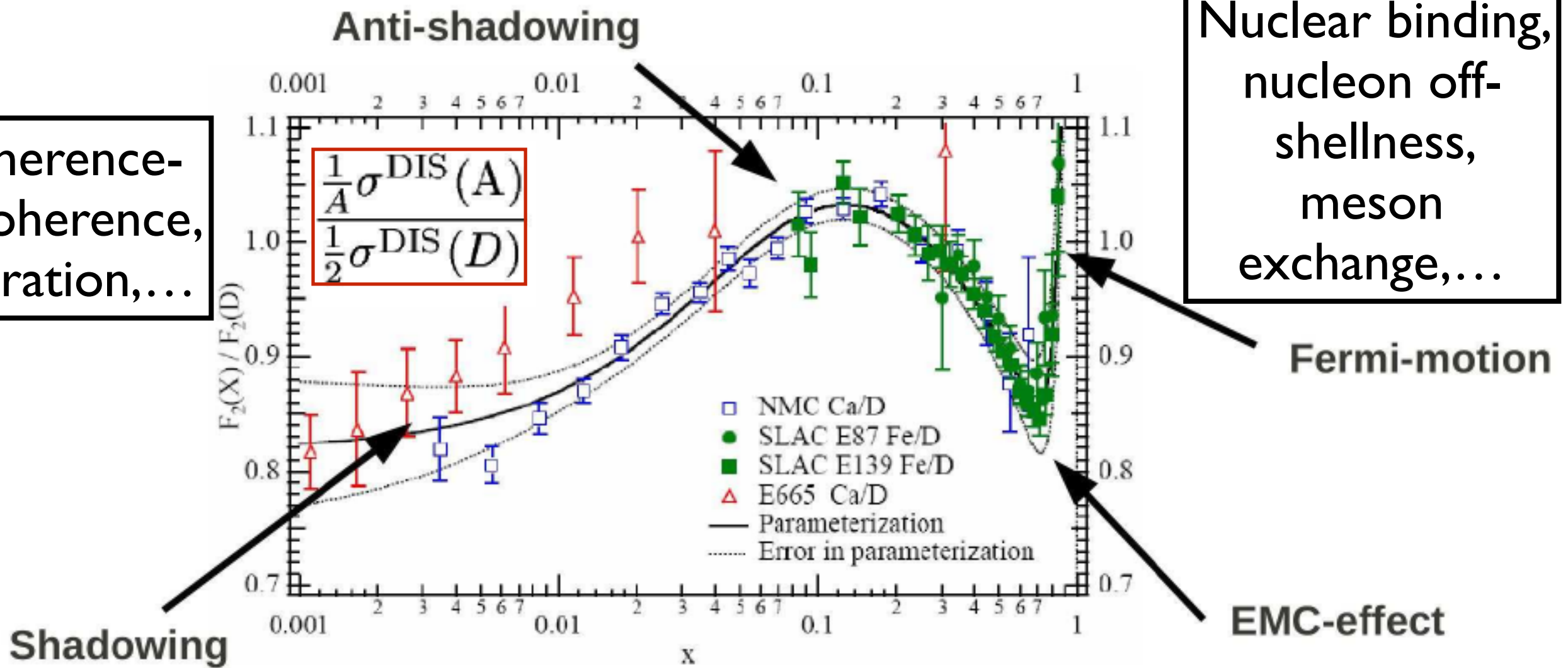
Nuclear structure functions:



- Different explanations for the different regions (many of them not based on a partonic picture): not the subject of this talk.

Nuclear structure functions:

Coherence-decoherence, saturation,...

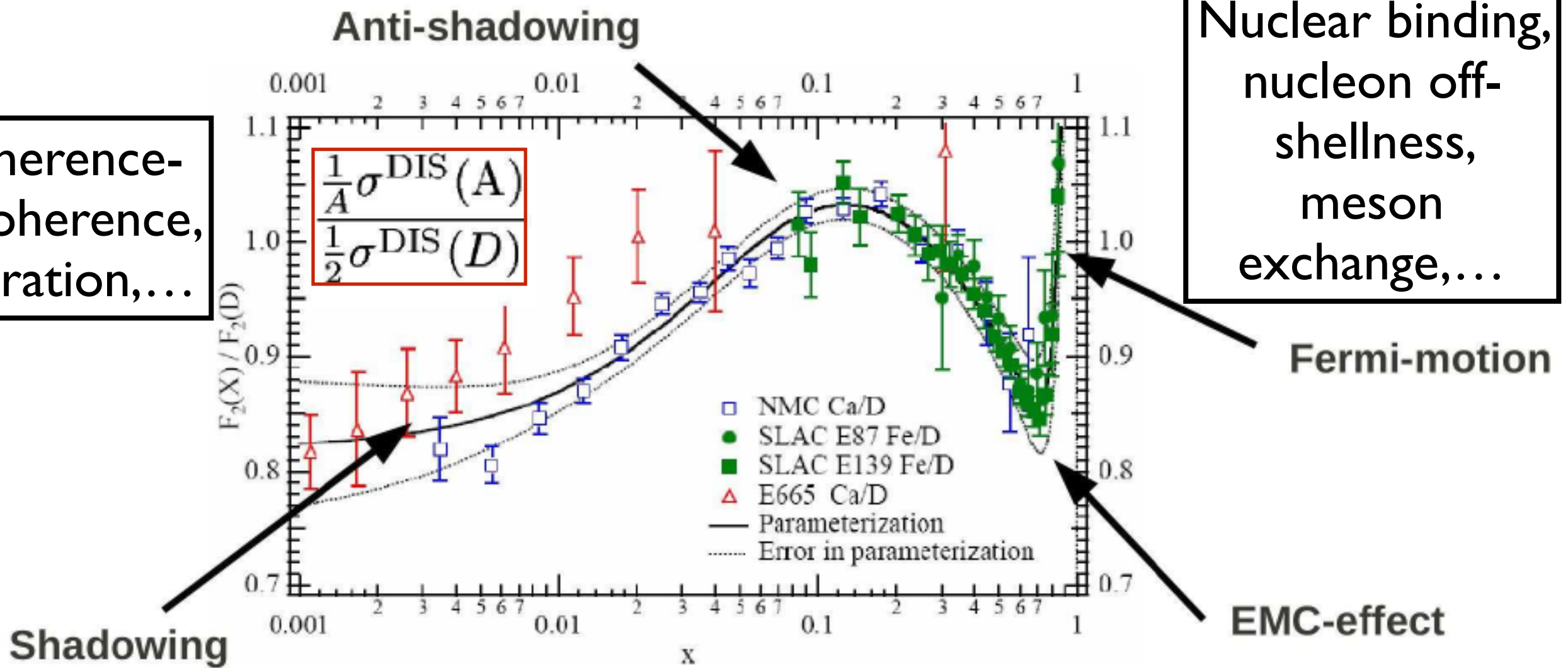


Nuclear binding, nucleon off-shellness, meson exchange,...

- Different explanations for the different regions (many of them not based on a partonic picture): not the subject of this talk.

Nuclear structure functions:

Coherence-decoherence, saturation,...



- Bound nucleon \neq free nucleon: search for process independent nPDFs that realise this condition in collinear factorisation.

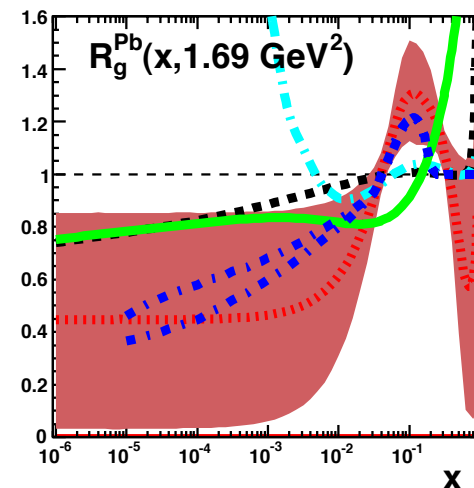
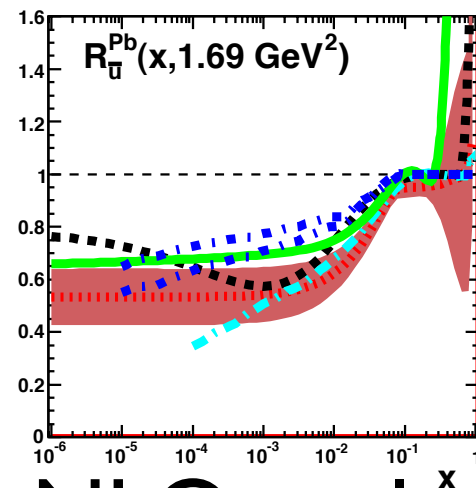
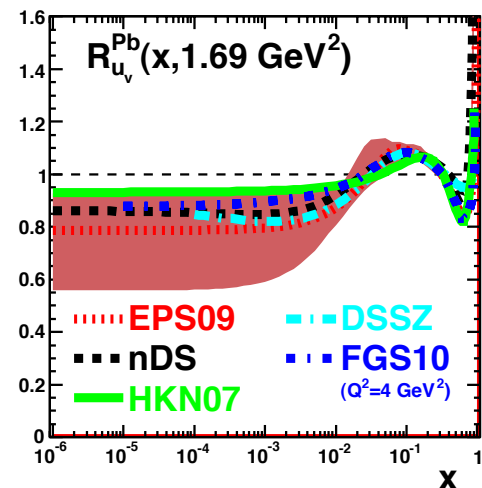
$$\sigma_{\text{DIS}}^{\ell+A \rightarrow \ell+X} = \sum_{i=q,\bar{q},g} \underbrace{f_i^A(\mu^2)}_{\text{Nuclear PDFs, obeying the standard DGLAP}} \otimes \underbrace{\hat{\sigma}_{\text{DIS}}^{\ell+i \rightarrow \ell+X}(\mu^2)}_{\text{Usual perturbative coefficient functions}}$$

nPDFs:

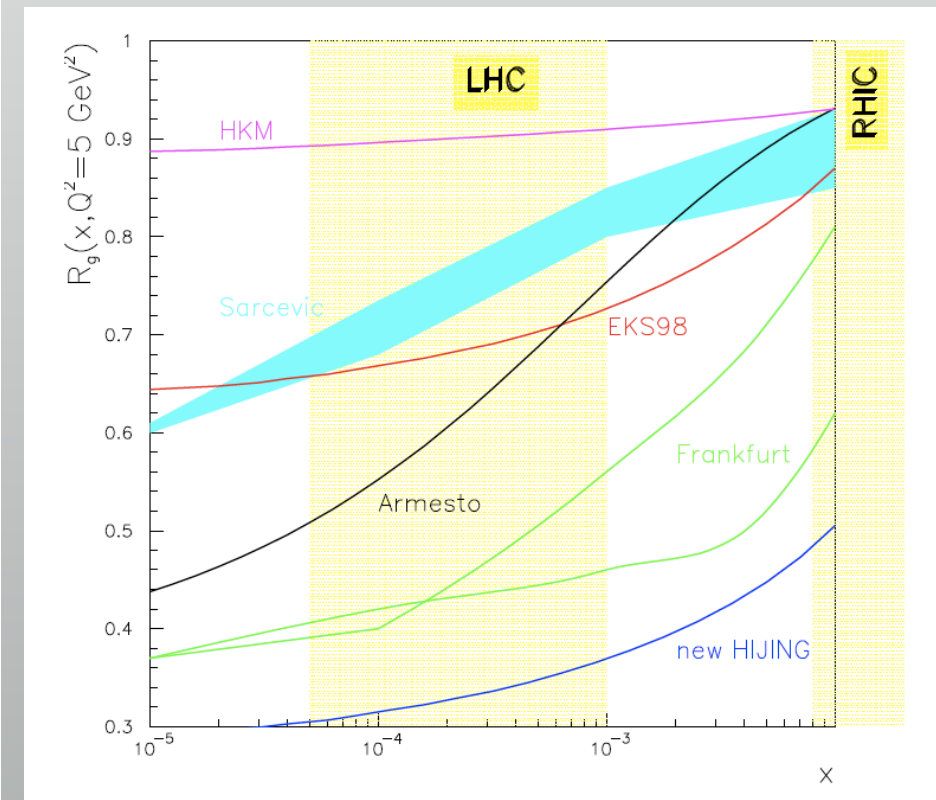
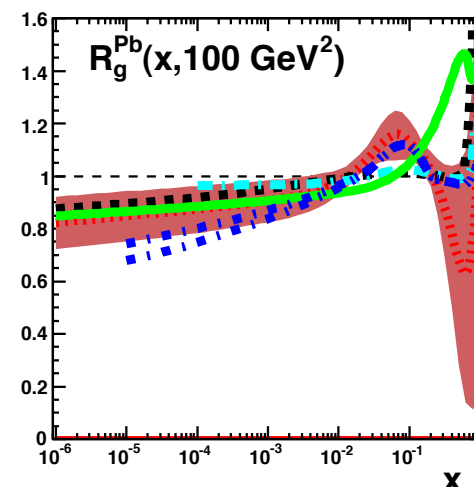
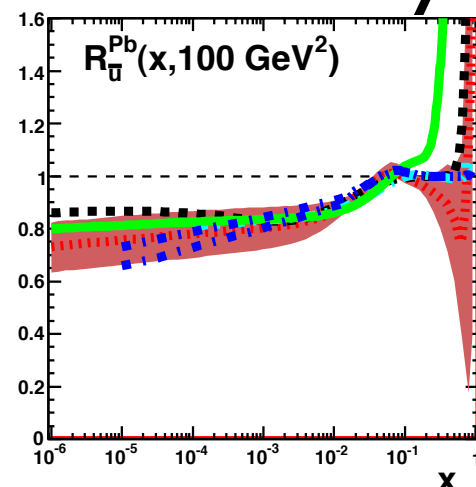
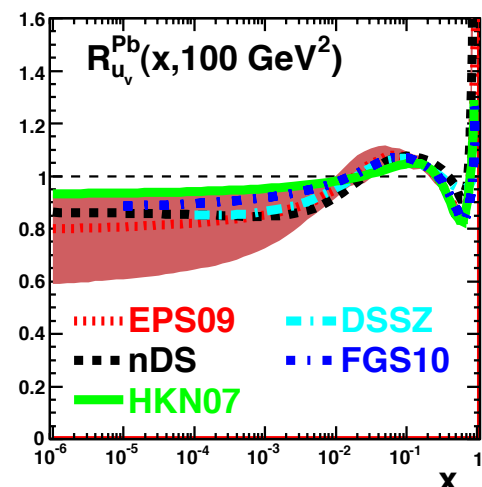
- Lack of data \Rightarrow large

uncertainties for the nuclear glue at small scales and x :
 problem for benchmarking in
 HIC in order to extract
 'medium' parameters.

$$R = \frac{f_{i/A}}{A f_{i/p}} \approx \frac{\text{measured}}{\text{expected if no nuclear effects}}$$



NLO analysis

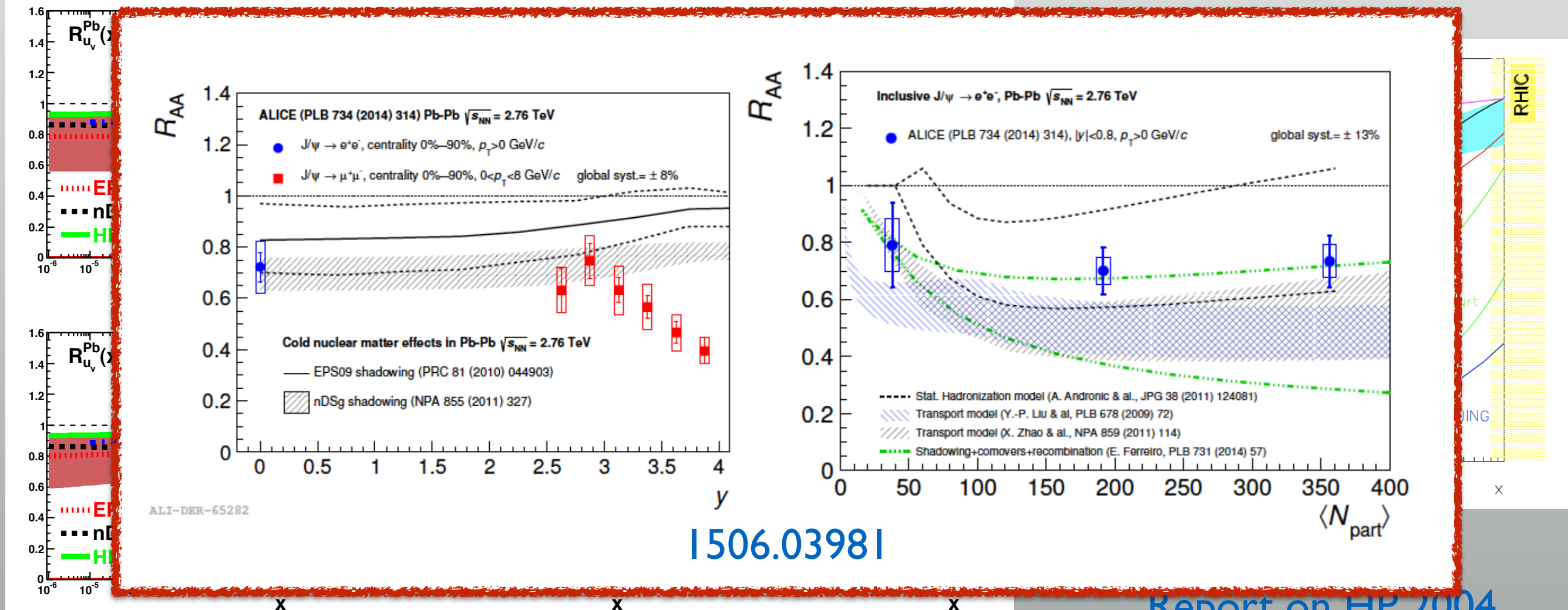


CERN Yellow
 Report on HP, 2004

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1506.03981

Report on HP, 2004

Procedure of extraction:

PDFs, or nuclear effects
on them, parametrised
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Calculation of observables in collinear factorisation, compatible with evolution

Comparison with data that are available and for which pQCD can be considered reliable (e.g. scale dependency)

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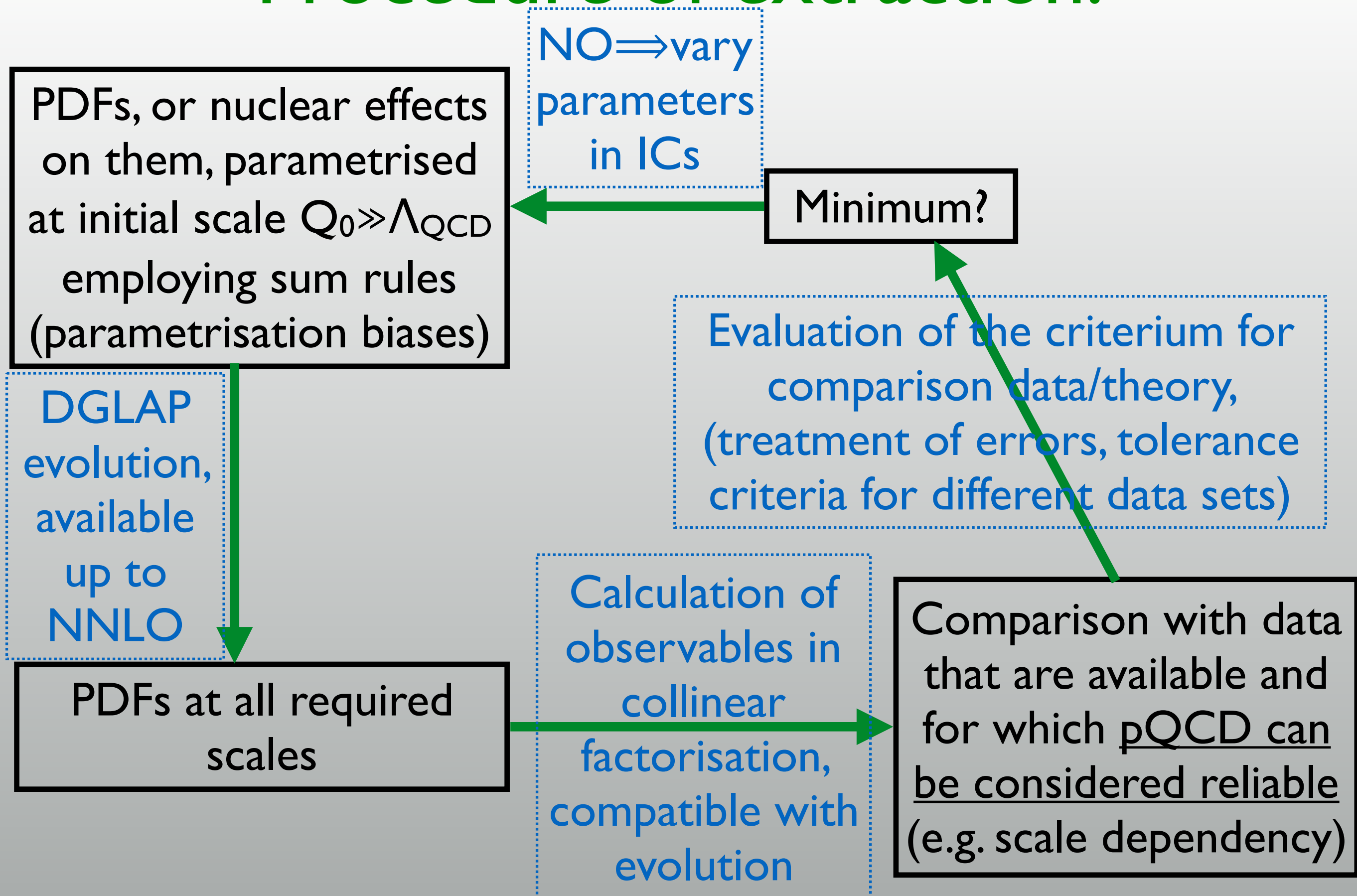
Minimum?

Evaluation of the criterium for comparison data/theory, (treatment of errors, tolerance criteria for different data sets)

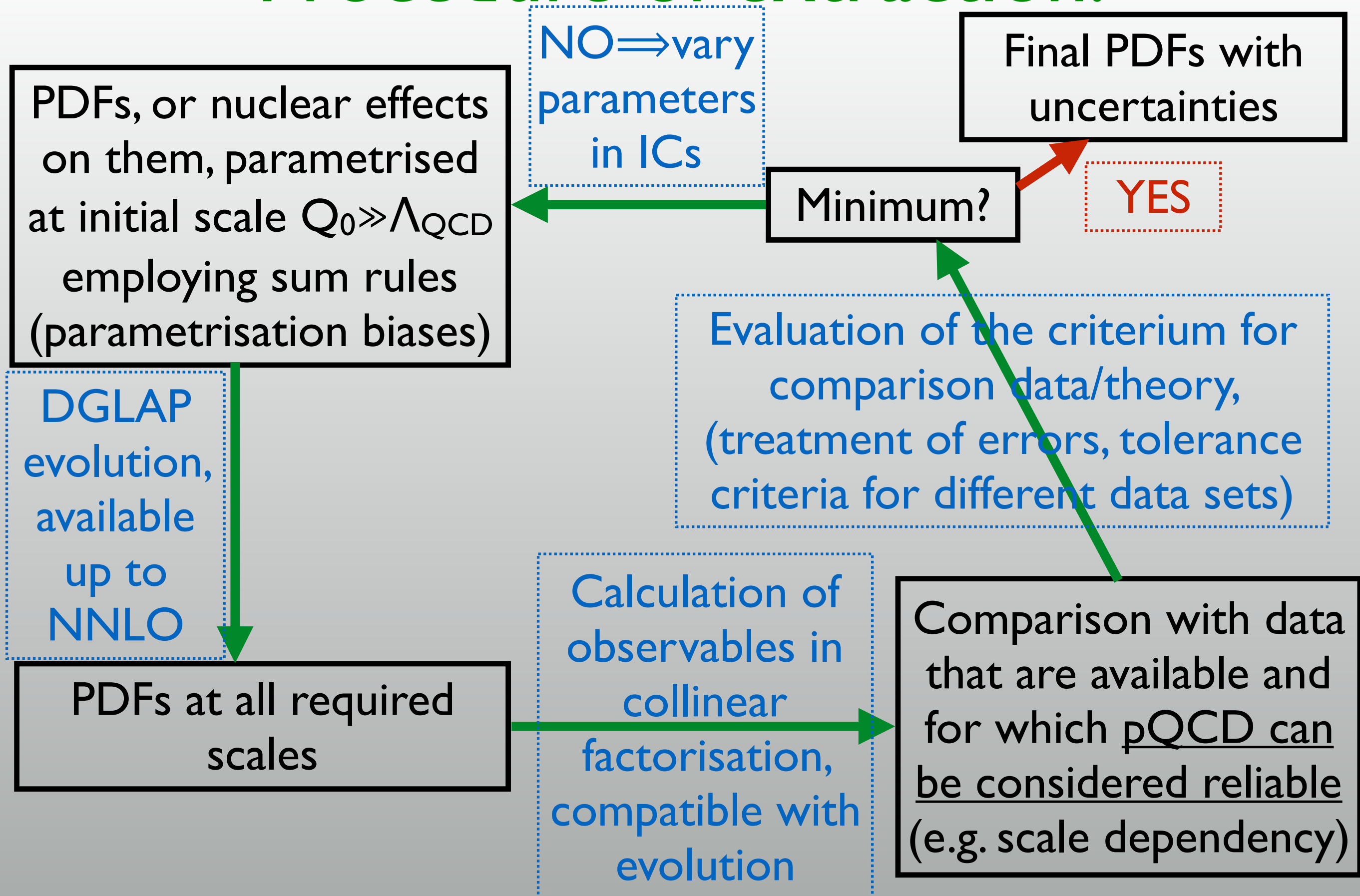
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PDFs, or nuclear effects on them, parametrised at initial scale $Q_0 \gg \Lambda_{\text{QCD}}$ employing sum rules

NO \Rightarrow vary parameters in ICs

Minimum?

Final PDFs with uncertainties

YES

- One of the most standard procedures in HEP: development of fast (public) tools for evolution and computation of observables.
- Problems known by the proton community.
- Its aim is extracting PDFs from data, assuming that collinear factorisation works.

NNLO

PDFs at all required scales

Calculation of observables in collinear factorisation, compatible with evolution

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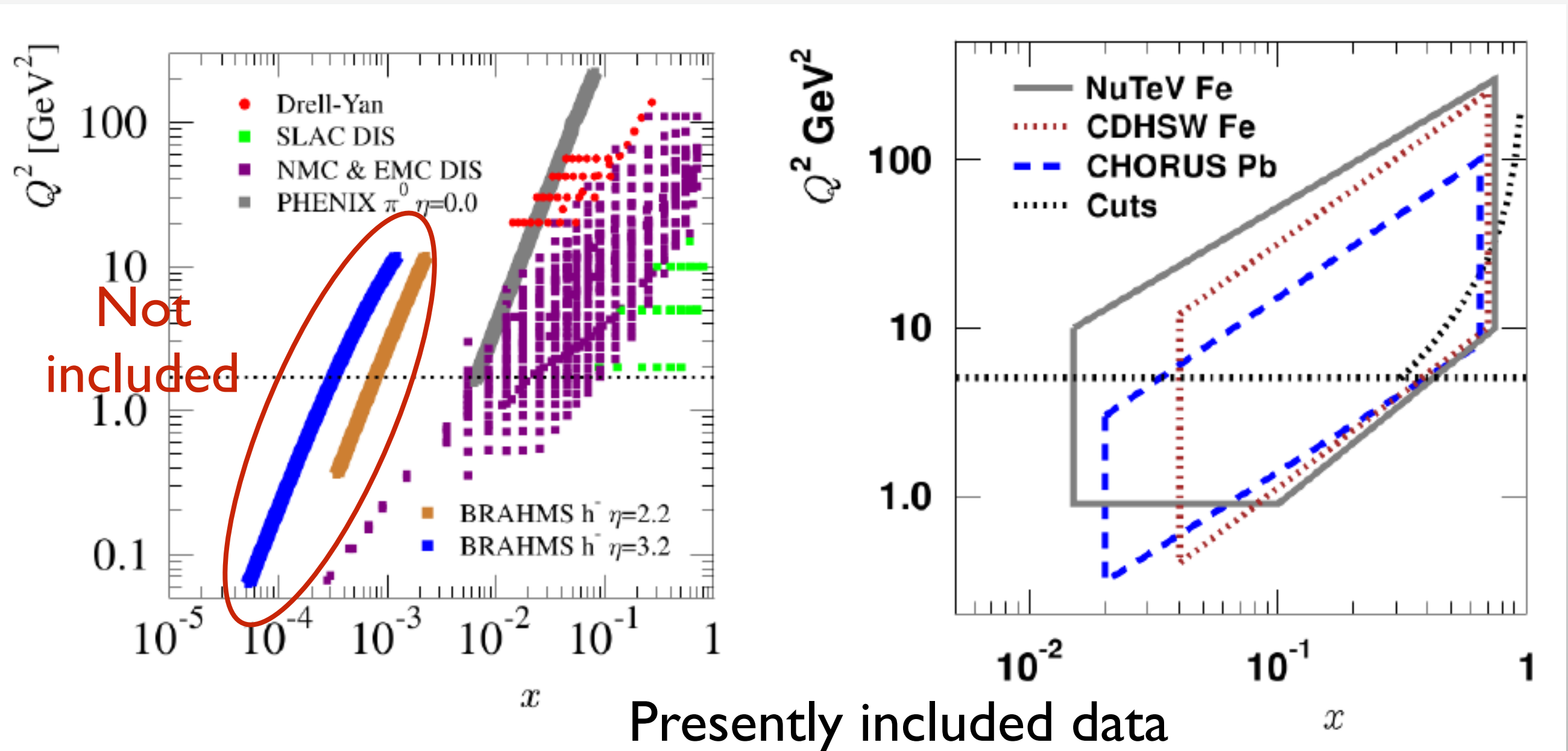
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Available sets:



- Not enough data for any single nuclei: A -dependent parameters in either the ratios or the PDFs.
- Valence for $x > 10^{-2}$ constrained by DIS, sea for $x > 10^{-2}$ by DIS+DY, glue for $x \sim 0.1$ by DIS Q^2 -evolution and RHIC pions.

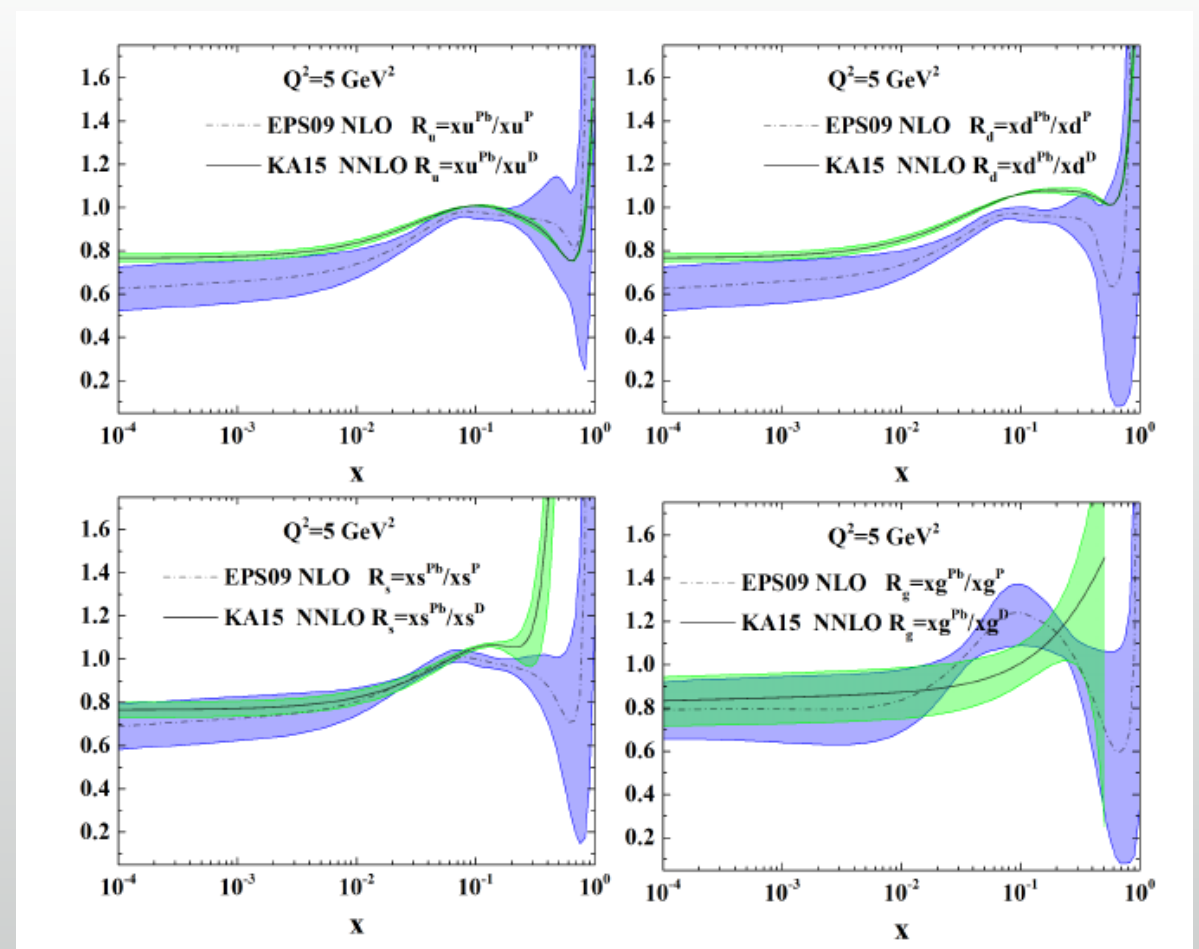
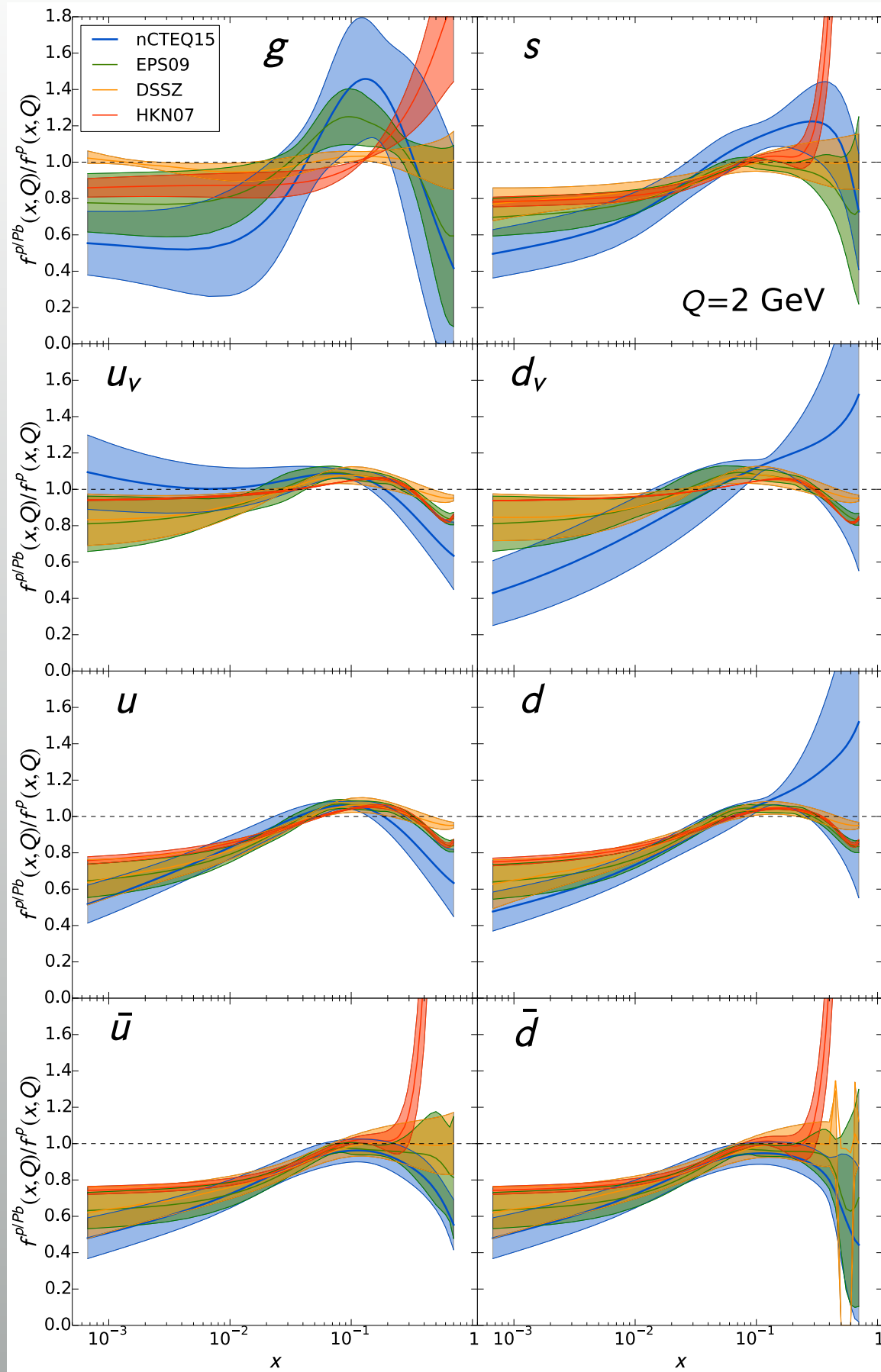
Available sets:

SET		HKN07 PRC76 (2007) 065207	EPS09 JHEP 0904 (2009) 065	DSSZ PRD85 (2012) 074028	nCTEQ15 PRD93 (2016) 085037	KAI5 PRD93 (2016) 014036
data	eDIS	✓	✓	✓	✓	✓
	DY	✓	✓	✓	✓	✓
	π^0	✗	✓	✓	✓	✗
	ν DIS	✗	✗	✓	✗	✗
# data		1241	929	1579	740	1479
order		NLO	NLO	NLO	NLO	NNLO
proton PDF		MRST98	CTEQ6.1	MSTW2008	~CTEQ6.1	JR09
mass scheme		ZM-VFNS	ZM-VFNS	GM-VFNS	GM-VFNS	ZM-VFNS
comments		$\Delta\chi^2=13.7$, ratios, <u>no EMC for gluons</u>	$\Delta\chi^2=50$, ratios, <u>huge shadowing-antishadowing</u>	$\Delta\chi^2=30$, ratios, <u>medium-modified FFs for π^0</u>	$\Delta\chi^2=35$, PDFs, <u>flavour sep., not enough sensitivity</u>	PDFs, <u>deuteron data included</u>

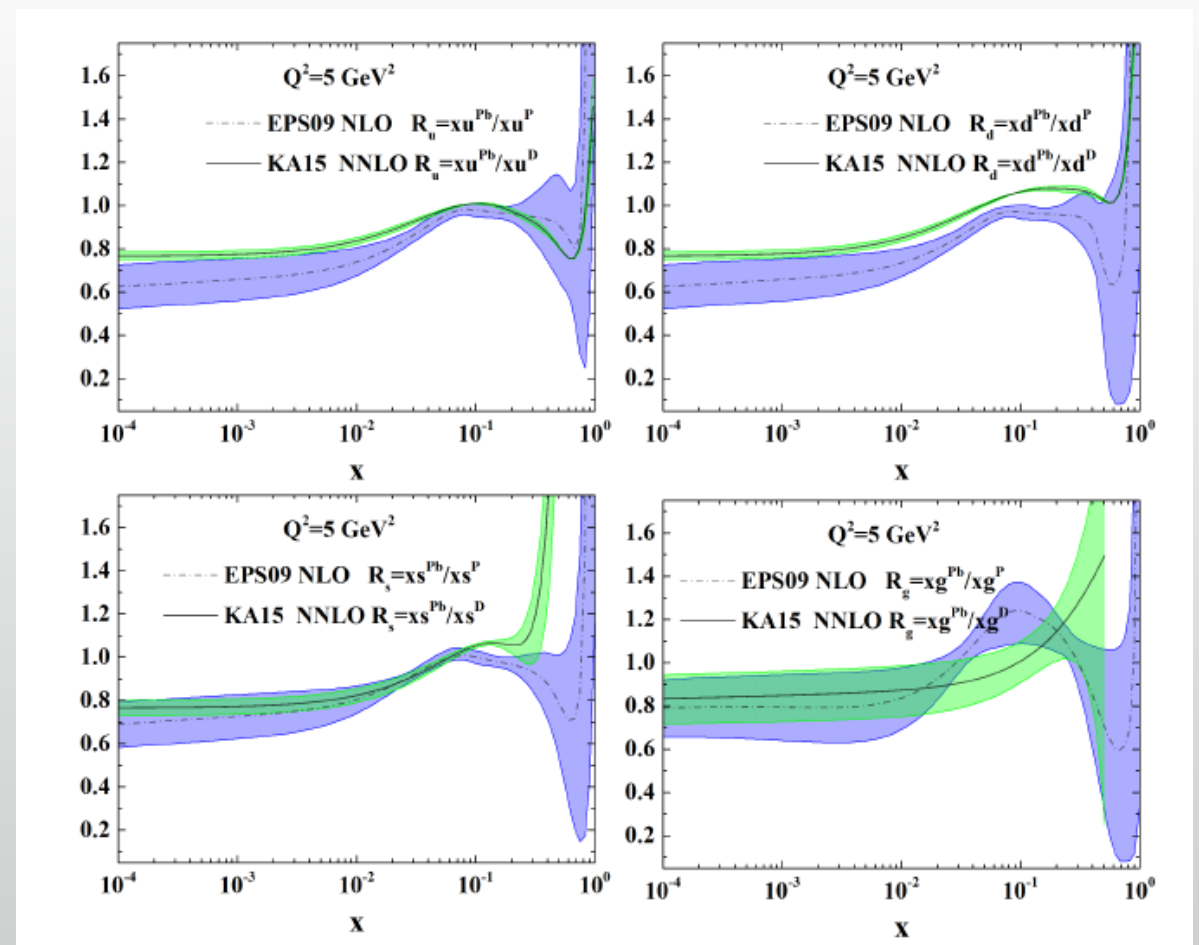
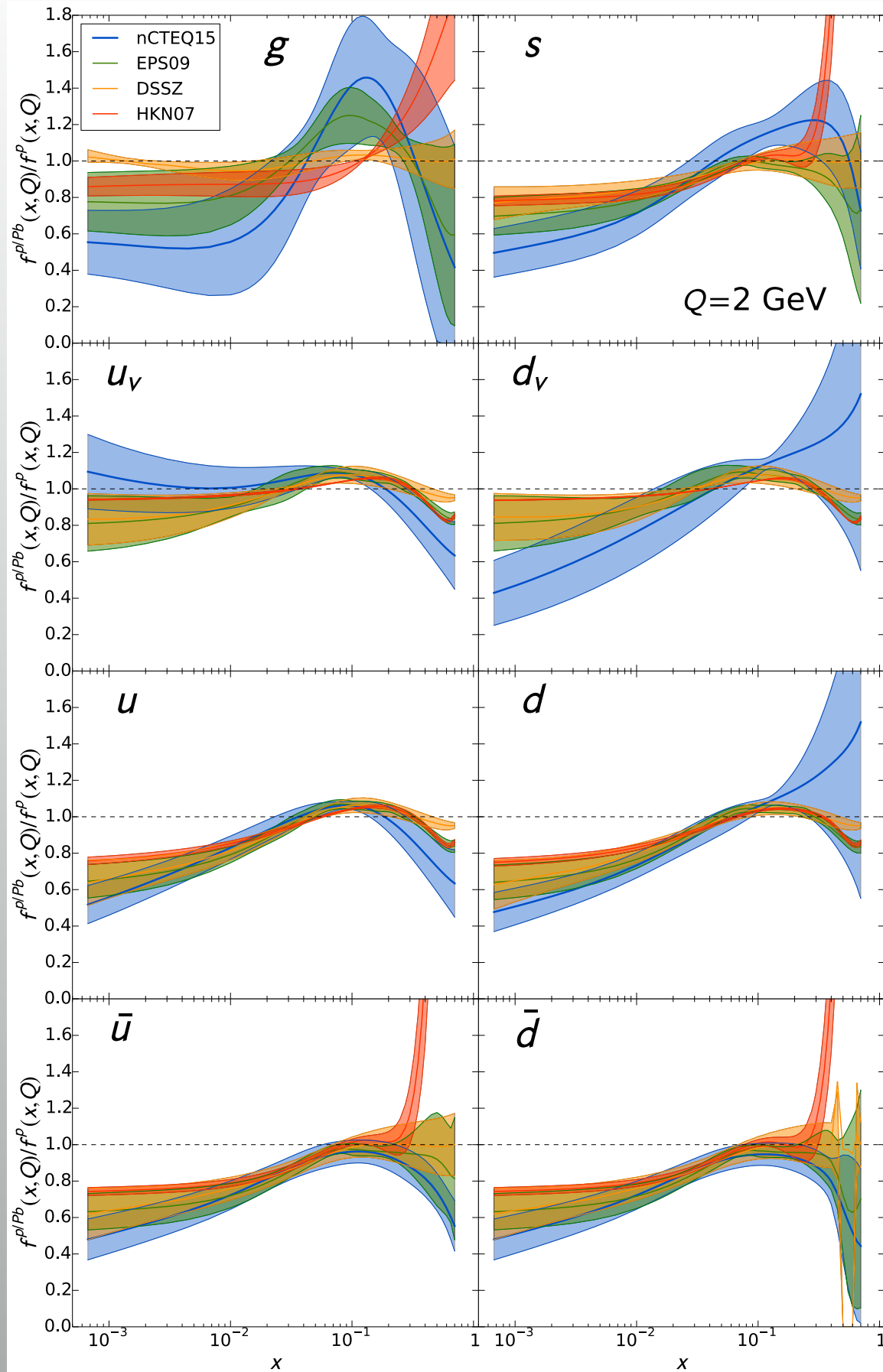
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DIS	✓	✓	✓	✓	✓
Centrality dependence (EPS09s) not from data but from the A-dependence of the parameters.	✓	✓	✓	✓	✓
Several models provide it: Vogt et al., FGS, Ferreiro et al.,...	✓	✓	✓	✓	✗
	✗	✓	✗	✗	✗
	929	1579	740	1479	
	NLO	NLO	NLO	NNLO	
	TEQ6.1	MSTW2008	~CTEQ6.1	JR09	
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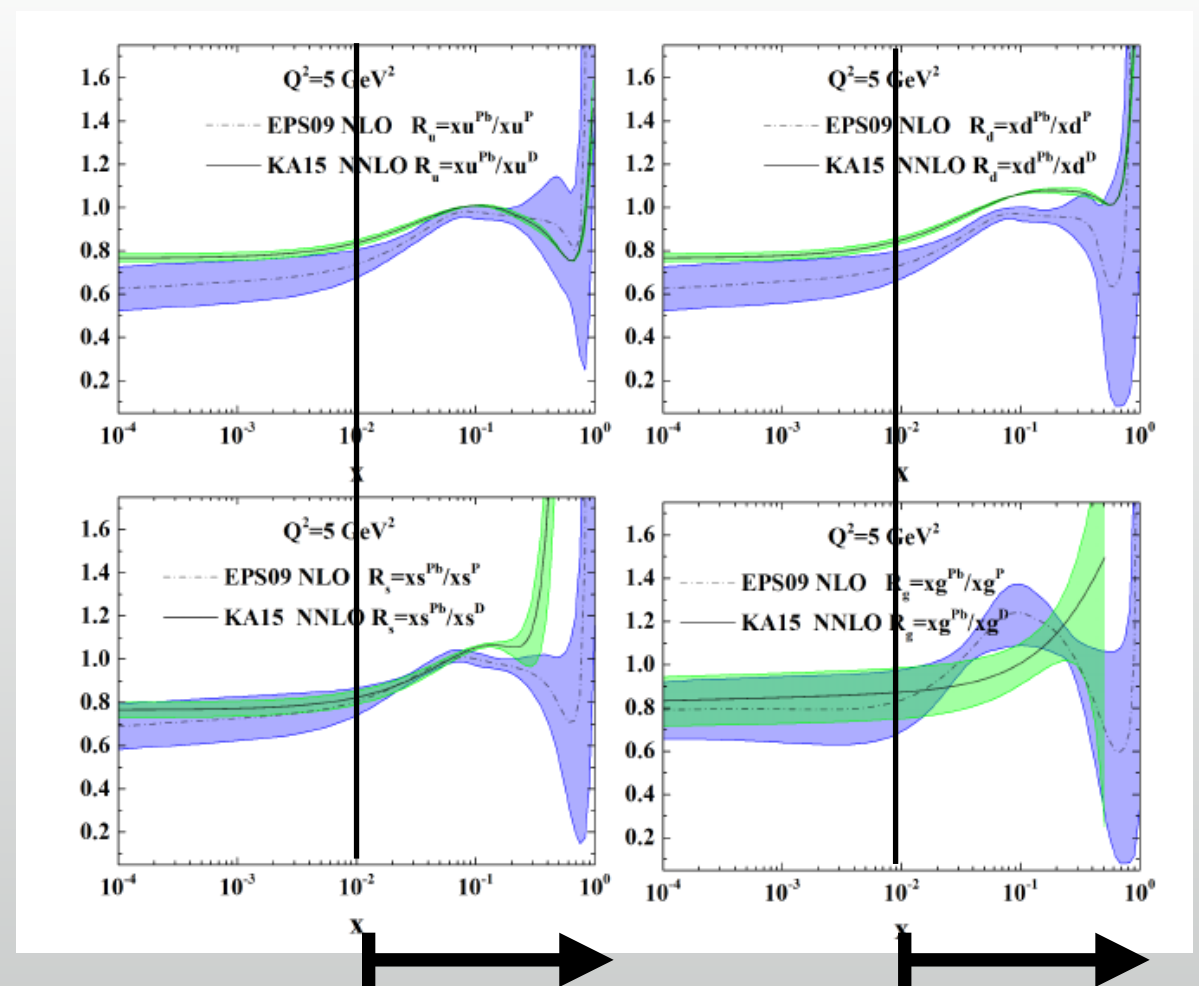
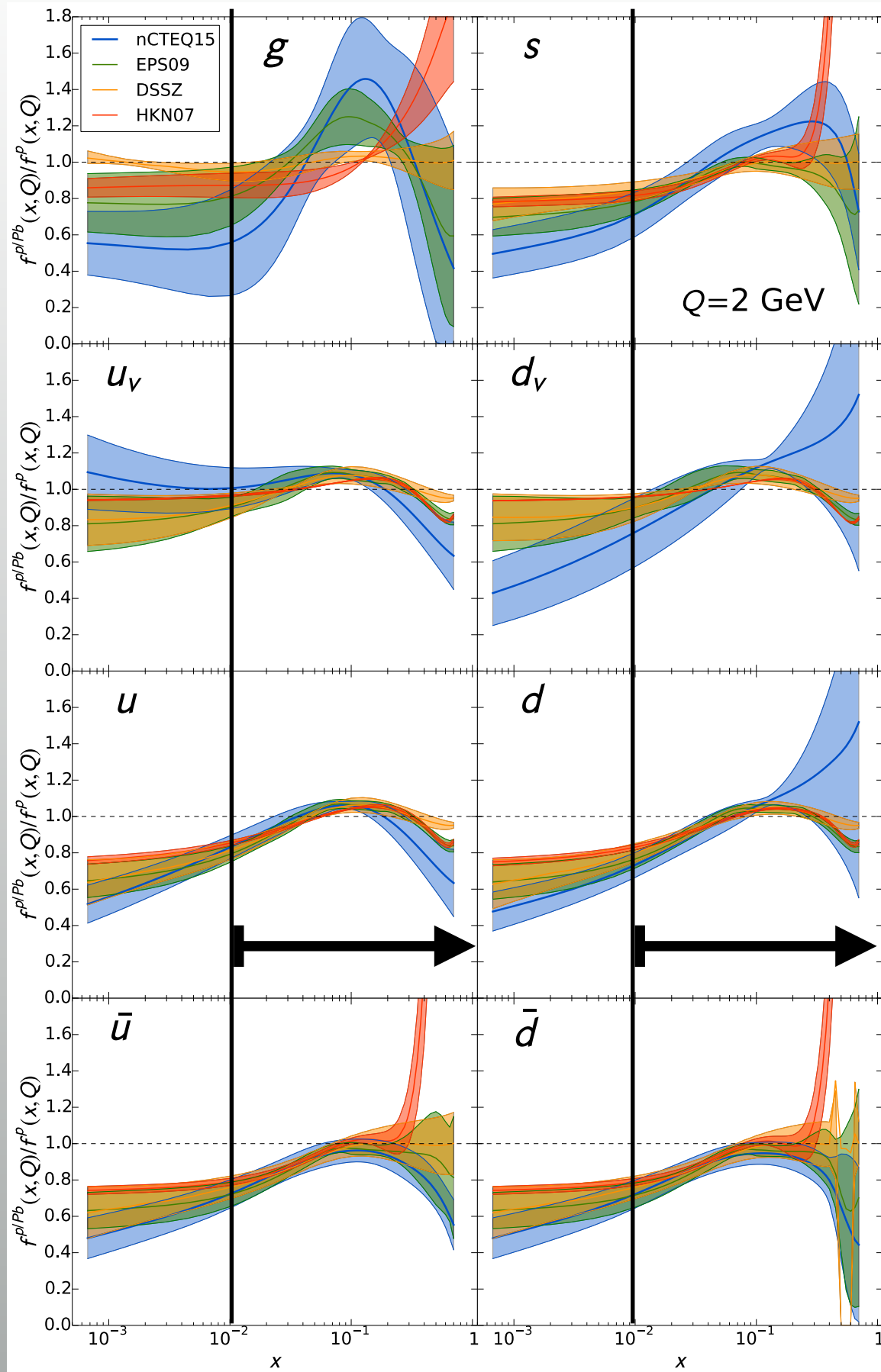


Available sets:



- No constrain at high x (g) and low x (g, valence, sea).
- Data do not require flavour separation ($R_u=R_d$).
- LHC data next: EPS16, AZ, ...
- Initial condition (plus sum rules) drive the extrapolations.

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Status and plans:

- LHC ran pPb collisions at 5.02 TeV/nucleon in 2012-2013.

ALICE: 31.94 nb⁻¹ ATLAS: 31.2 nb⁻¹ CMS: 31.69 nb⁻¹ LHCb: 2.12 nb⁻¹

- Jets and EW bosons: at present used to test factorisation in pA/AA, and they offer some constraints to nPDFs.
- No sizeable in-medium effects e.g. energy loss.
- Delicate centrality issues!!!

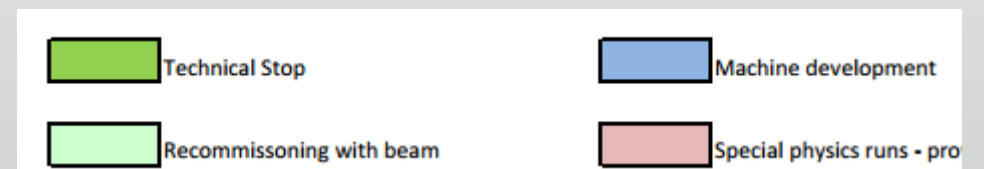
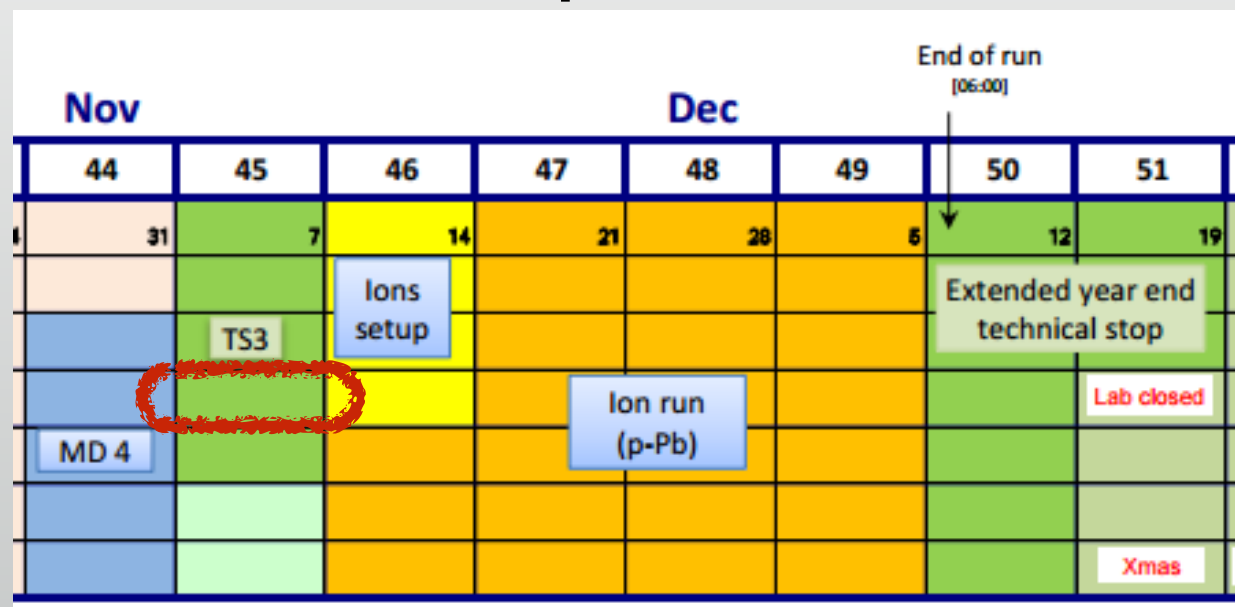
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J. Jowett at IS2016

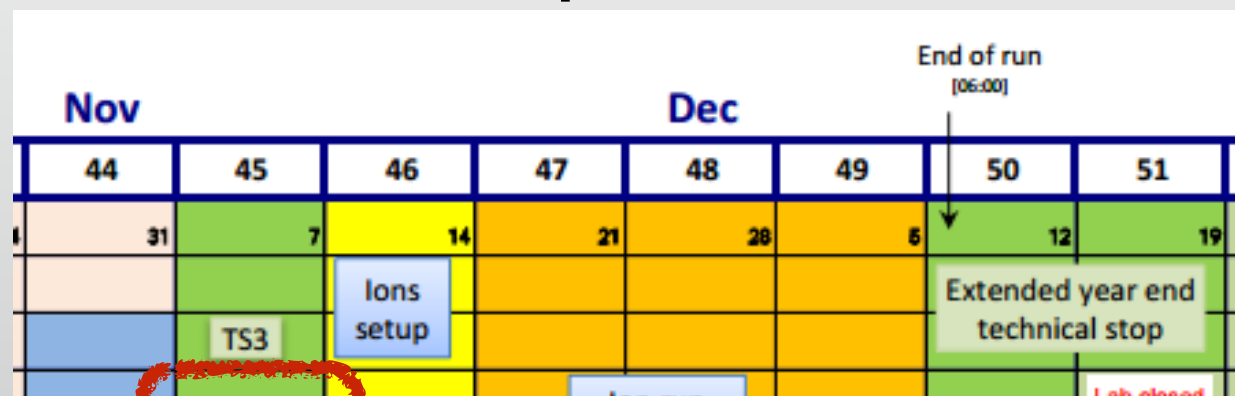


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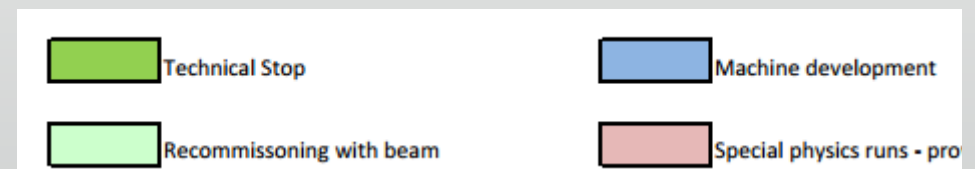
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J. Jowett at IS2016



Proposed scheme – part-1

- Start with 5 TeV run
 - Less risk / low luminosity running, non-aggressive optics)
 - Hope to complete 5 TeV physics programme in short time
- Stop 5 TeV run when any of these criteria are met:
 - After 1B events delivered to ALICE
 - If by end of day-9 ≥700M events delivered
 - If the above criteria have not been met, continue the run till 700M events are delivered, unless this appears to significantly delay the start of the 8 TeV run
- During 5 TeV run
 - Protons in beam-1 / Moderate squeeze in ALICE (~3m)
 - Very long fills (~20hrs) luminosity leveled to 10²⁸ cm⁻² s⁻¹ in ALICE
 - Can try to have very low luminosity collisions in other IPs (luminosity < 10²⁷ cm⁻² s⁻¹) but stop this if any problems encountered

Disclaimer: We should leave some flexibility to change some of the cut-off numbers / dates, depending on the actual situation. With the goal of giving the best physics output of all parts of the programme.

LPC Heavy Ion proposal - LHCC May 2016

4

Proposed scheme – part-2

- Default strategy for 8 TeV run
 - Moderate squeeze in ALICE (~3m) / LHCb (~2m)
 - ATLAS/CMS pp optics (40cm) or slight de-squeeze
 - To be determined by machine experts after more studies
 - Beam reversal
- If significantly behind expectation drop beam reversal (this would save ~2 days)
 - e.g. if <25/nb delivered to ATLAS/CMC by end of day-19
- Fills optimized to give luminosity to ATLAS/CMS
 - Short fills (~5hrs)
- Expectation (assuming no significant down time):
 - ~70/nb for ATLAS/CMS (~5.5/nb per ~5hr fill with 5hr turn-around time)
 - ~10/nb each for ALICE/LHCb* (less than requested)
 - * For LHCb this depends on exact filling schemes, which in turn depend on various kicker magnet rise-times which have not been measured yet).

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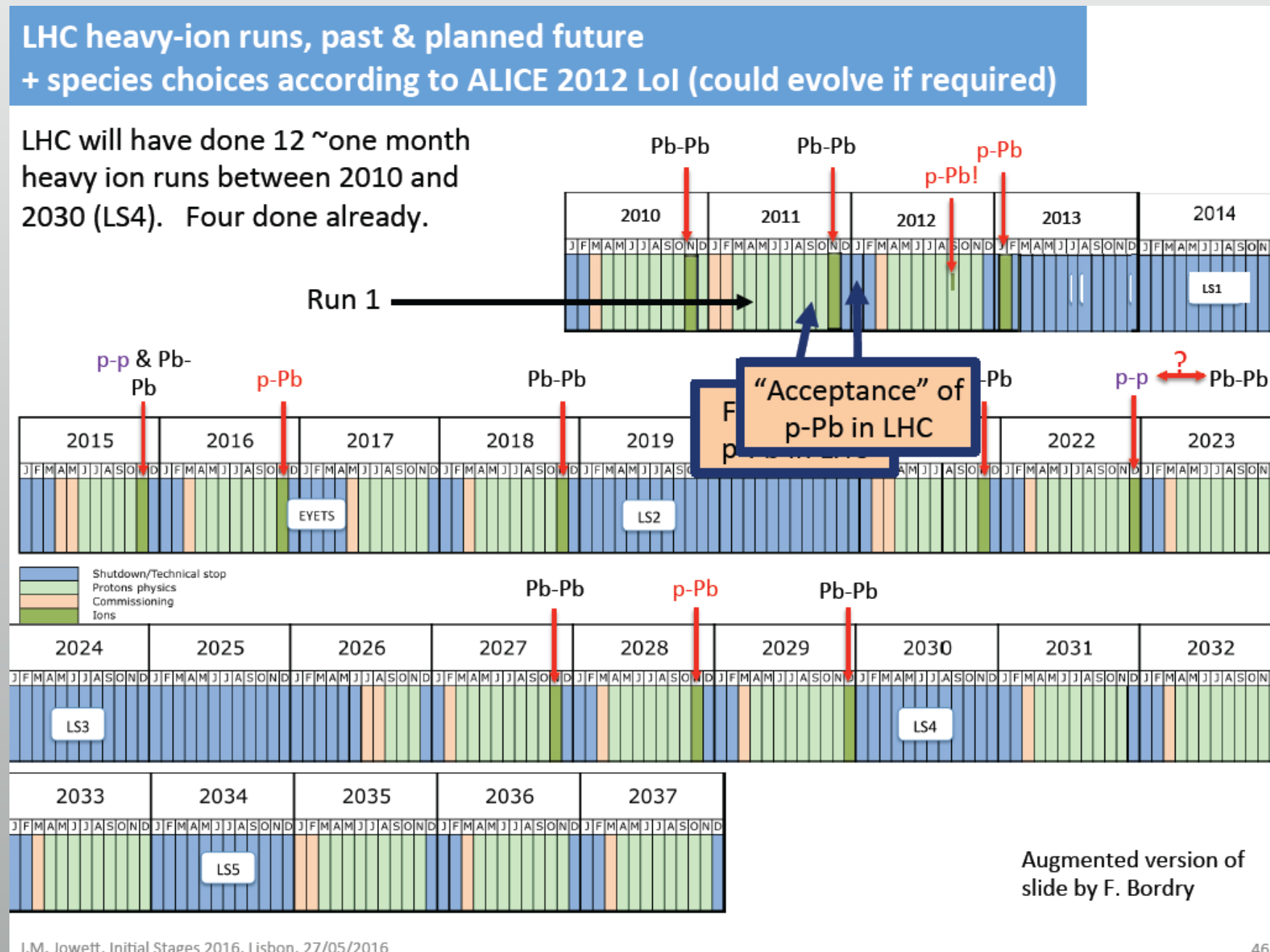
5

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ALICE: 31.94 nb^{-1} ATLAS: 31.2 nb^{-1} CMS: 31.69 nb^{-1} LHCb: 2.12 nb^{-1}

- According to current plans, LHC will not run pPb again until 2028.



46

Impact of pPb:

- **Reweight** (1512.01528) of EPS09 and DSSZ (with CT10 and MSTW2008) including 165 **pPb data @ LHC**:

- W 's from ALICE ($A_{F/B}$) and CMS ($A_{F/B}$ and A_C).
- Z 's ($A_{F/B}$) from ATLAS and CMS.
- Jets from ATLAS ($A_{F/B}$).
- Dijets from CMS.
- (Even) h^\pm from ALICE ($A_{B/C}$) and CMS ($A_{F/B}$) ($p_T > 5$ GeV, DSS FFs).

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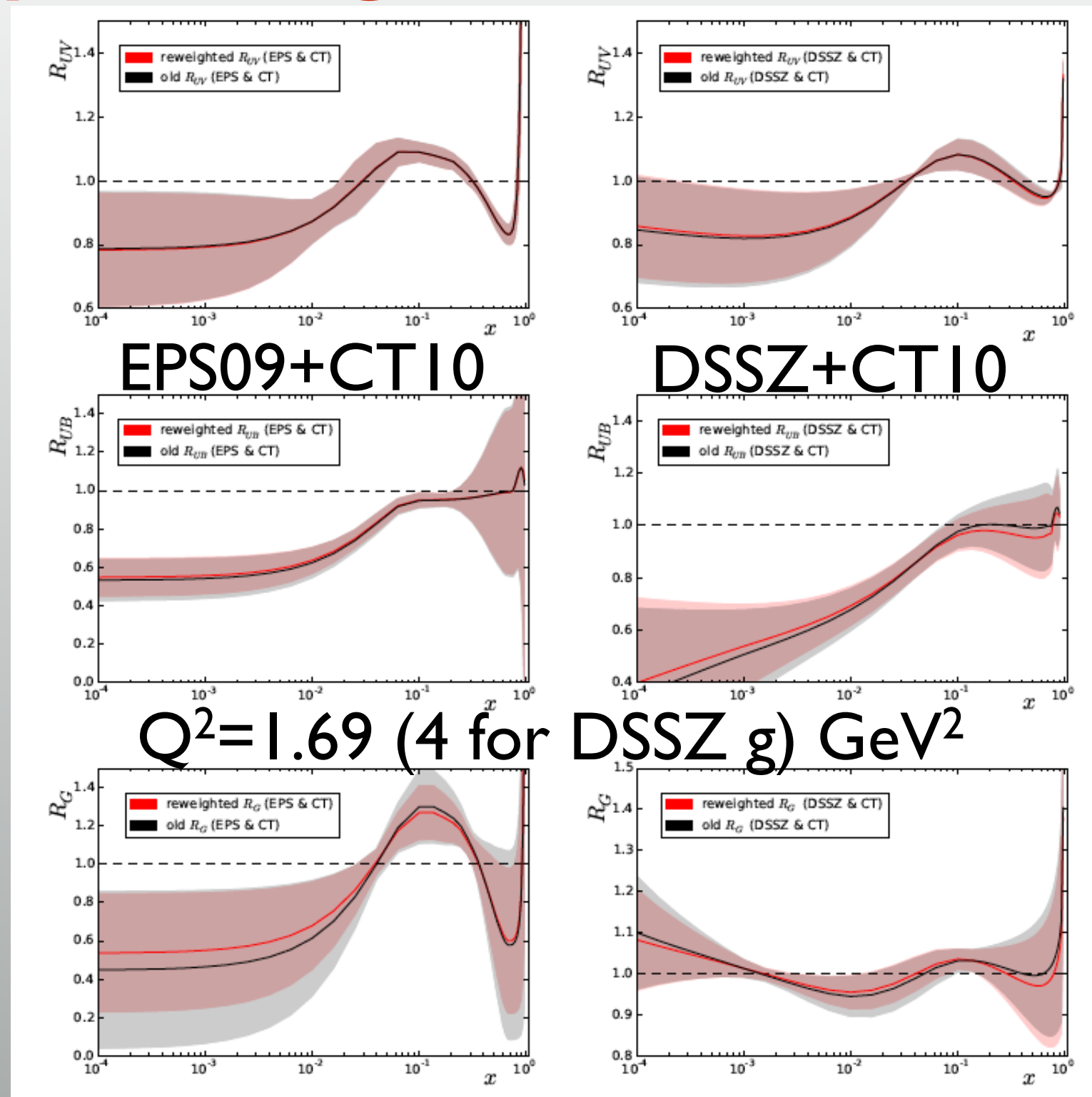
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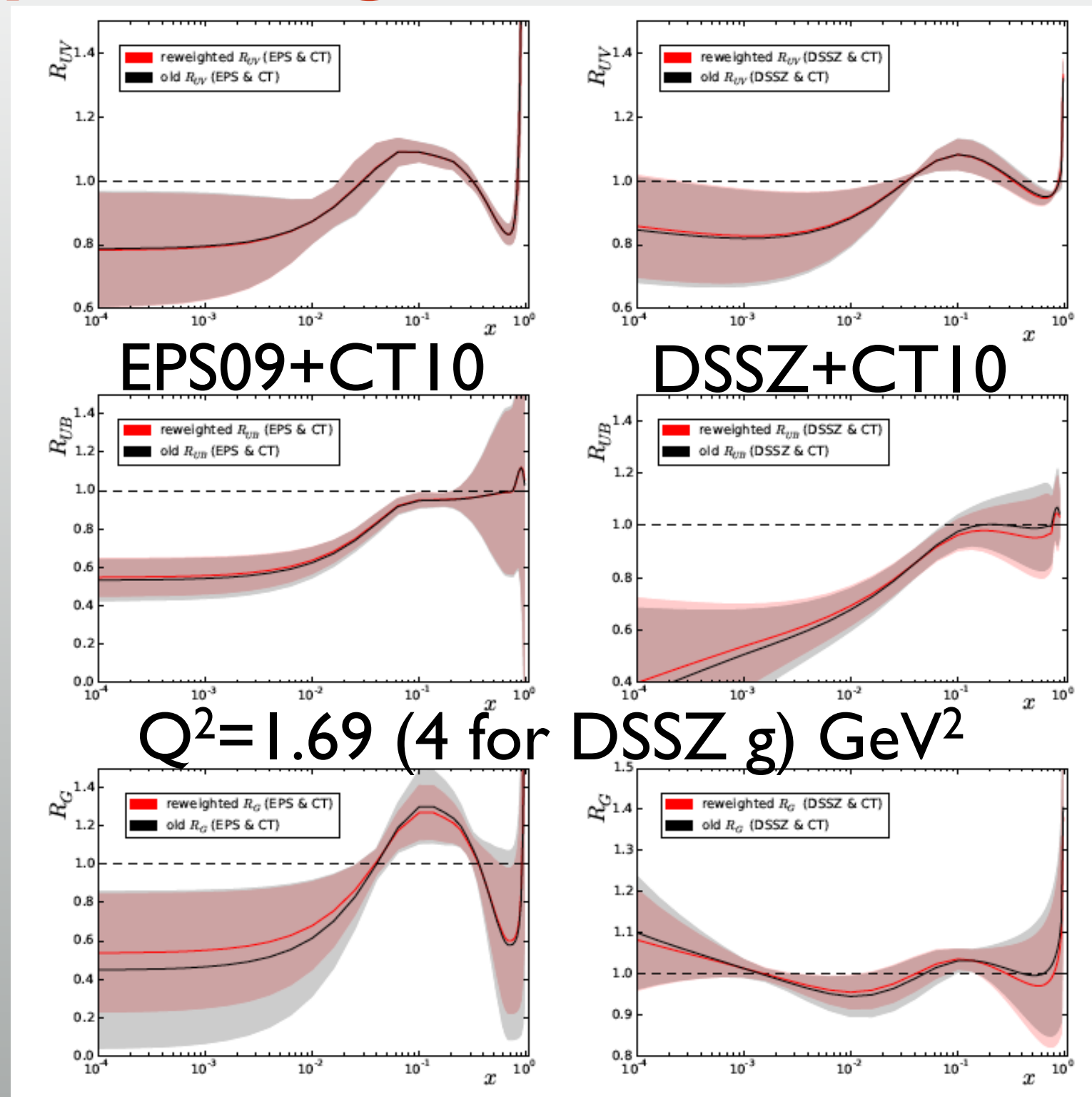
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- All in all, the effect of LHC data is rather mild.

- Dijets are the most constraining, EW bosons the most promising to relax the initial condition $R_u=R_d$.

- Link PDF - nPDF clearly visible.
- Take care with extrapolations!!!



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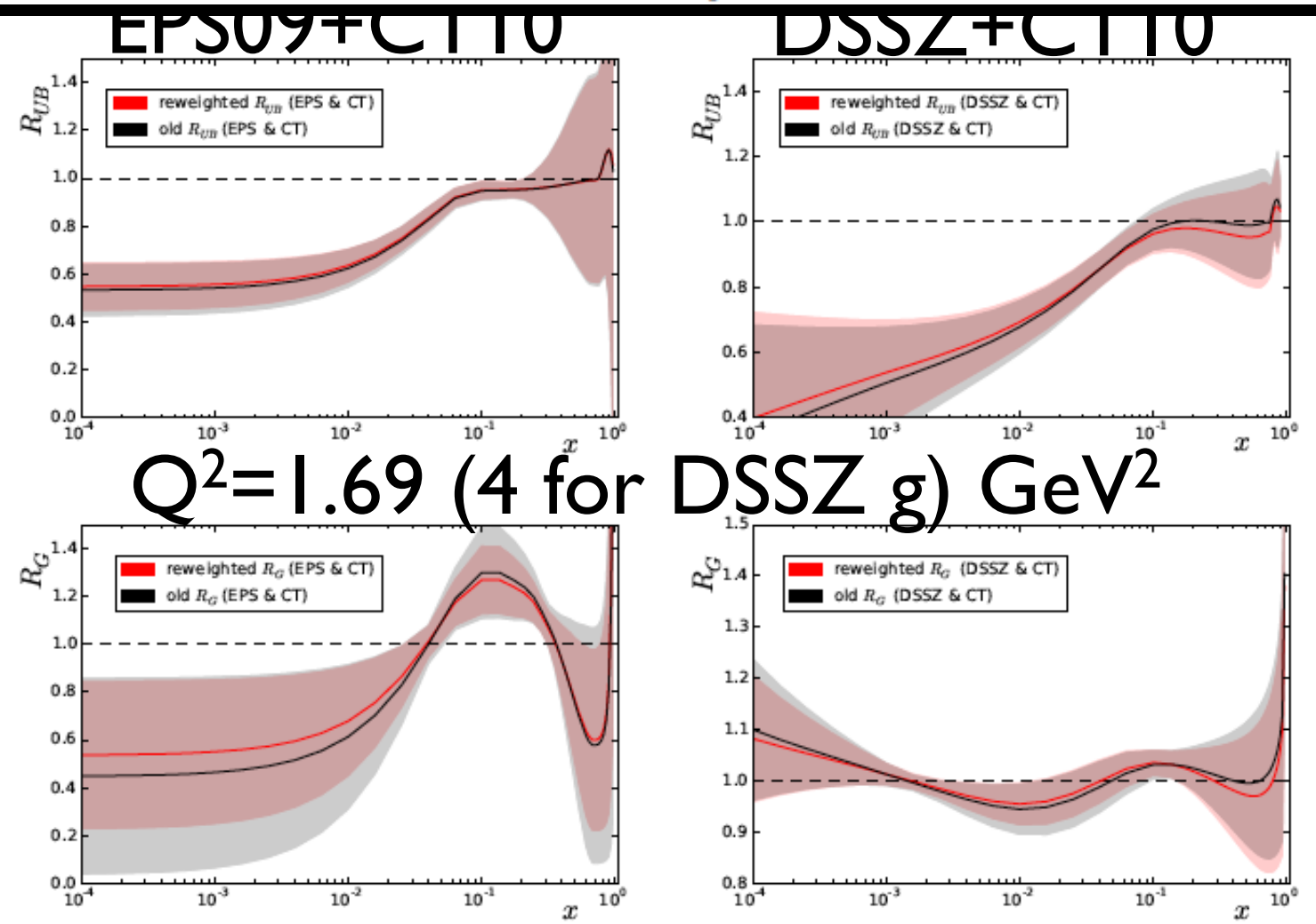
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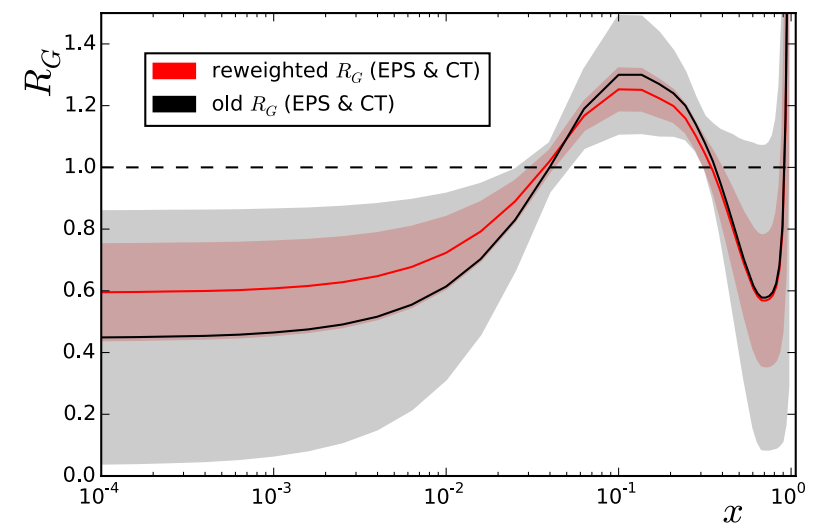
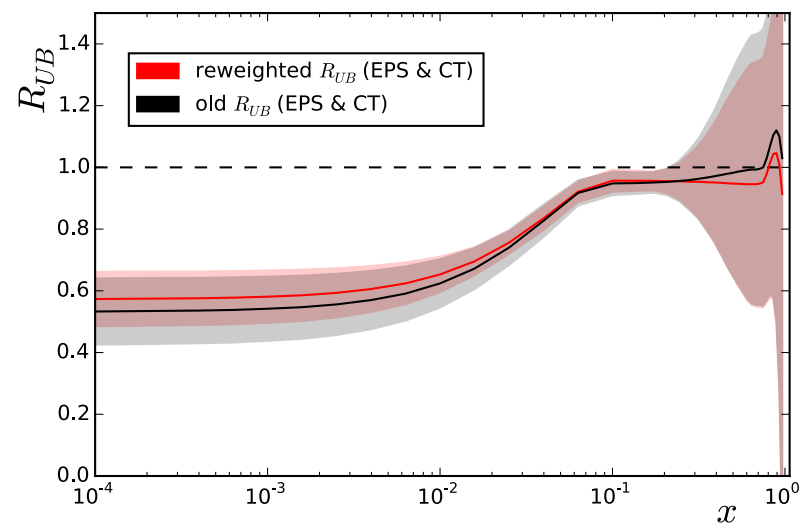
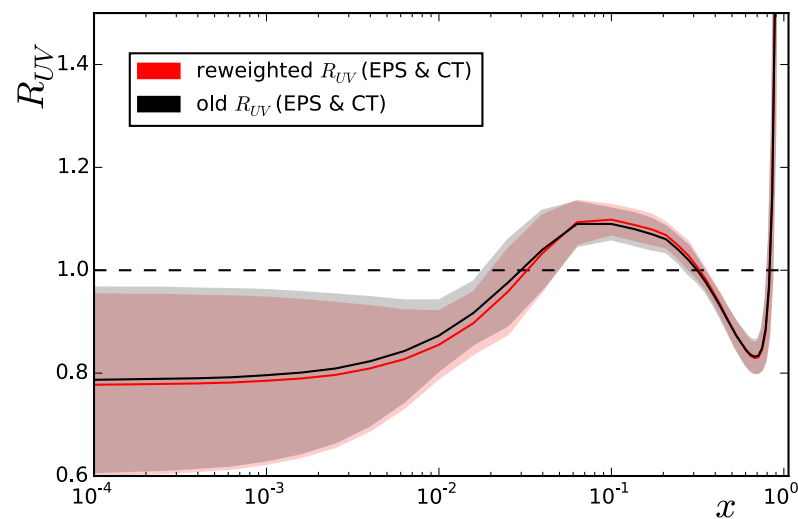
All data	PDF + nPDF	χ^2_{original}	$\chi^2_{\text{reweighted}}$	N_{eff}
$N_{\text{rep}}=10000$	CT10+DSSZ	1.074	1.016	9044
	CT10+EPS09	0.674	0.632	8657
	MSTW2008+DSSZ	0.876	0.826	9128
	MSTW2008+EPS09	0.649	0.583	8585
	CT10 only	1.425	-	-
	MSTW2008 only	1.138	-	-



An exercise:

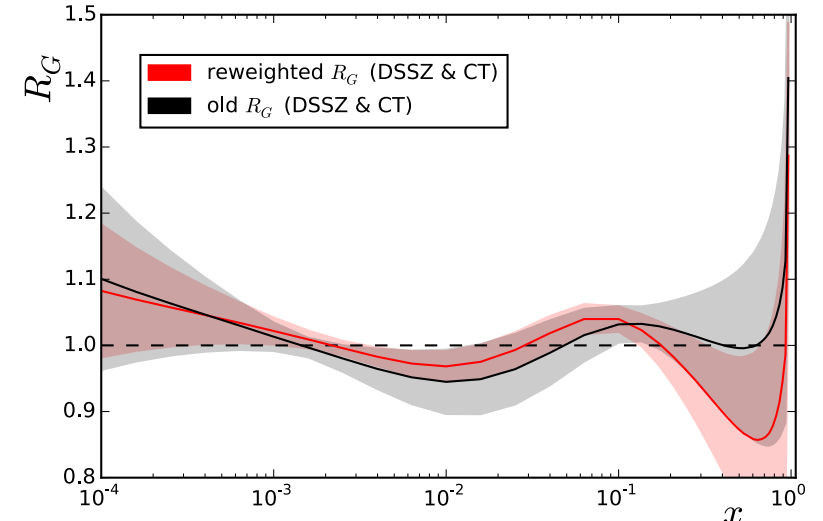
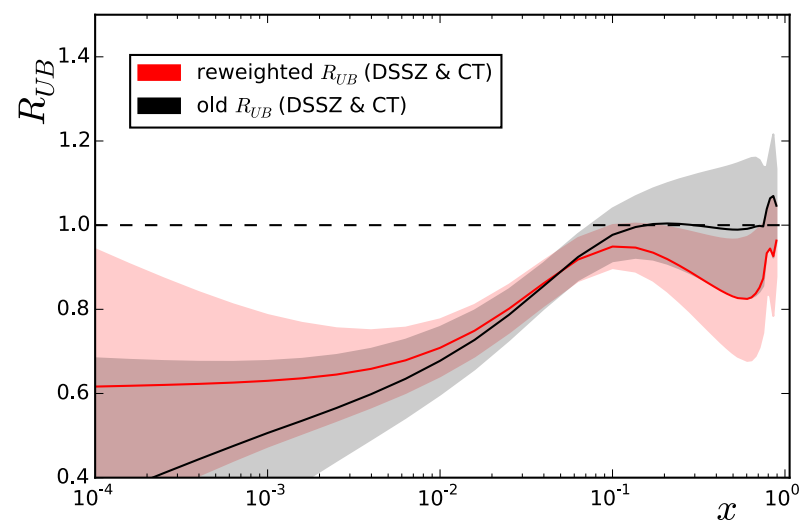
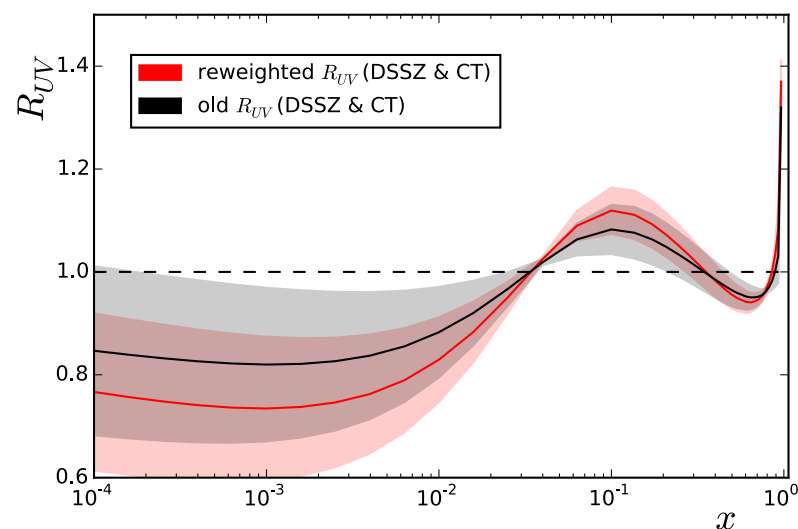
- Repeat the previous analysis but reducing the total error bars by a factor 3 (not for this year's run - factor 2 in statistics, same detectors; it might be possible for Run 4).

EPS09+CT10



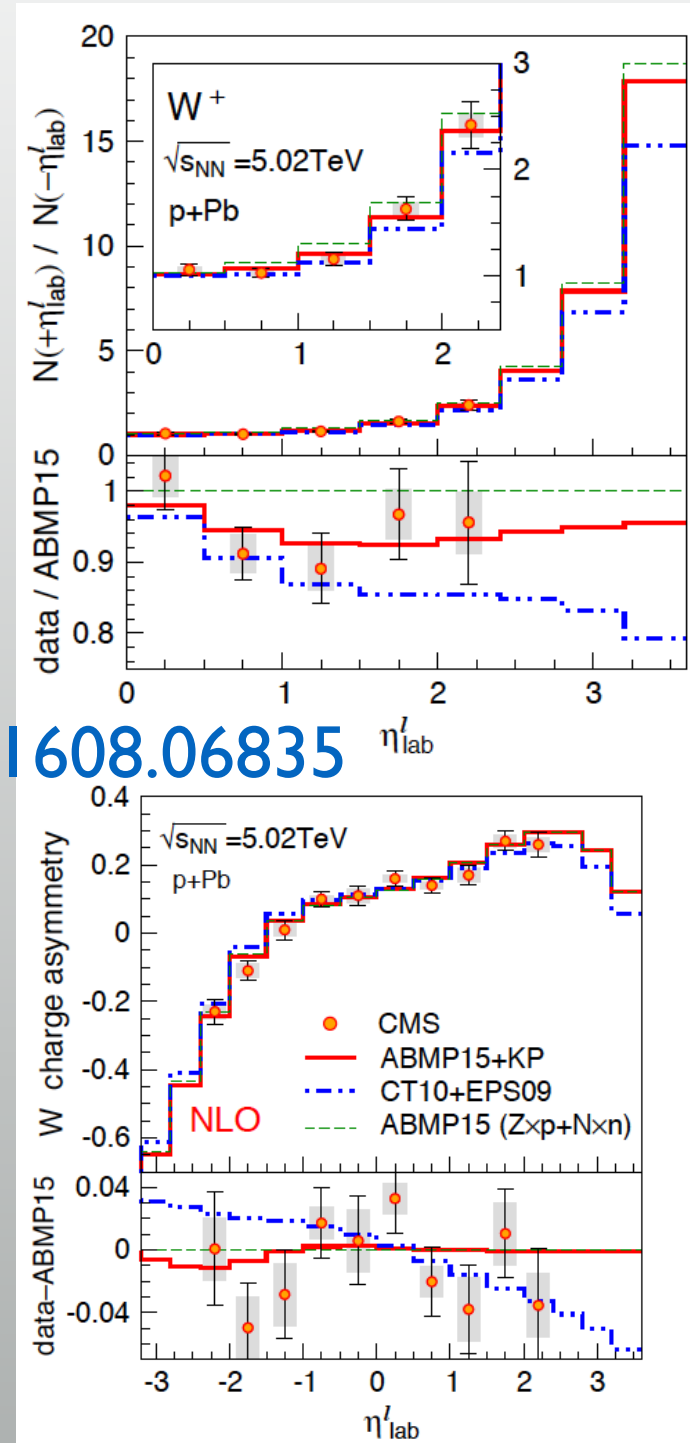
$Q^2 = 1.69$ (4 for DSSZ g) GeV^2

DSSZ+CT10



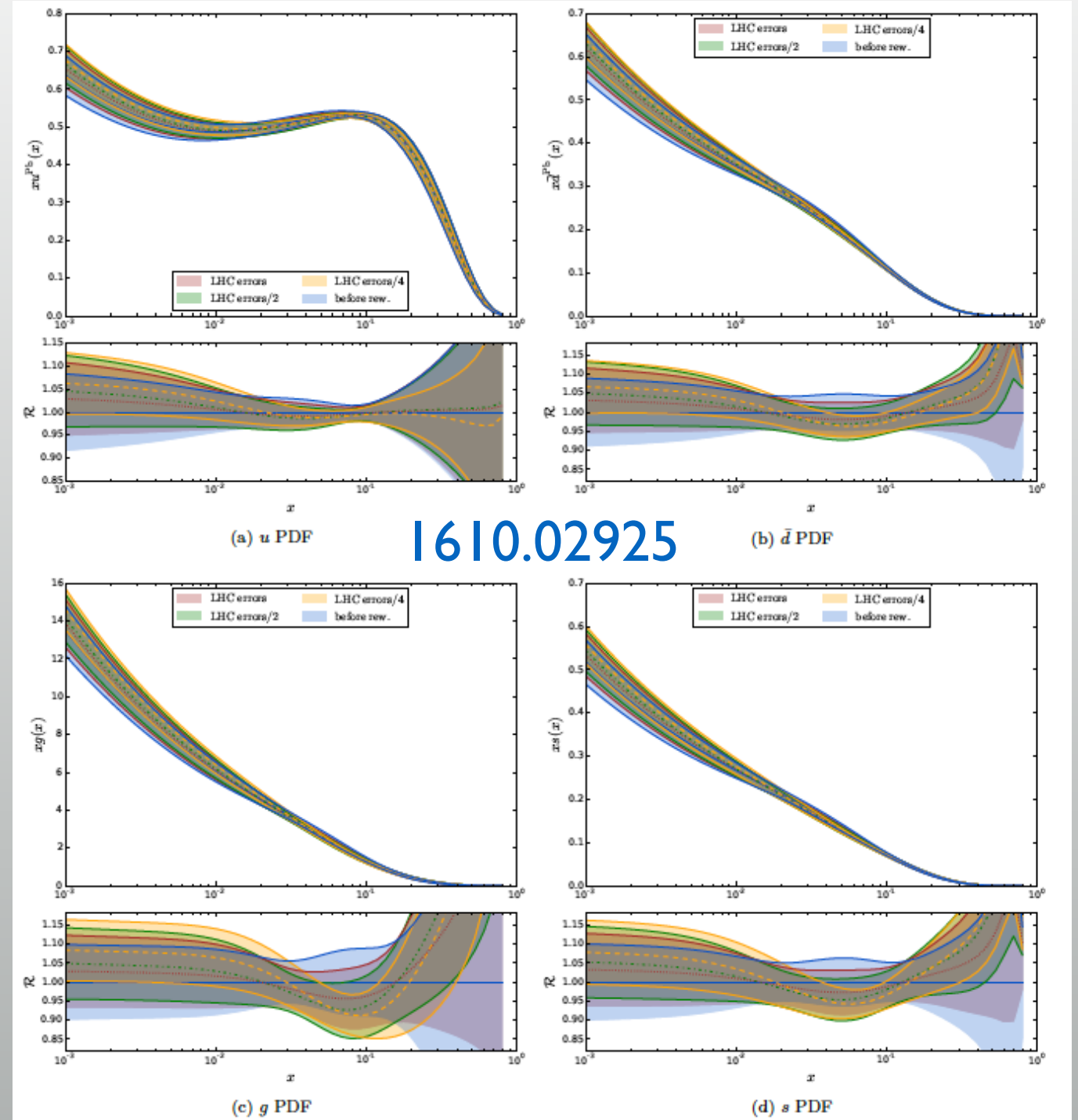
W's and Z's:

- Several studies address the flavour dependence of nuclear effects using EW bosons: sensitive to R_u/R_d at large x .



1608.06835

KP model

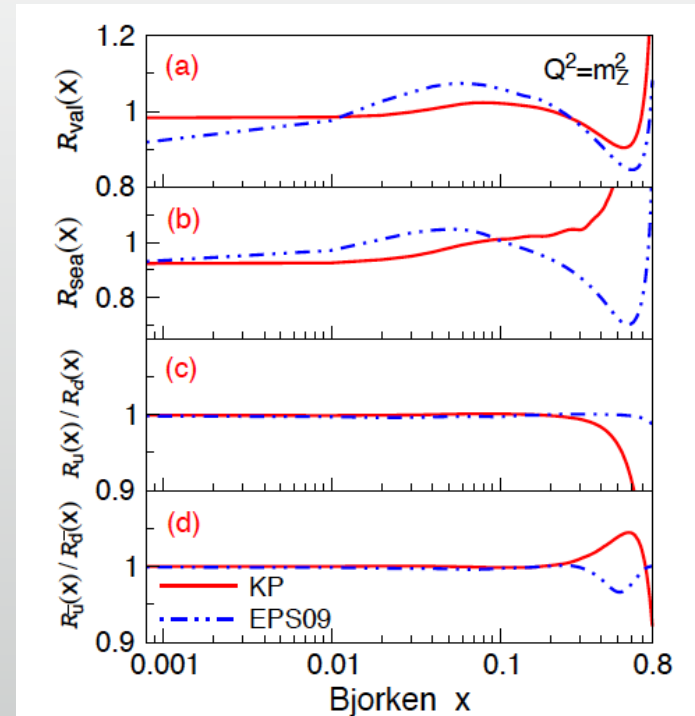


1610.02925

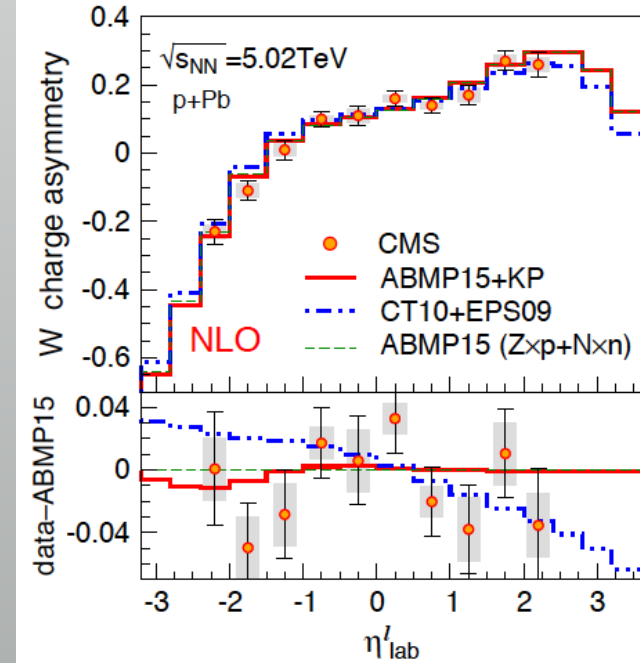
nCTEQ15, reweighting

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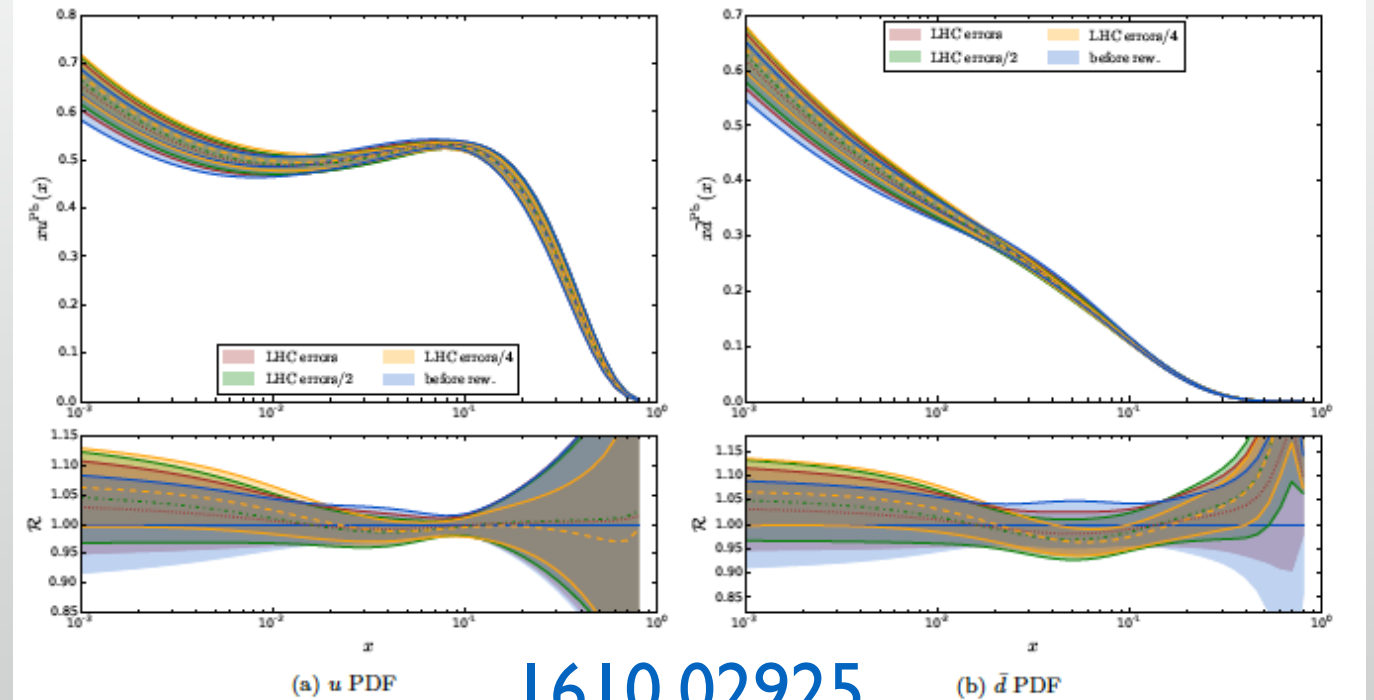
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1608.06835 η'_{lab}



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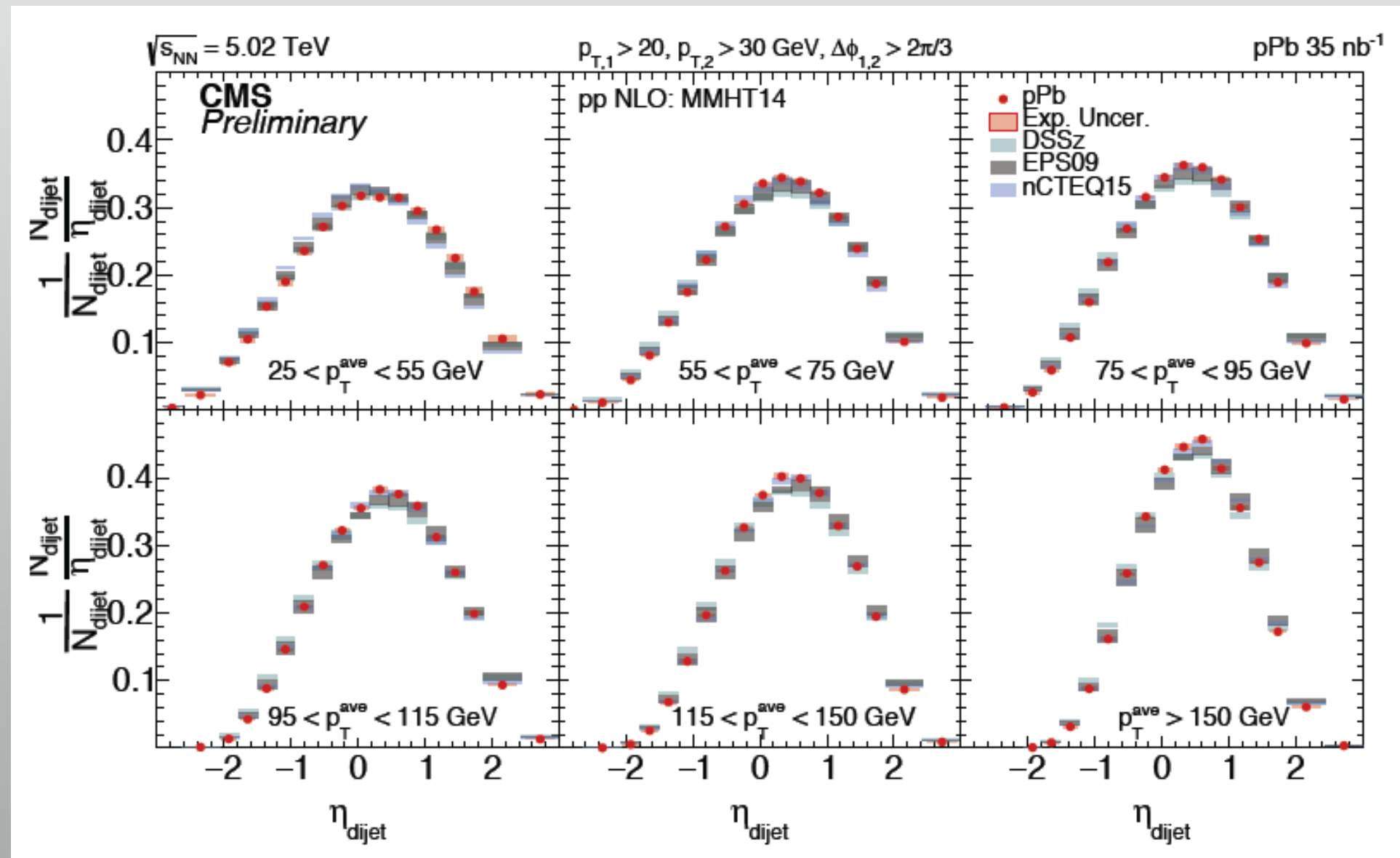
CMS dijets reanalysed:

- CMS dijets were the most constraining item ([1408.4563](#)), to substitute PHENIX pions for constraining the glue at $x \sim 0.01$.
- New more differential analysis ([CMS PAS HIN-16-003](#)) show differences between PDF/nPDF sets.
- Impact to be evaluated: NNLO jets needed ([1611.01460](#))?

Antik_T, R-0.3,

$$\eta_{\text{dijet}} = (\eta_1 + \eta_2)/2,$$

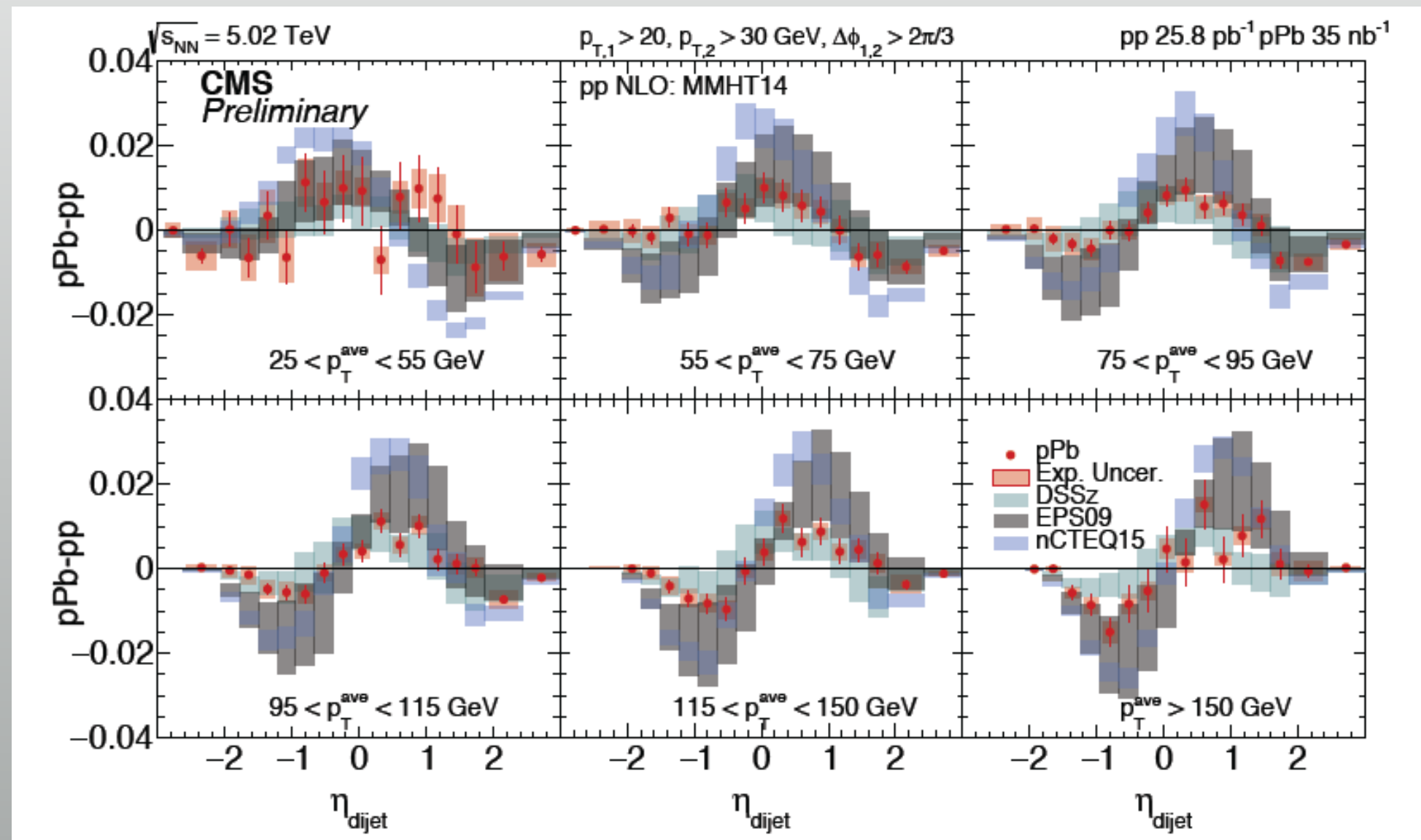
$$p_T^{\text{ave}} = (p_{T,1} + p_{T,2})/2$$



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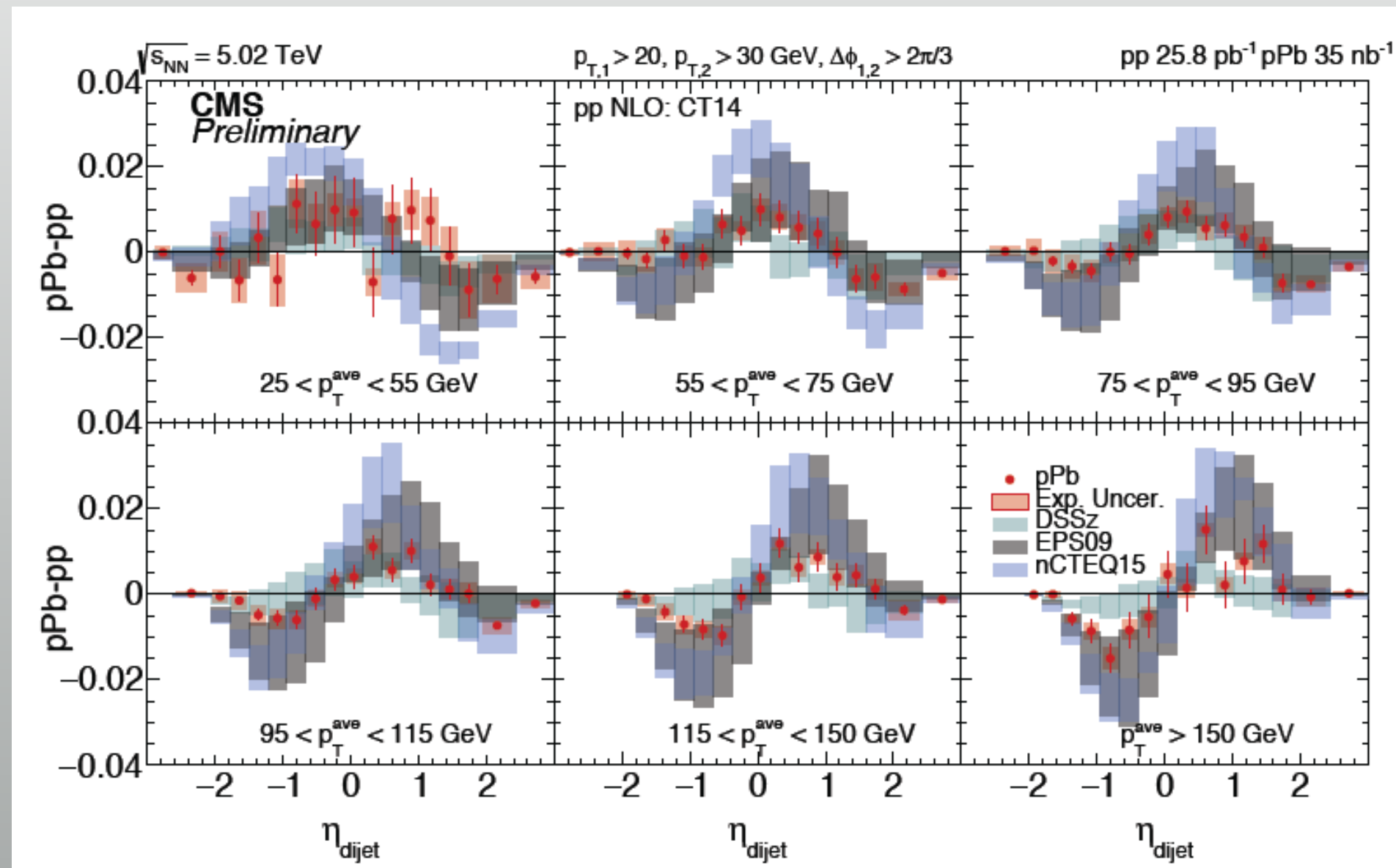
Antik_T, R-0.3,
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CMS dijets reanalysed:

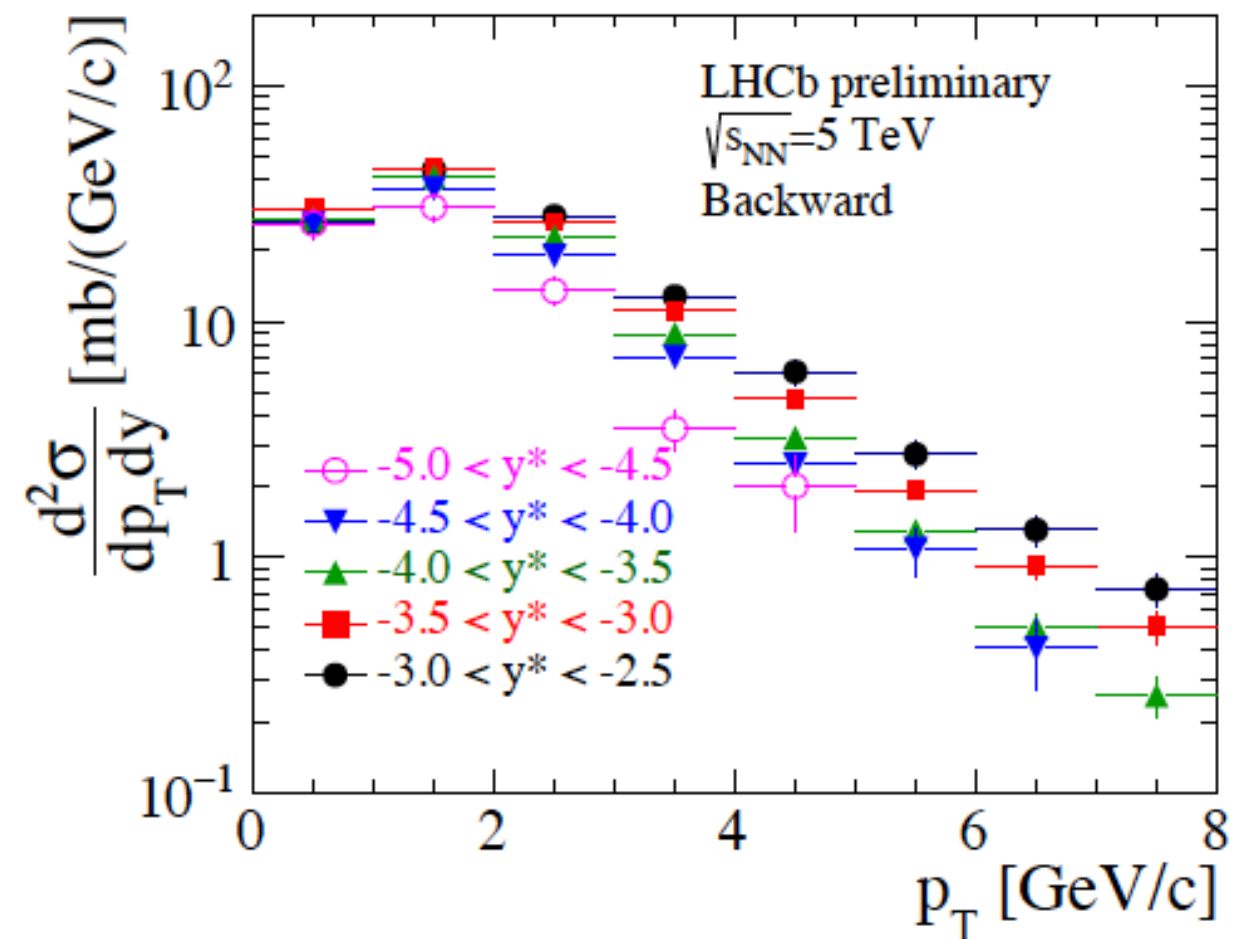
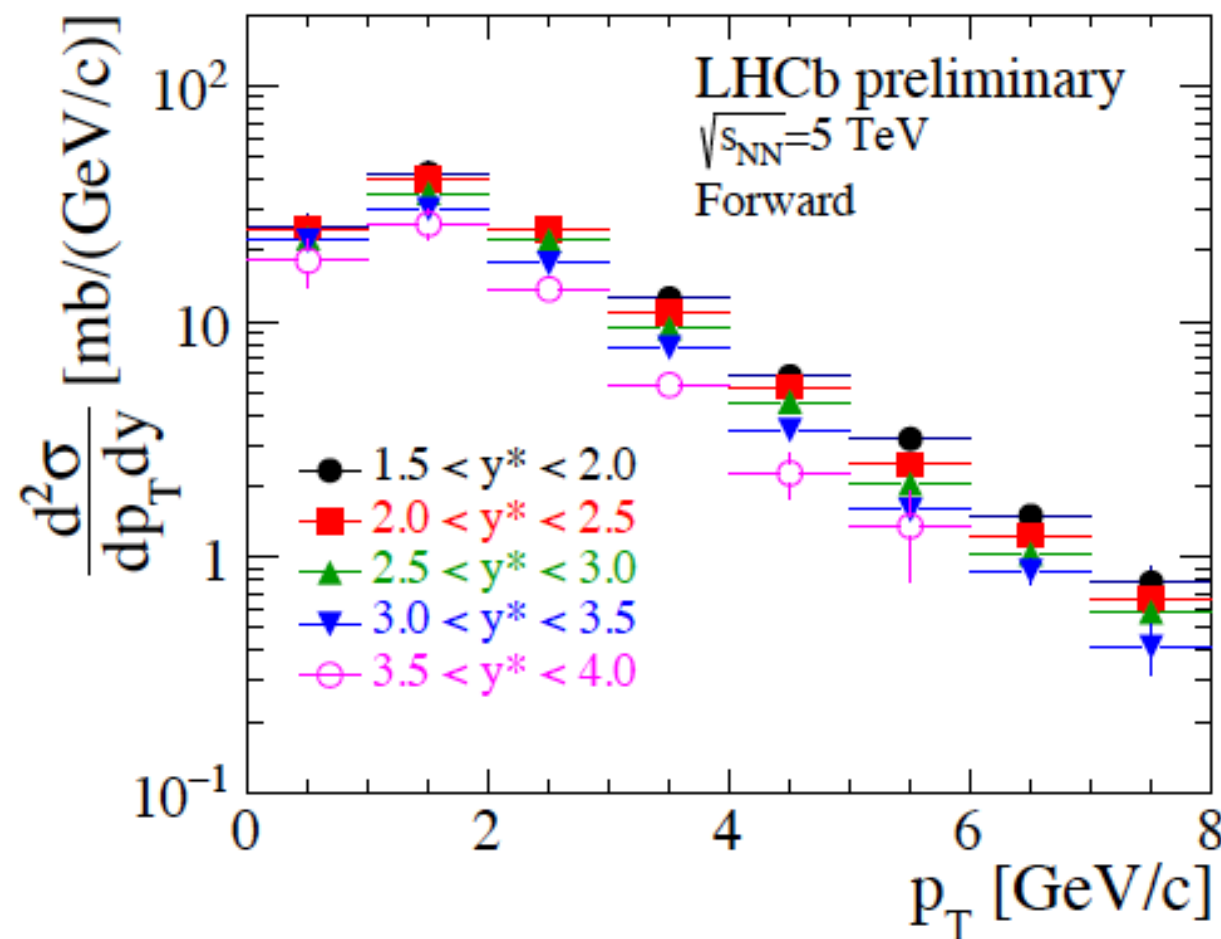
- CMS dijets were the most constraining item (1408.4563), to substitute PHENIX pions for constraining the glue at $x \sim 0.01$.
- New more differential analysis (CMS PAS HIN-16-003) show differences between PDF/nPDF sets.
- Impact to be evaluated: NNLO jets needed (1611.01460)?

Antik_T, R-0.3,
 $\eta_{\text{dijet}} = (\eta_1 + \eta_2)/2$,
 $p_T^{\text{ave}} = (p_{T,1} + p_{T,2})/2$



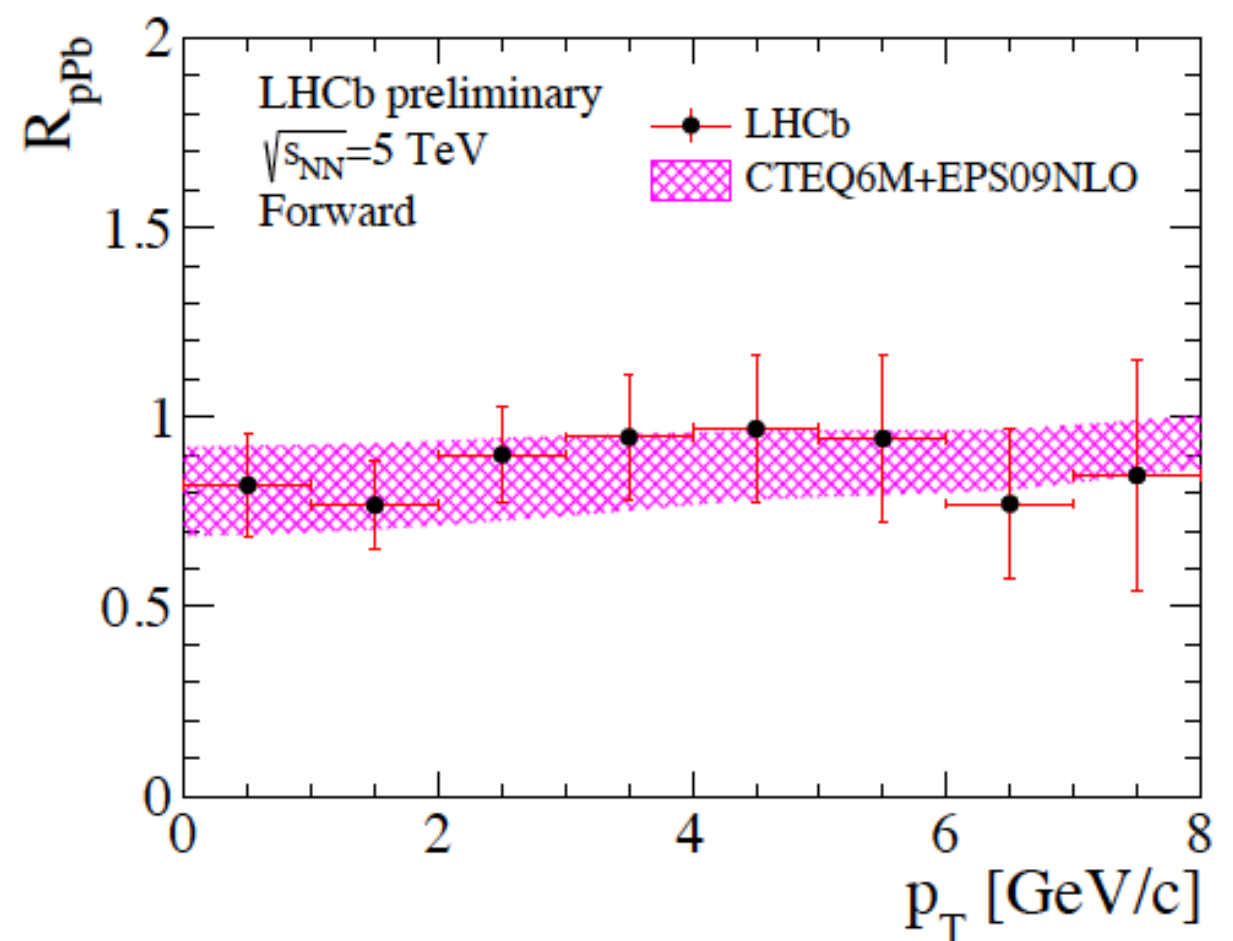
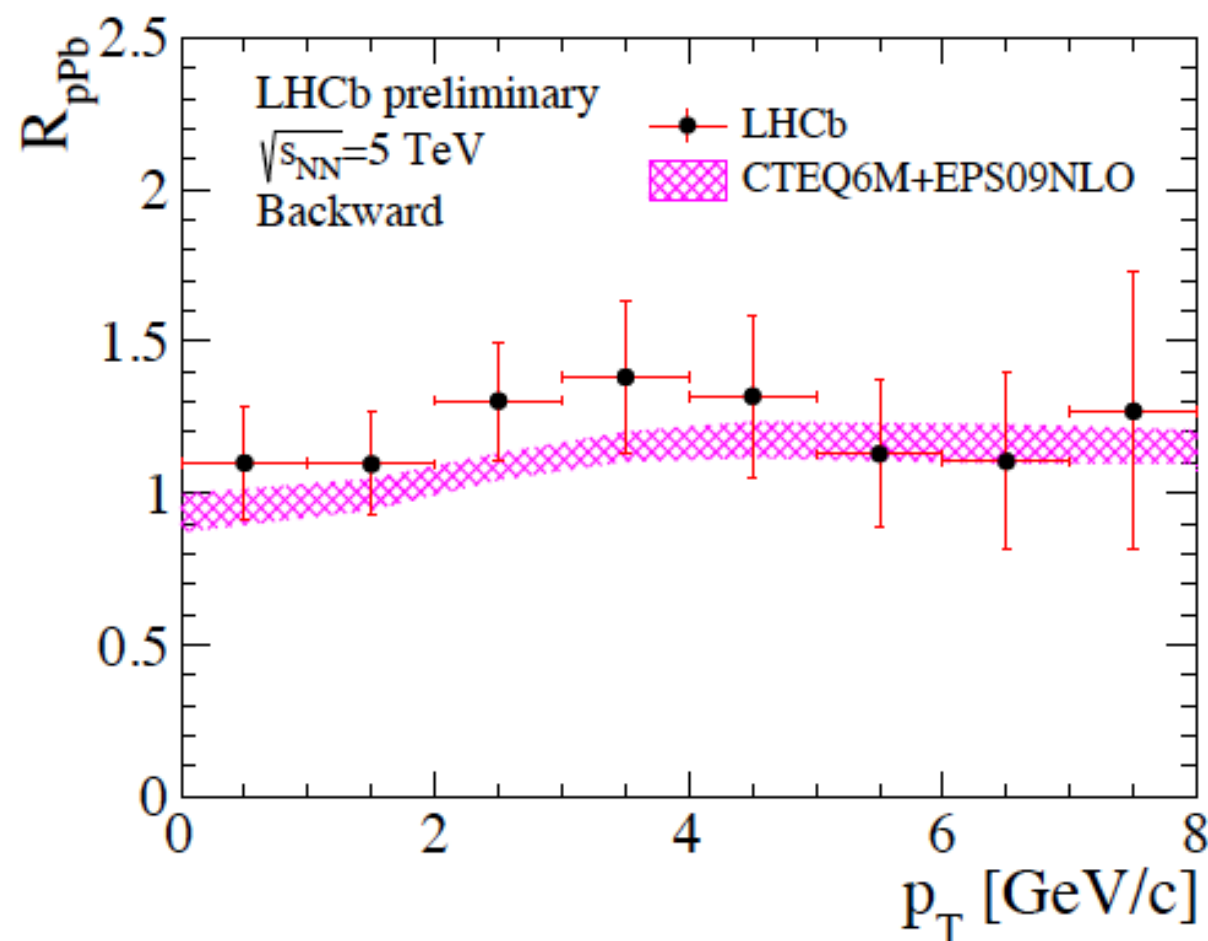
D mesons and UPCs:

- D's (combinations of spectra at 5, 7 and 13 TeV, [1610.09373](#)) have been used to constrain the small-x glue in p, and similar proposals exist for using exclusive J/ ψ in UPCs ([1610.02272](#)).
- D⁰ mesons in pPb from LHCb ([LHCb-CONF-2016-003](#), not yet using 5 TeV pp data) look compatible with collinear factorisation.



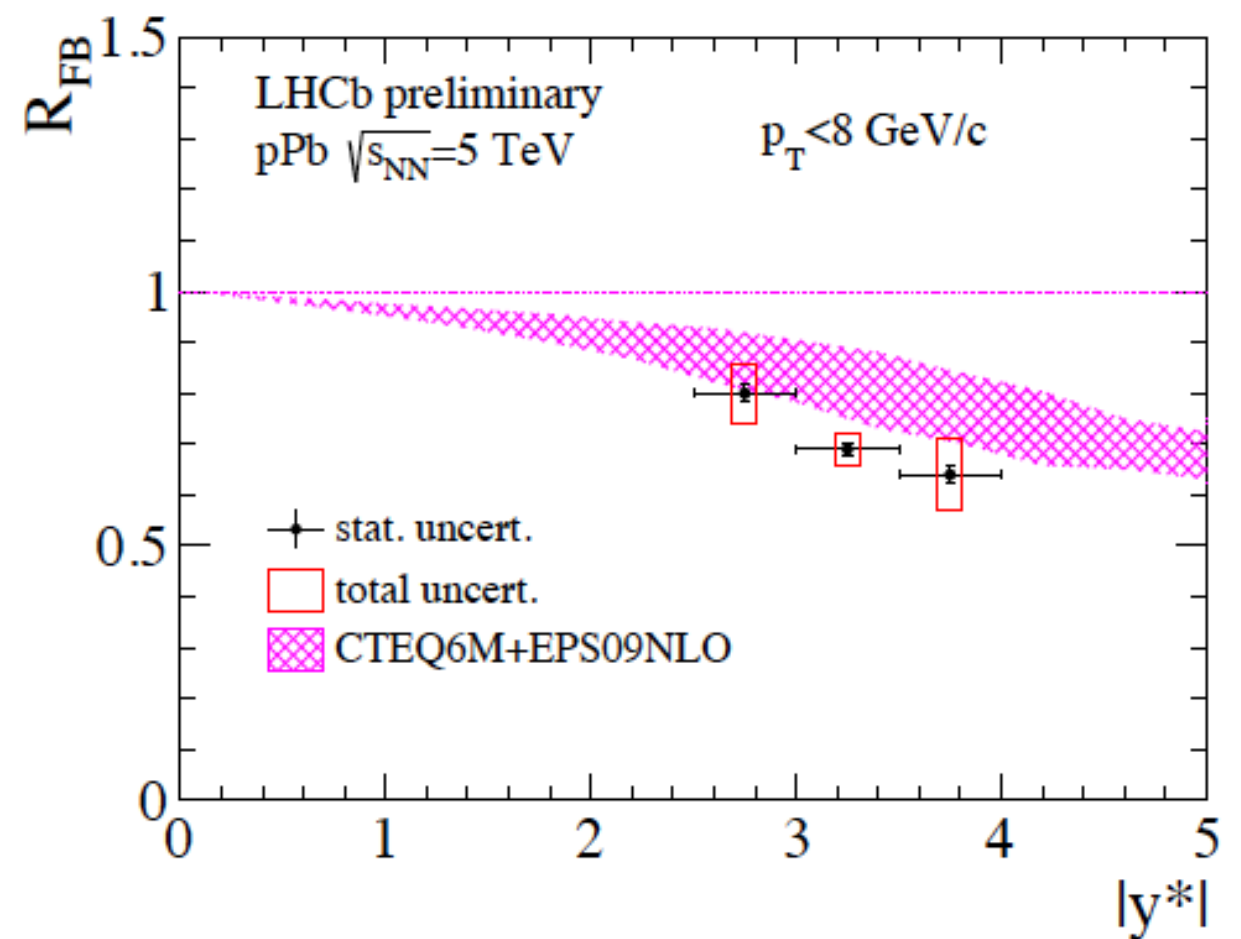
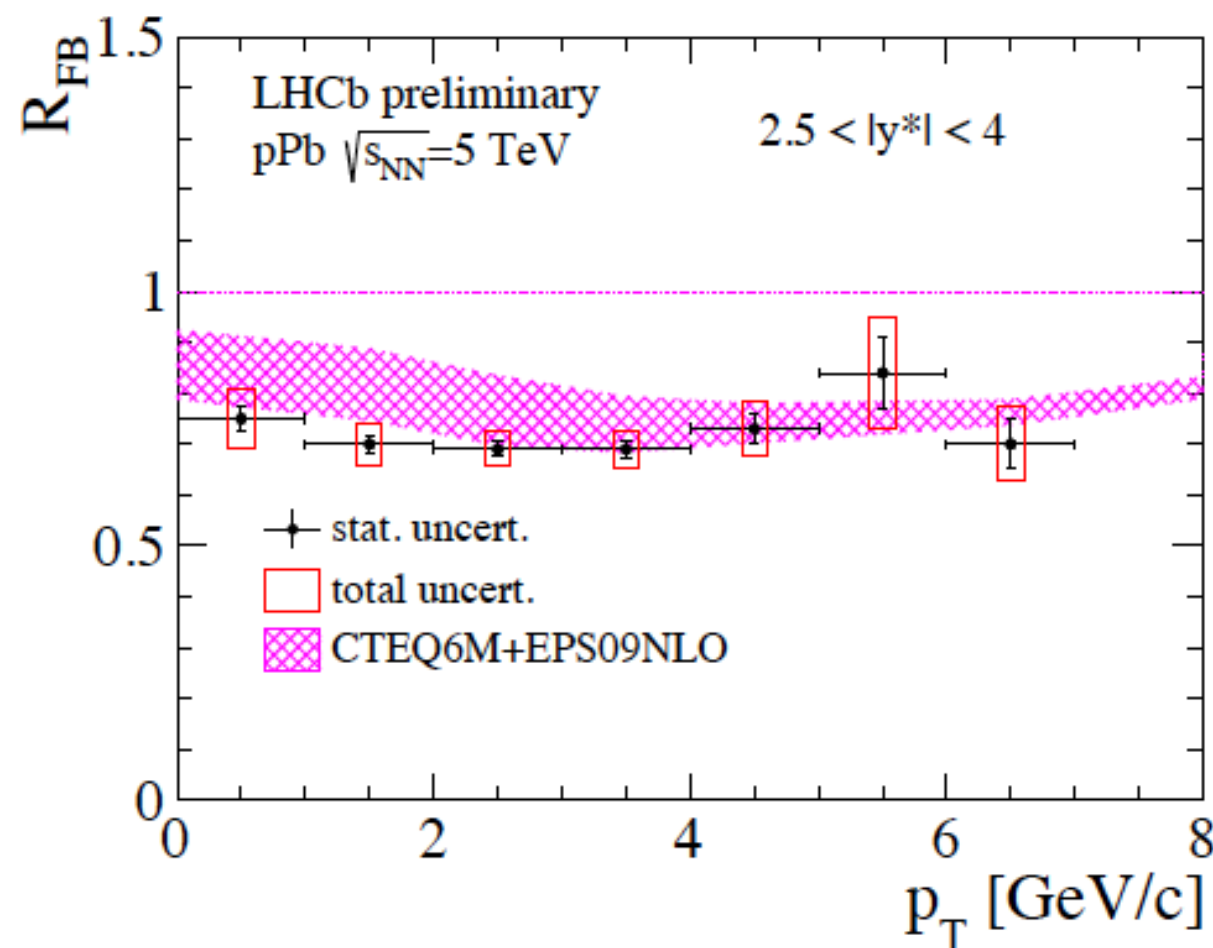
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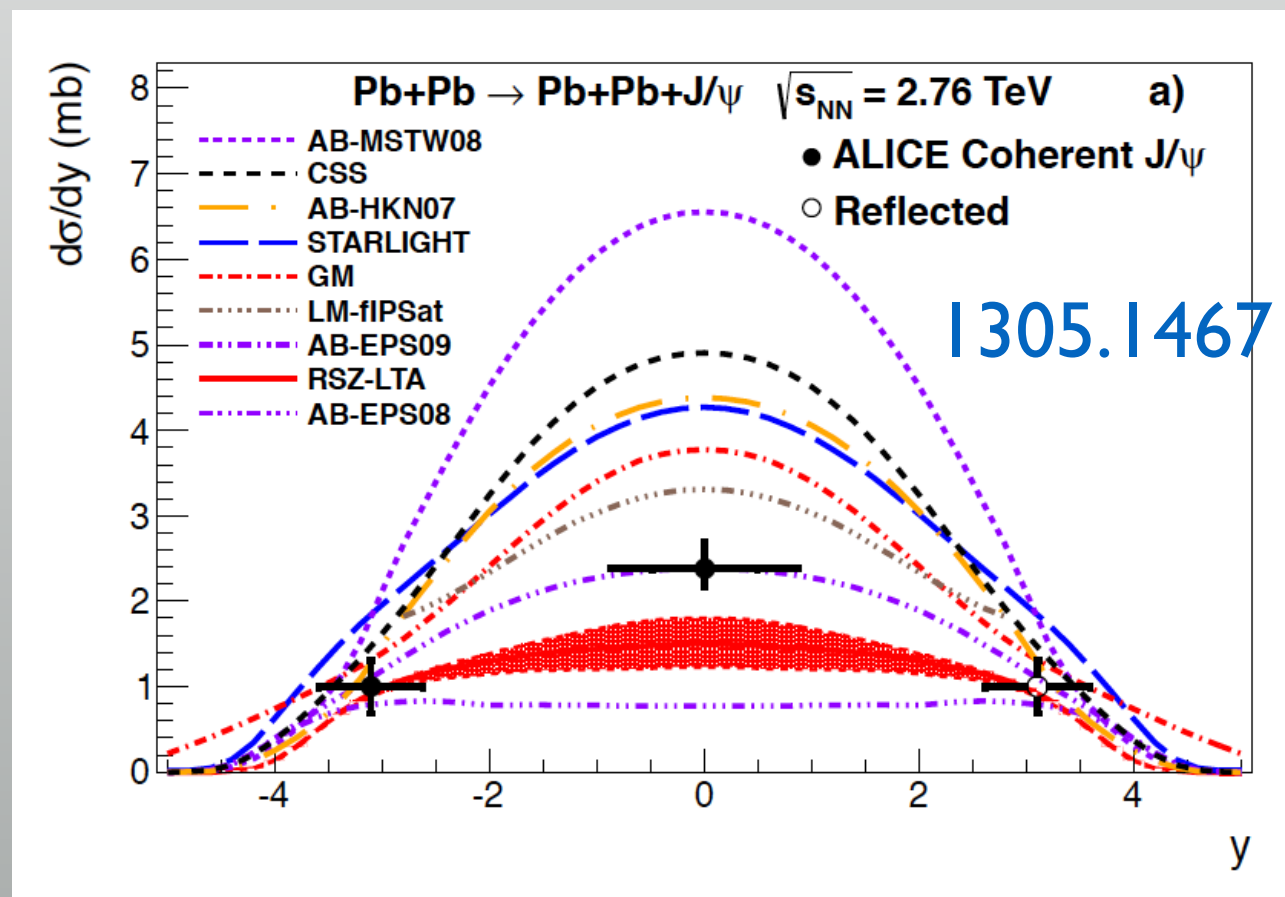
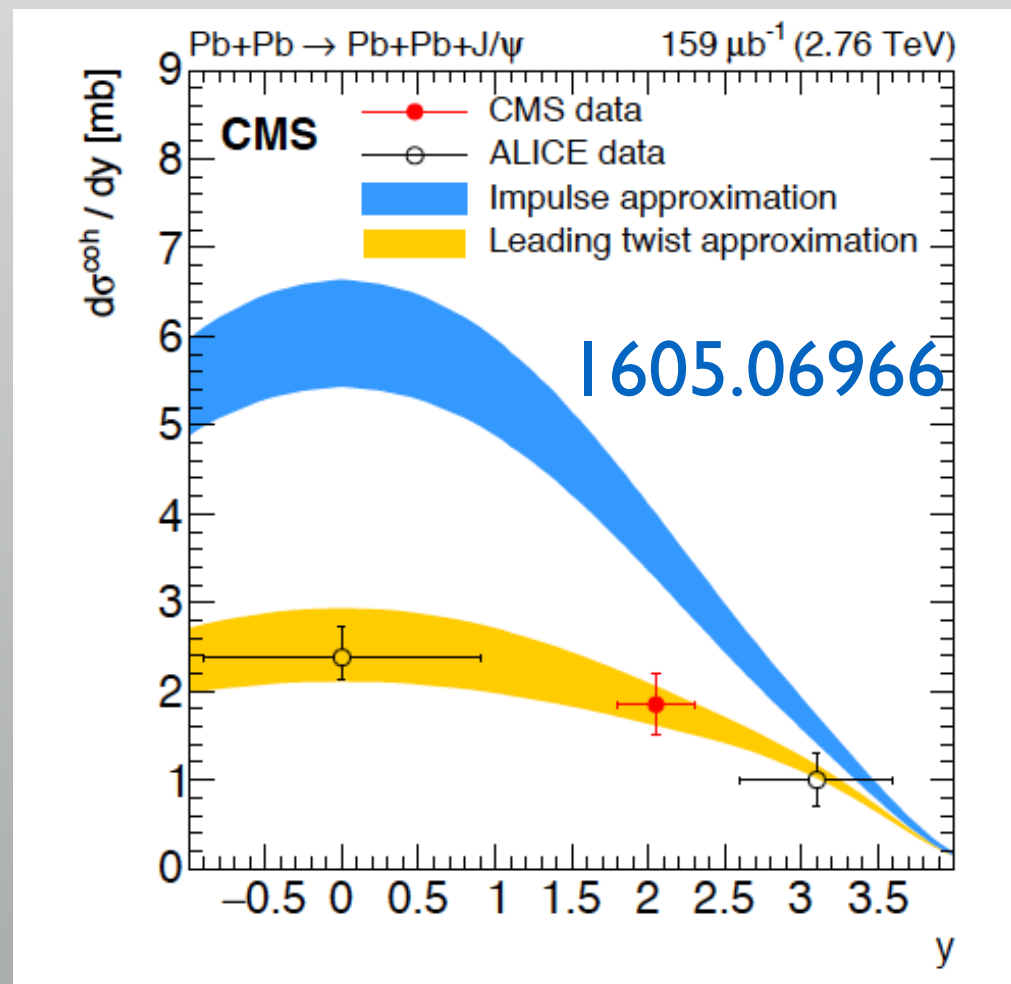
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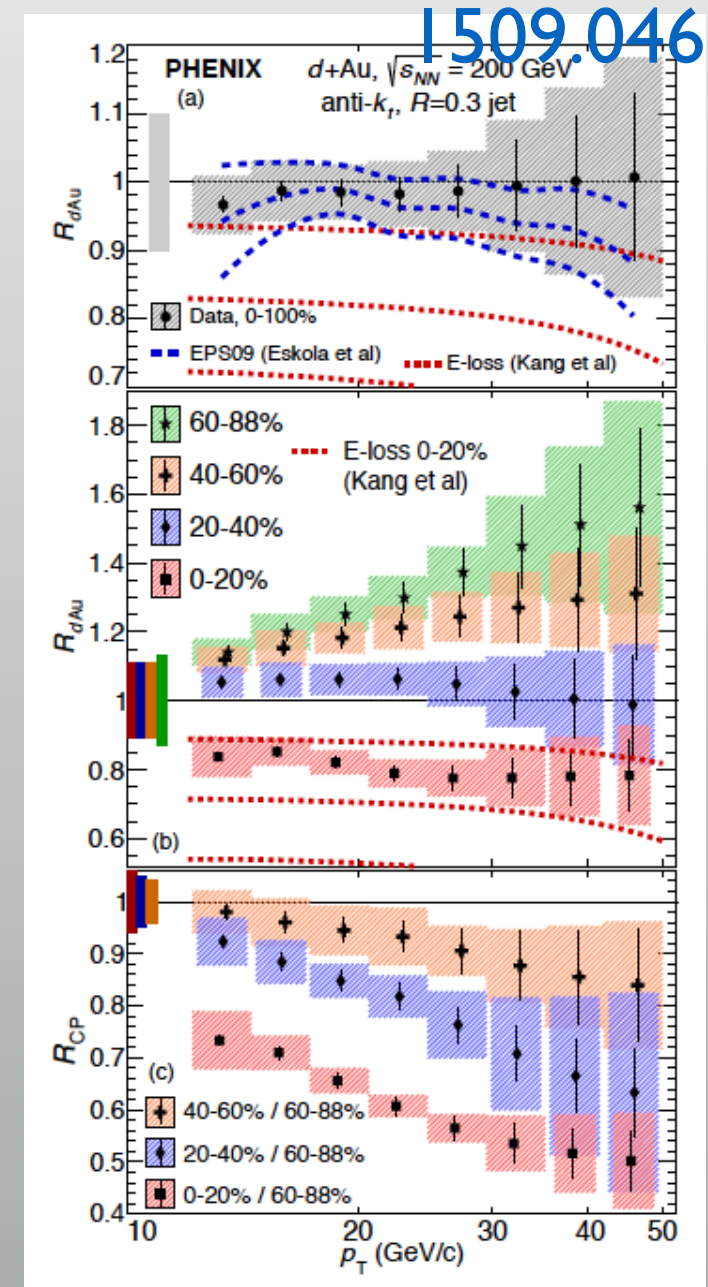
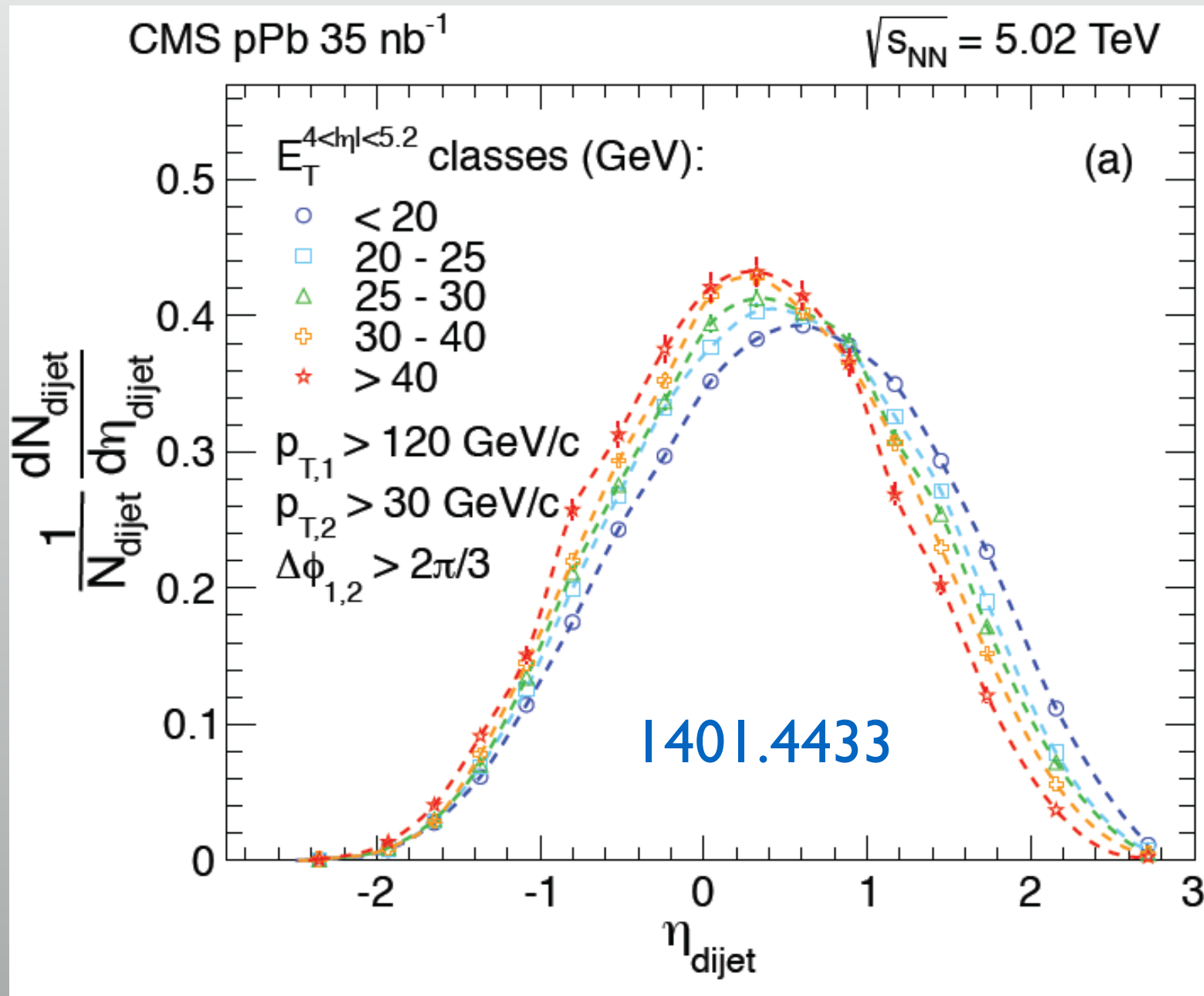
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- J/ψ production in UPCs indicates gluon shadowing at small x.



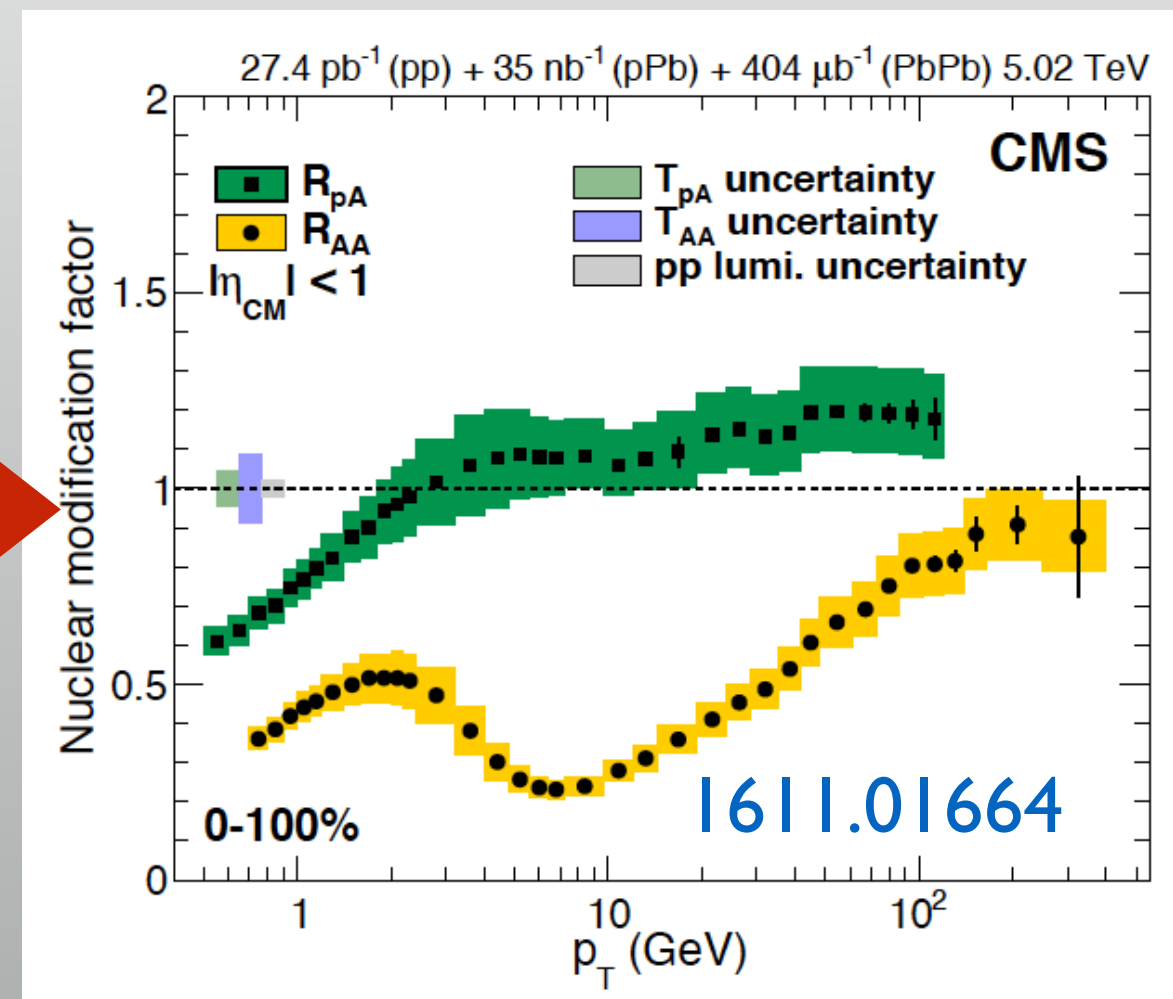
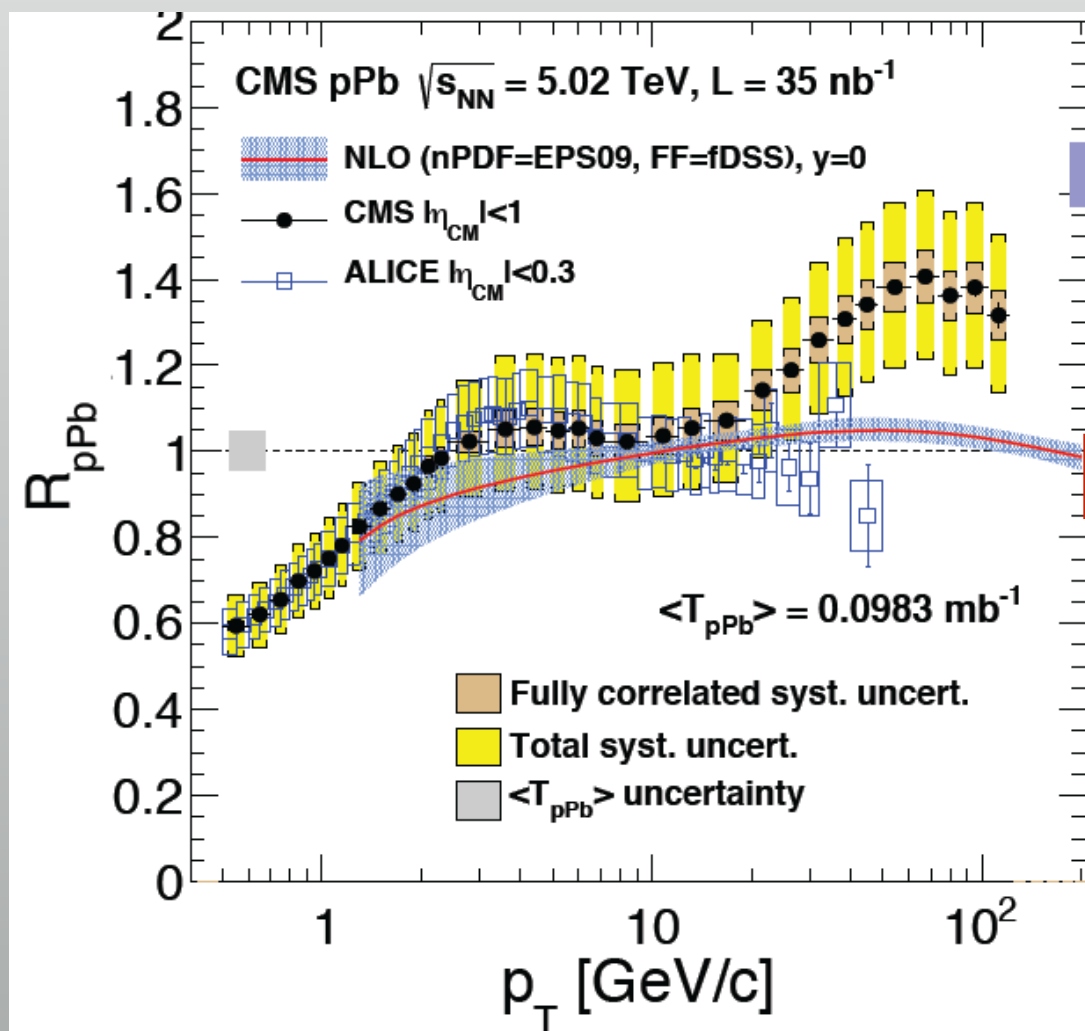
Centrality:

- Centrality studies in pPb are problematic: CMS dijets, ATLAS and PHENIX jets, J/ψ ,... (ALICE ZDC probably the best option).
- Coupling of soft and hard production, included in models, is able to reproduce the trends in data, [see the talk by Milhano in HP2015](#).



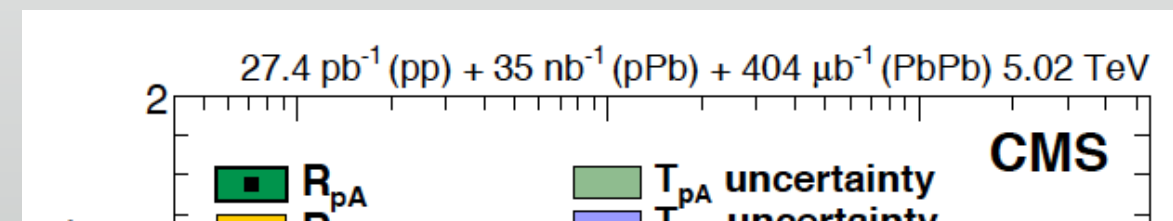
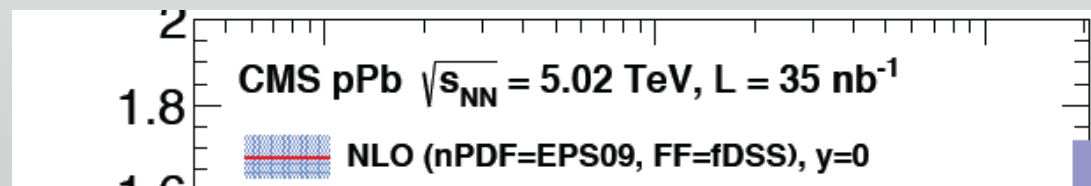
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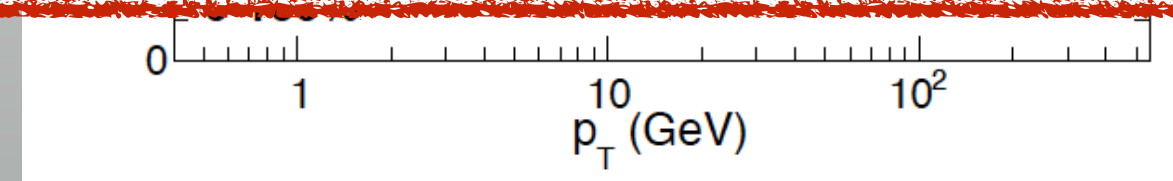
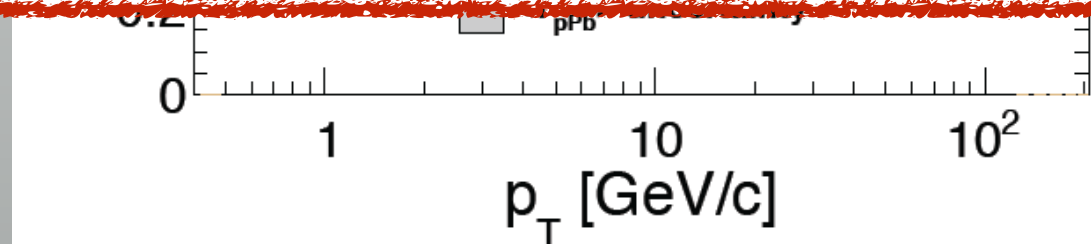


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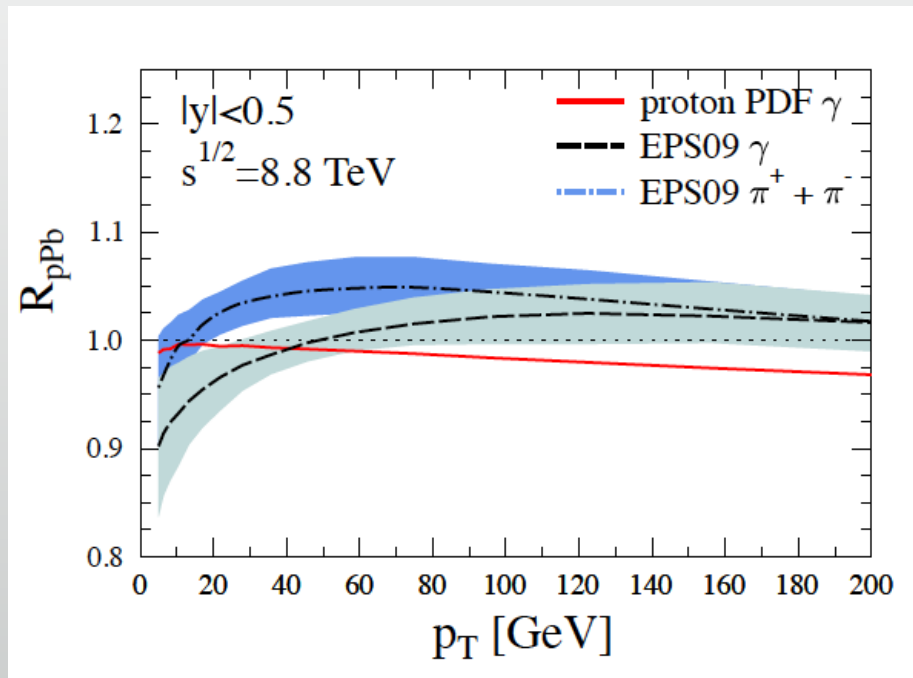


- In my view, this is one issue where a lepton-hadron/nucleus machine is a must, as we have to disentangle between the impact parameter picture of proton/nucleus (eA) and the dynamics of particle production in the hadronic collision, that includes the former but is far more complex.

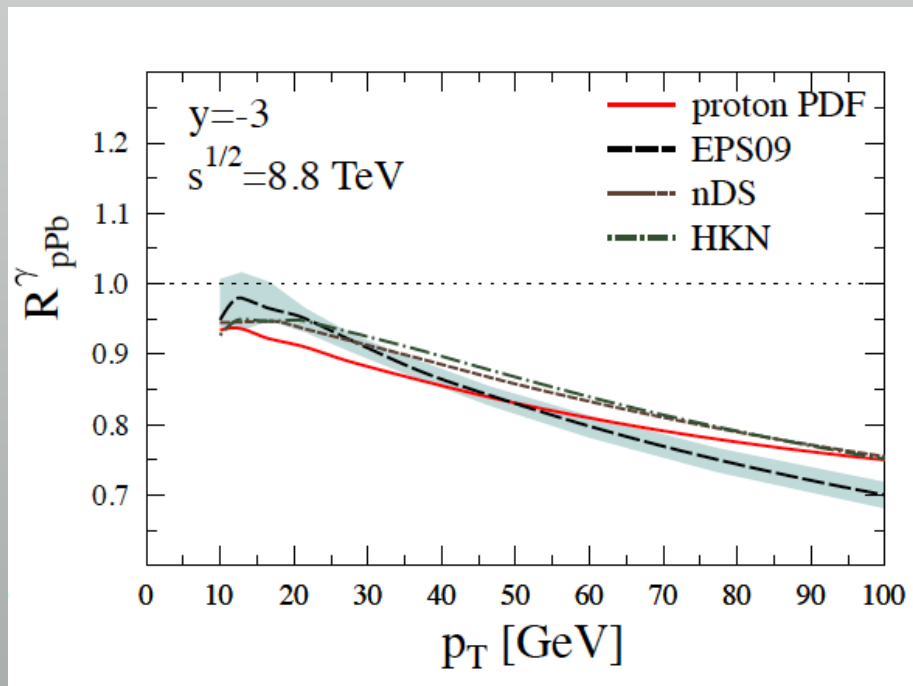


Other possibilities:

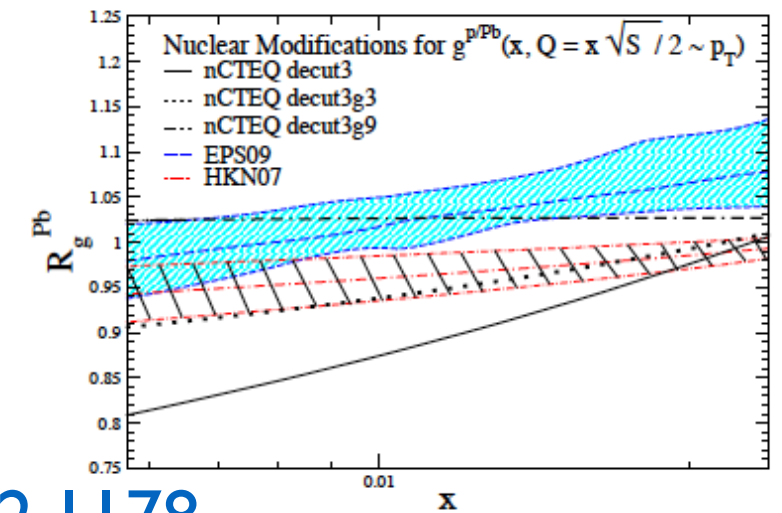
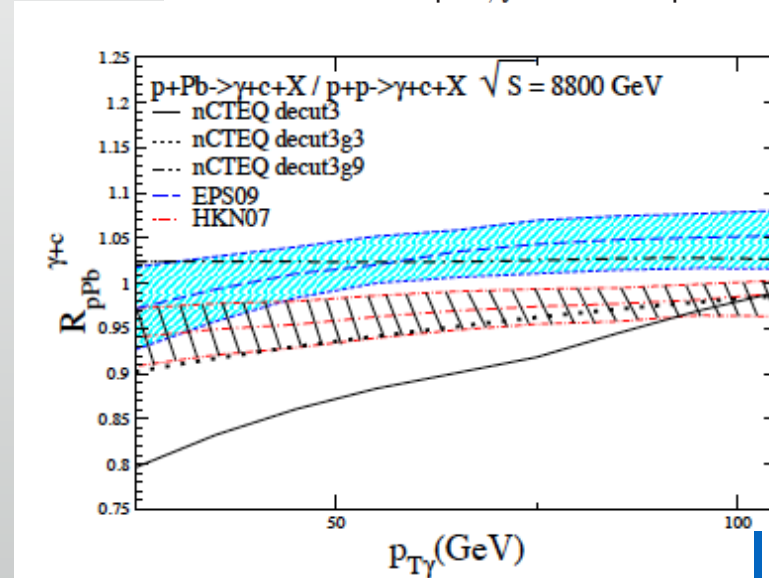
- Forward photons (LHCb, ALICE FoCal), photon+HQ, pion-nucleus DY data, ..., have been proposed.



1103.1471



	p_T	Rapidity	ϕ	Isolation Cuts
Photon (PHOS)	$p_{T,\gamma}^{min} = 20 \text{ GeV}$	$ y_\gamma < 0.12$	$220^\circ < \phi < 320^\circ$	$R = 0.2, p_T^{th} = 2 \text{ GeV}$
Photon (EMCal)	$p_{T,\gamma}^{min} = 20 \text{ GeV}$	$ y_\gamma < 0.7$	$80^\circ < \phi < 180^\circ$	$R = 0.2, p_T^{th} = 2 \text{ GeV}$
Heavy Jet	$p_{T,Q}^{min} = 15 \text{ GeV}$	$ y_Q < 0.7$	—	—

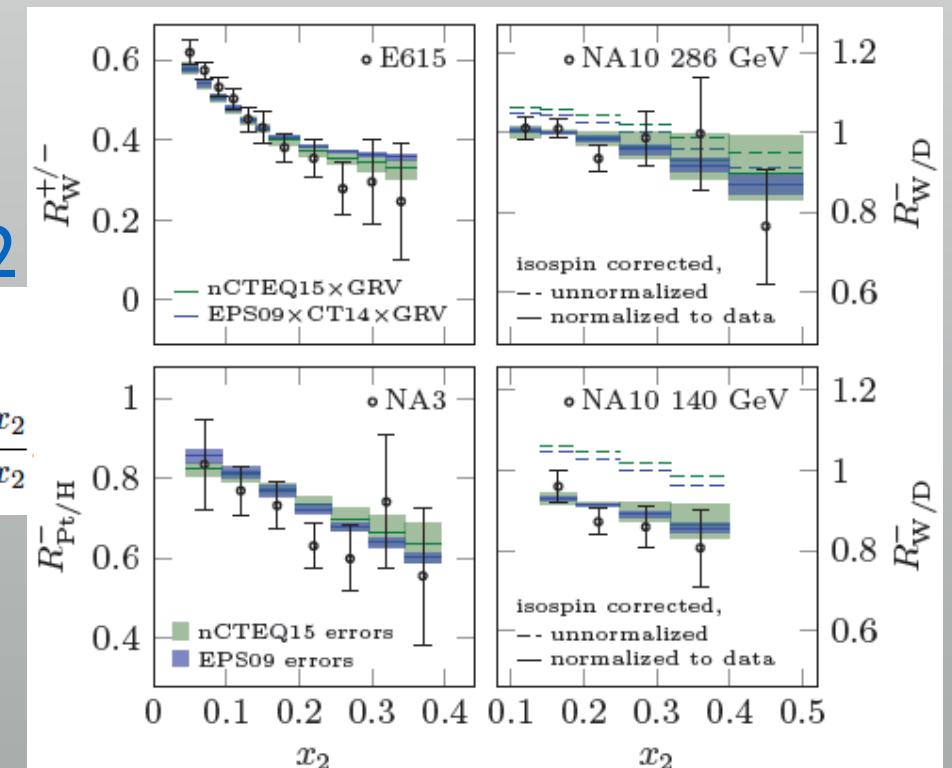


1012.1178

1609.07262

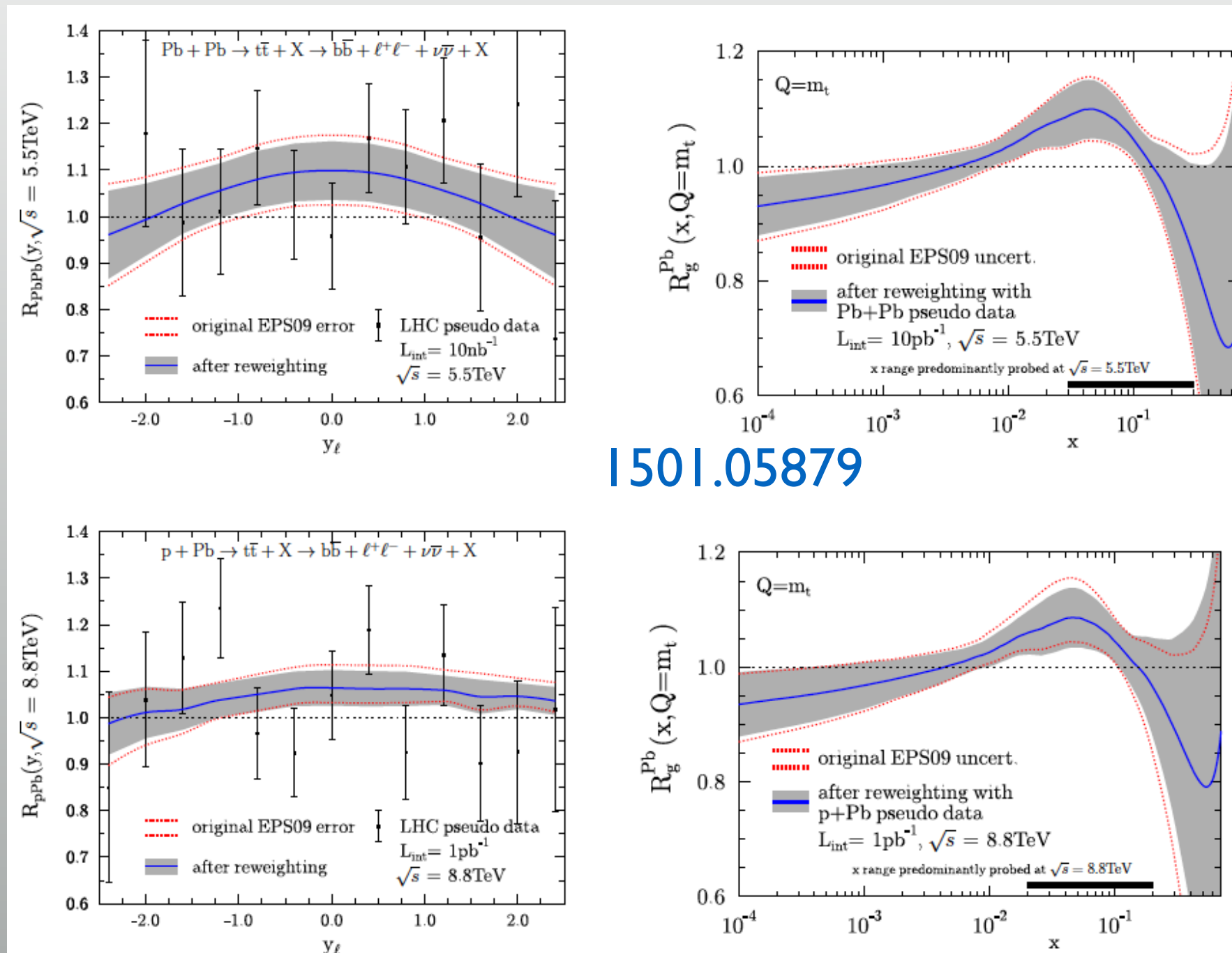
$$R_A^{+/-}(x_2) \equiv \frac{d\sigma(\pi^+ + A \rightarrow l^- l^+ + X)/dx_2}{d\sigma(\pi^- + A \rightarrow l^- l^+ + X)/dx_2},$$

$$R_{A_1/A_2}^-(x_2) \equiv \frac{\frac{1}{A_1} d\sigma(\pi^- + A_1 \rightarrow l^- l^+ + X)/dx_2}{\frac{1}{A_2} d\sigma(\pi^- + A_2 \rightarrow l^- l^+ + X)/dx_2}$$



Other possibilities:

- Forward photons (LHCb, ALICE FoCal), photon+HQ, pion-nucleus DY data, ..., have been proposed.
- Top may be available for Run 4 (and for FCC).



1501.05879

Contents:

1. Introduction.

2. Present status.

3. Impact of LHC pPb data.

4. Electron-ion colliders:

- Framework.

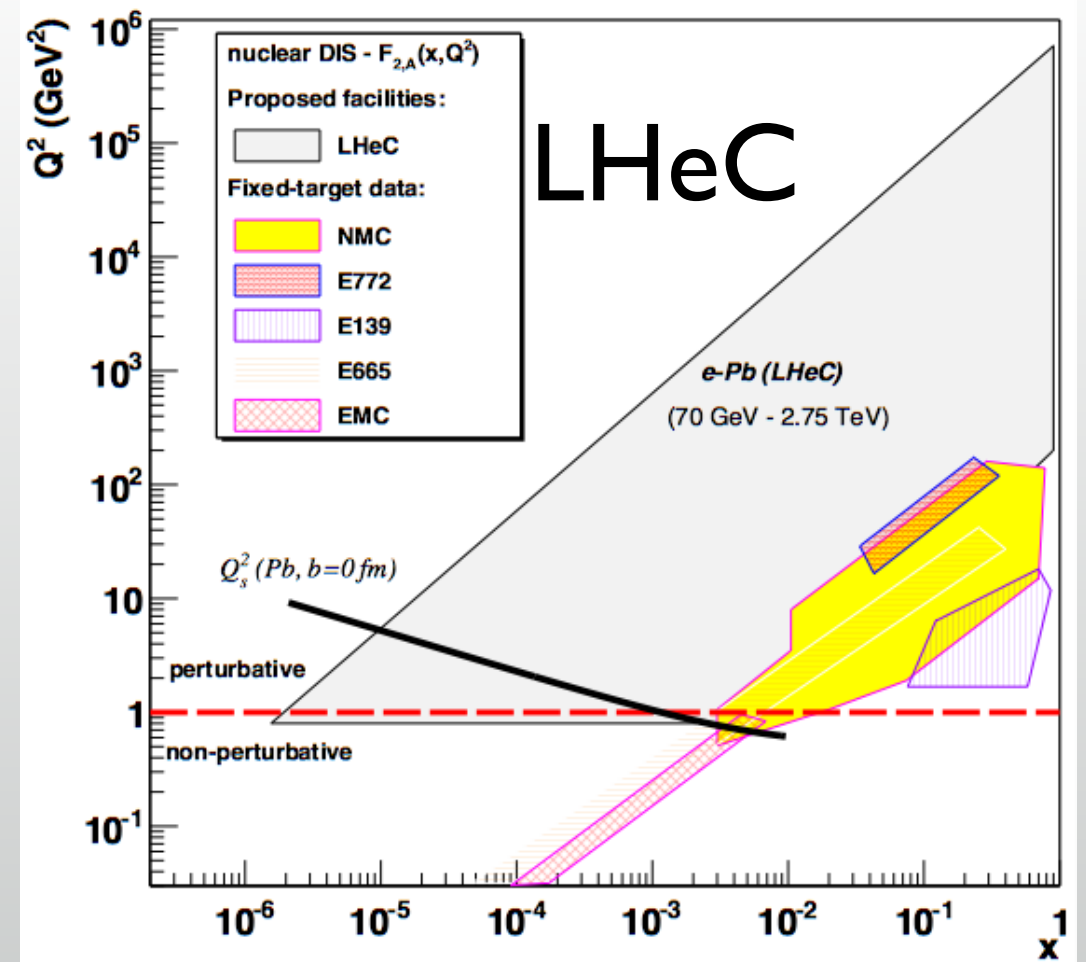
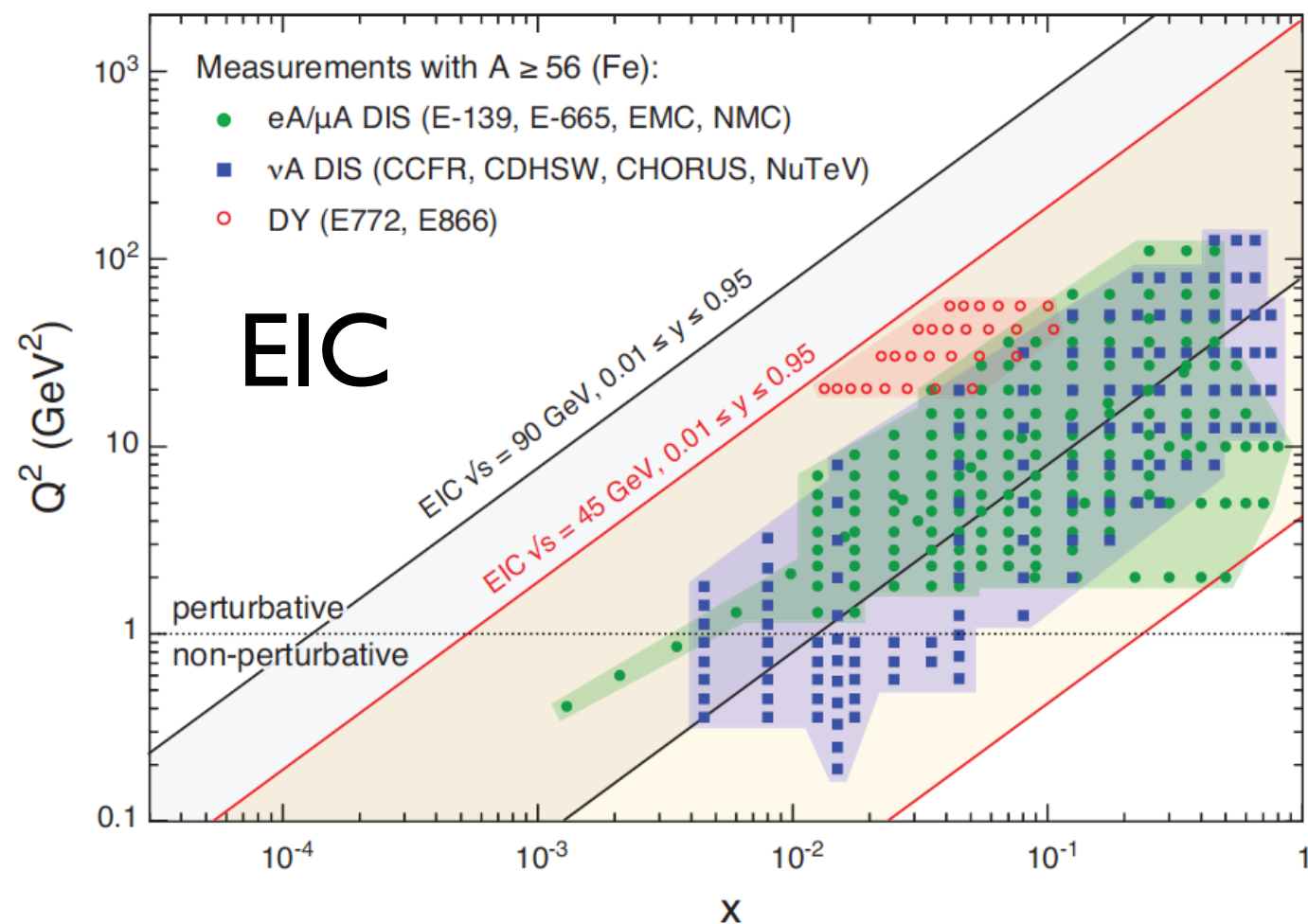
- The EIC.

- The LHeC/FCC-he.

- Deuteron.

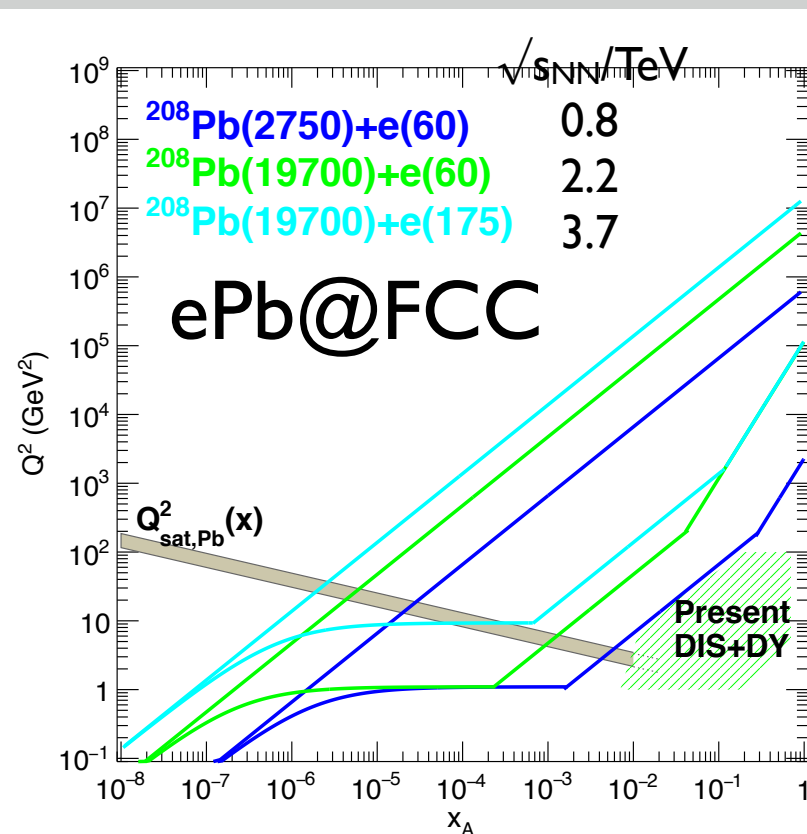
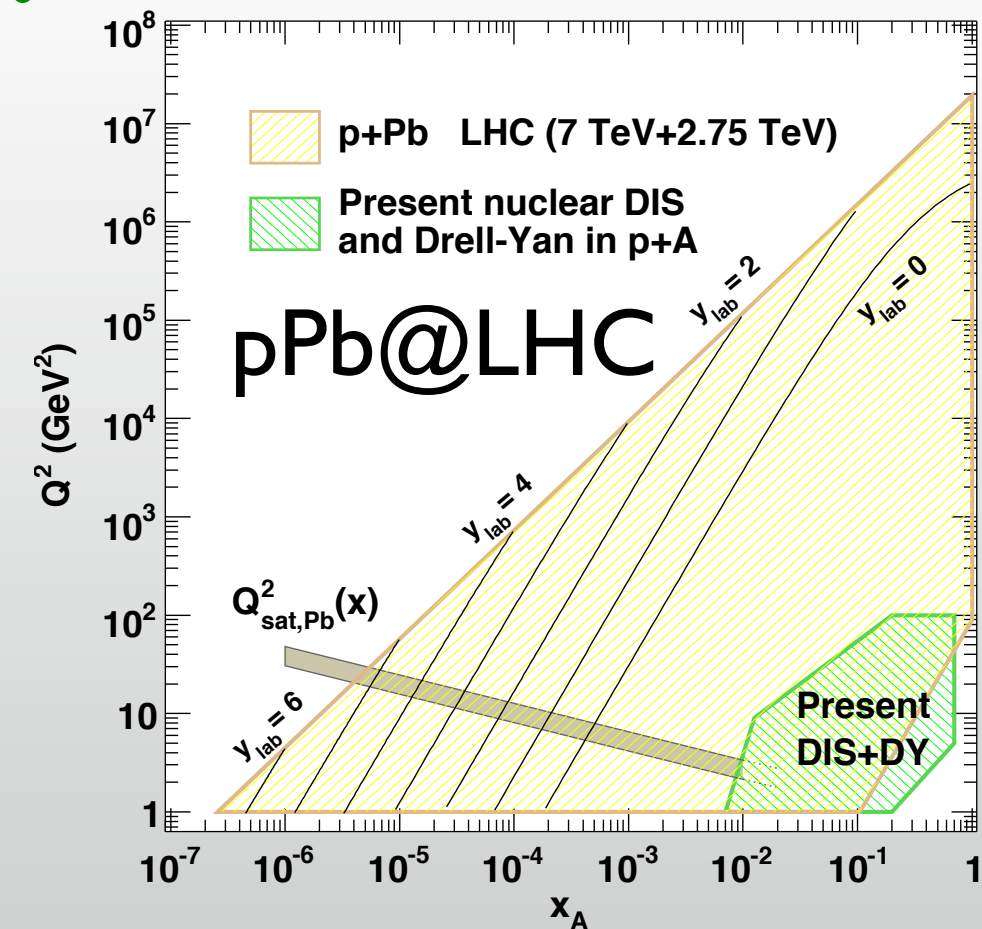
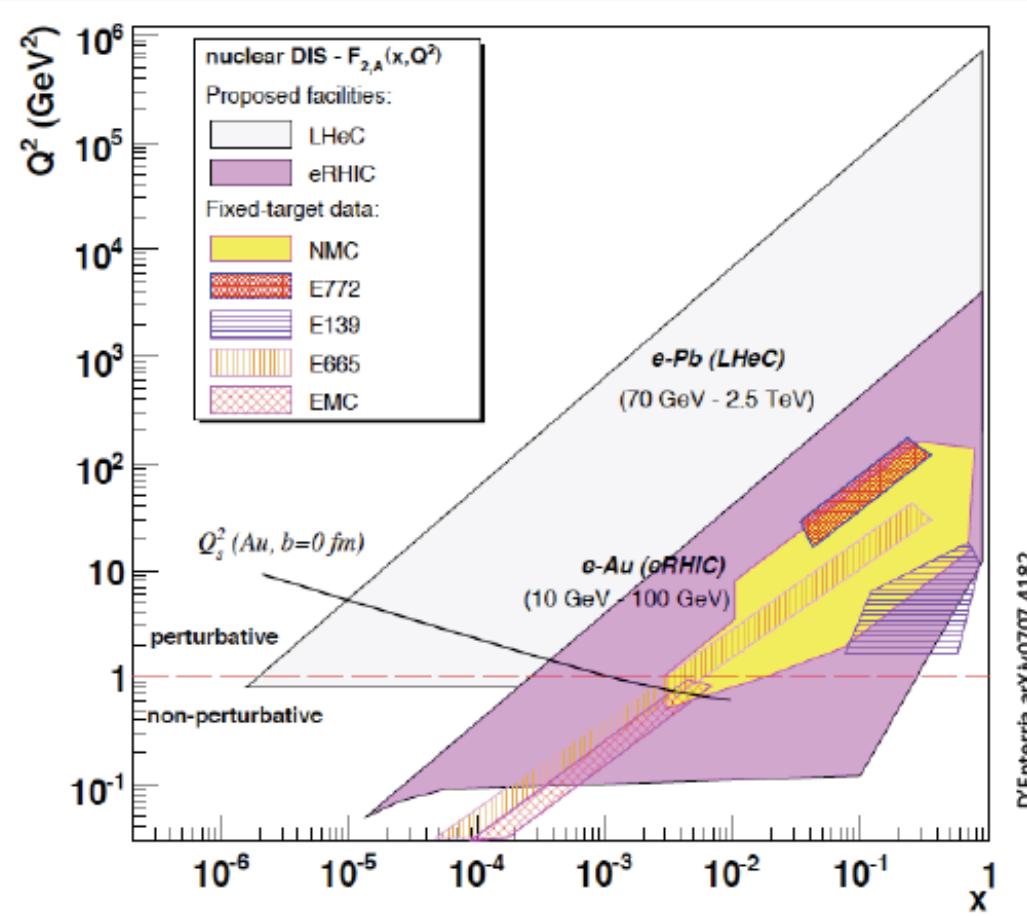
5. Conclusions.

Kinematics:

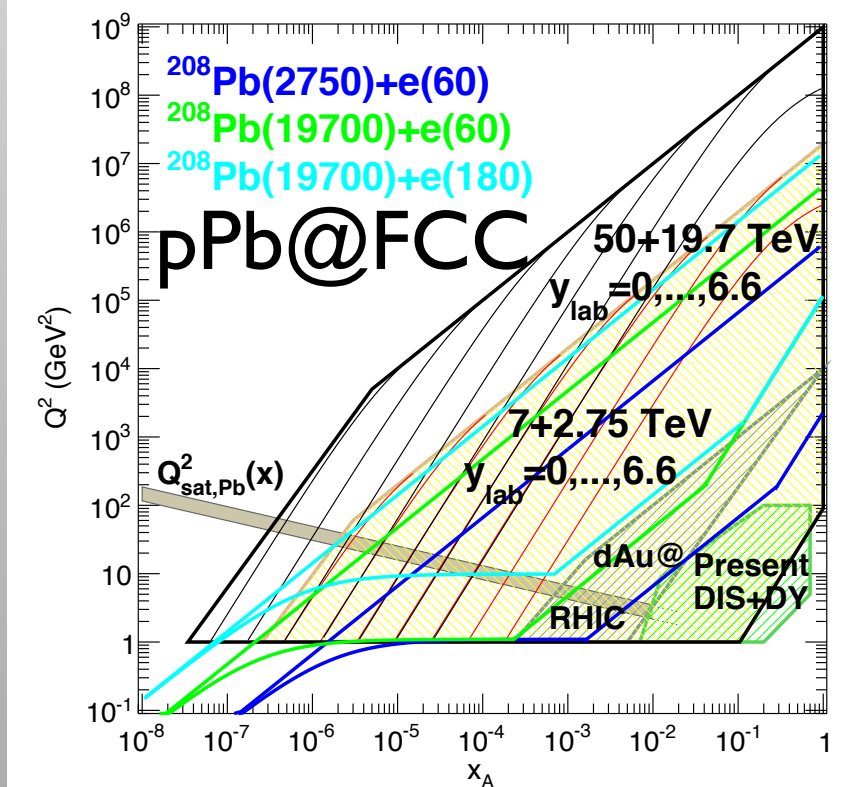


- Advantages of DIS:
 - cleaner experimental setup
e.g. fully constrained kinematics;
 - firmer theoretical grounds.

Kinematics:

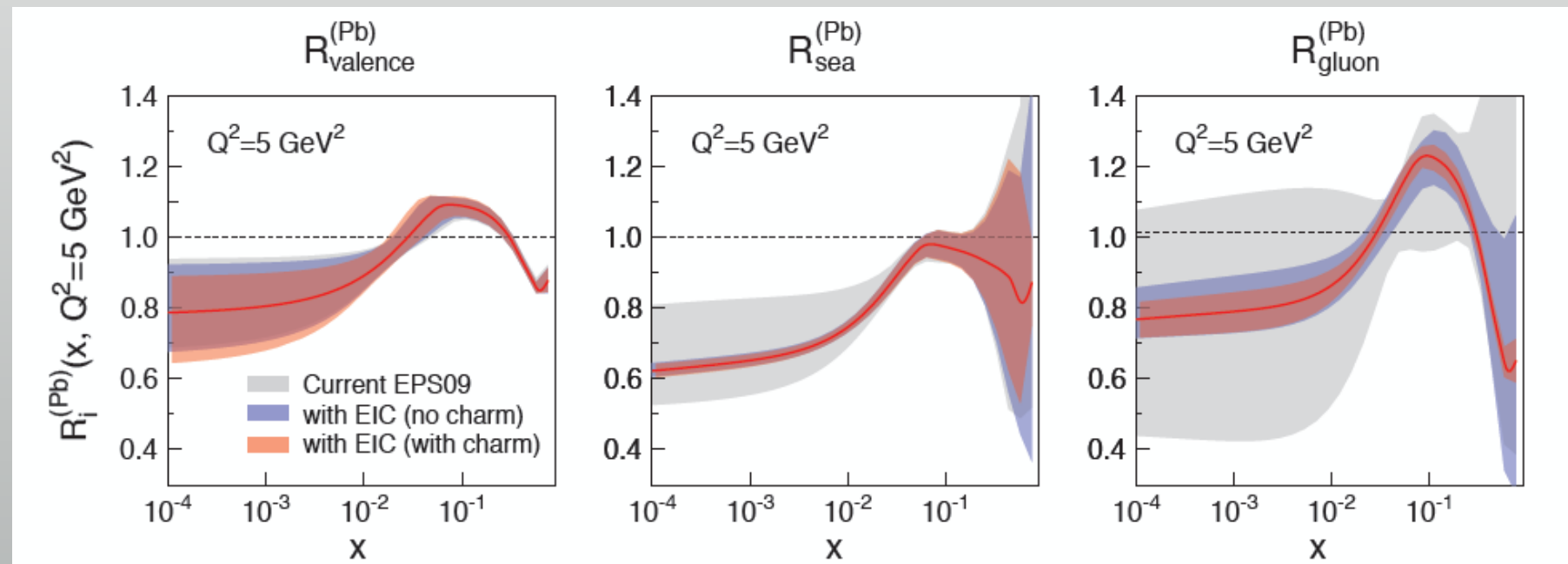
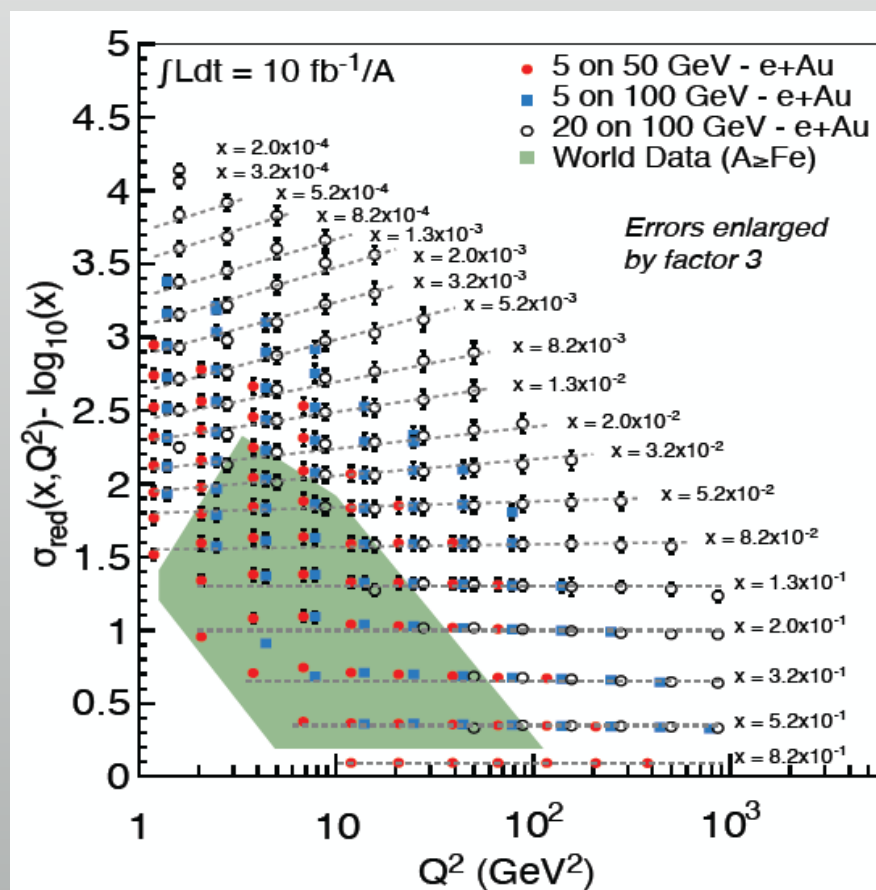


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Parametrisation bias:

- Sensitivity to the mathematical form of the initial conditions is a well-known issue in proton PDFs: NNPDF, PDF4LHC recommendation of comparing different sets, HERAPDF2.0 studies, ...
- In our case: determination of nPDFs beyond (pseudo)data...

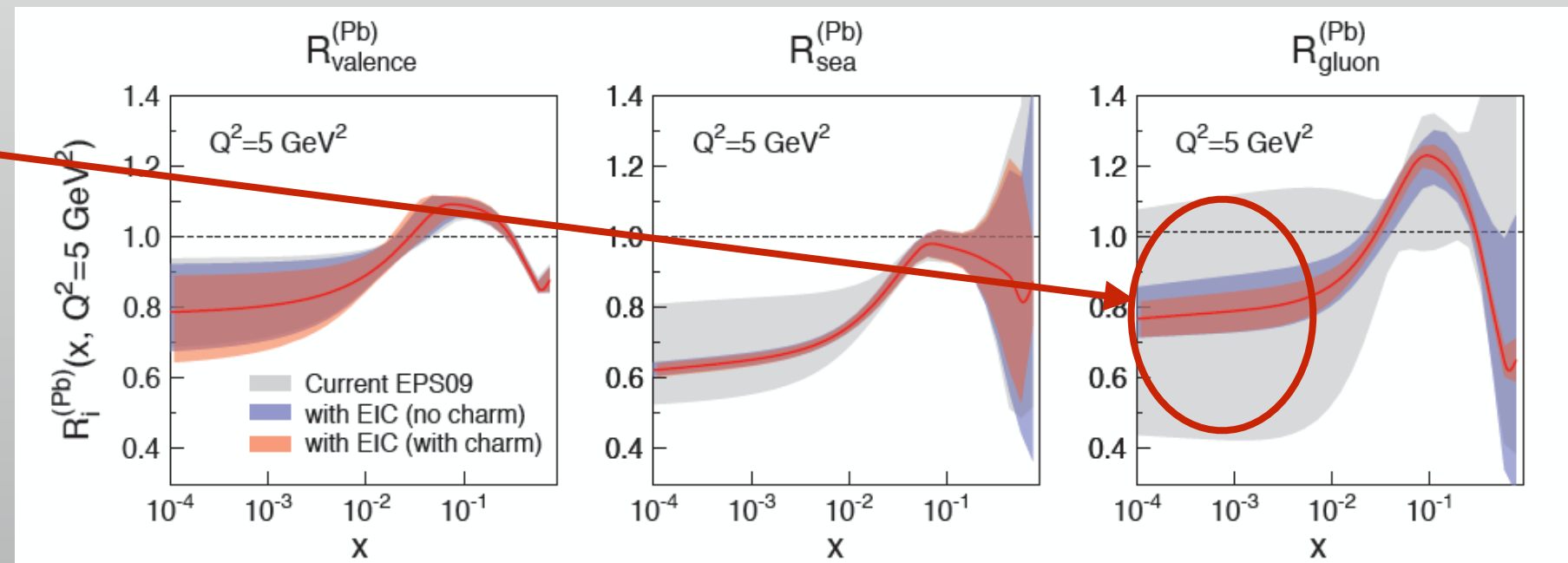
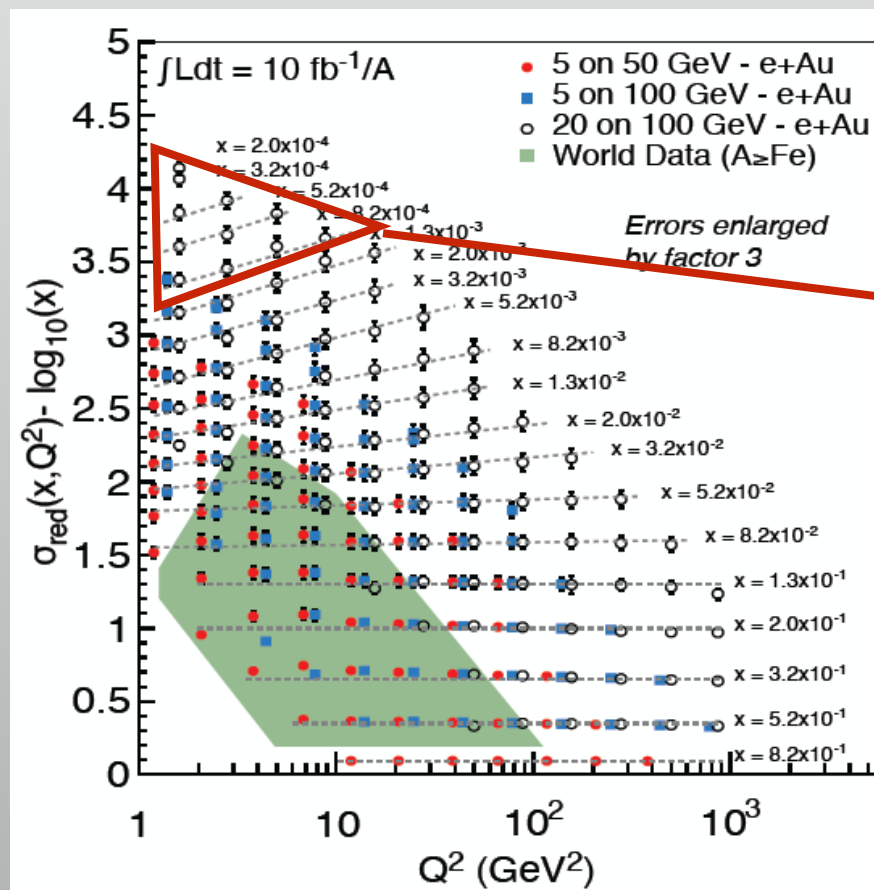


EIC example

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How?: mainly dictated by the shape of ICs



EIC example

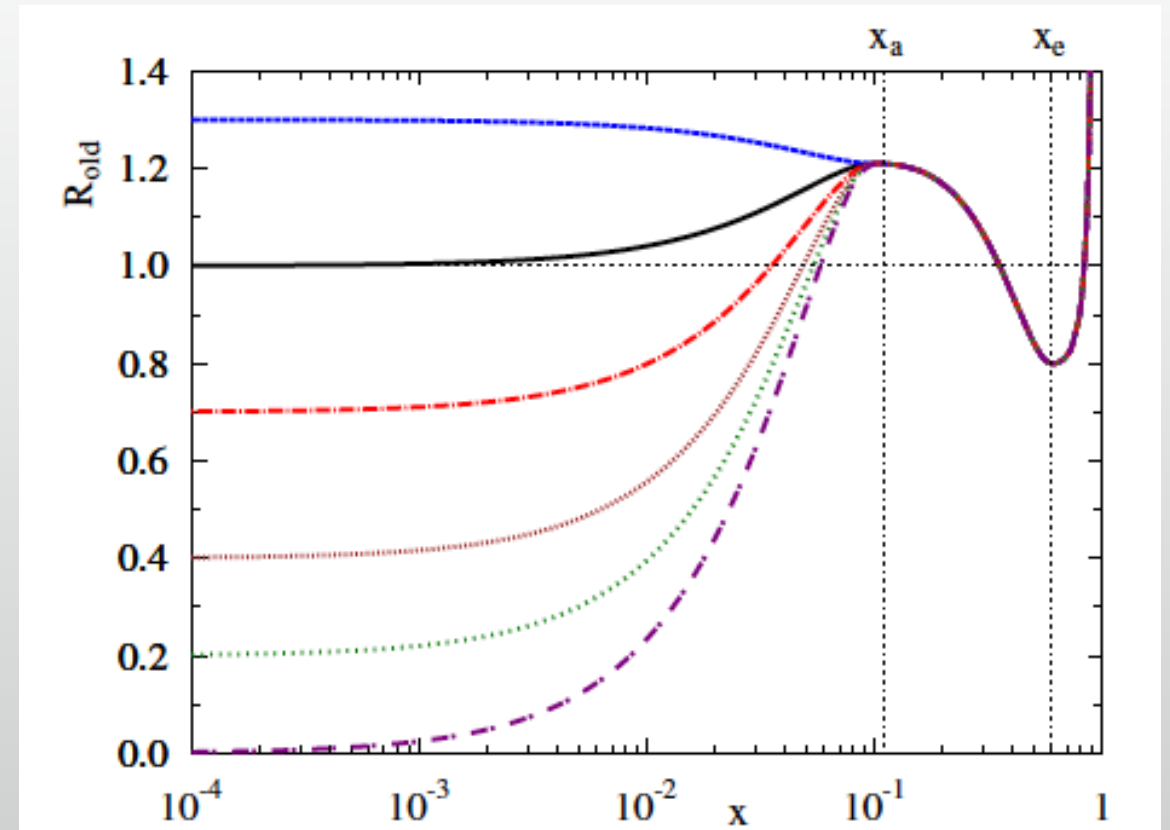
$$\frac{\partial R_{F_2}^A(x, Q^2)}{\partial \log Q^2} \approx \frac{10\alpha_s}{27\pi} \frac{xg(2x, Q^2)}{\frac{1}{2}F_2^D(x, Q^2)} \left\{ R_g^A(2x, Q^2) - R_{F_2}^A(x, Q^2) \right\}$$

hep-ph/0201256

Parametrisation bias:

- An idea to deal with it in the EPS09 framework:

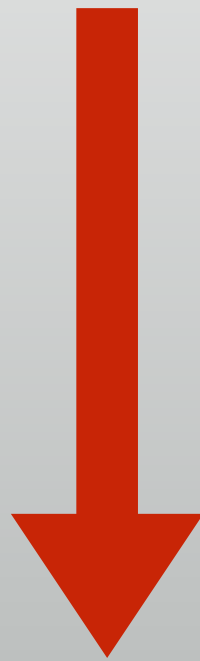
$$R_{\text{old}}(x) = \begin{cases} a_0 + (a_1 + a_2 x) (e^{-x} - e^{-x_a}) & x \leq x_a \\ b_0 + b_1 x + b_2 x^2 + b_3 x^3 & x_a \leq x \leq x_e \\ c_0 + (c_1 - c_2 x) (1 - x)^{-\beta} & x_e \leq x \leq 1, \end{cases}$$



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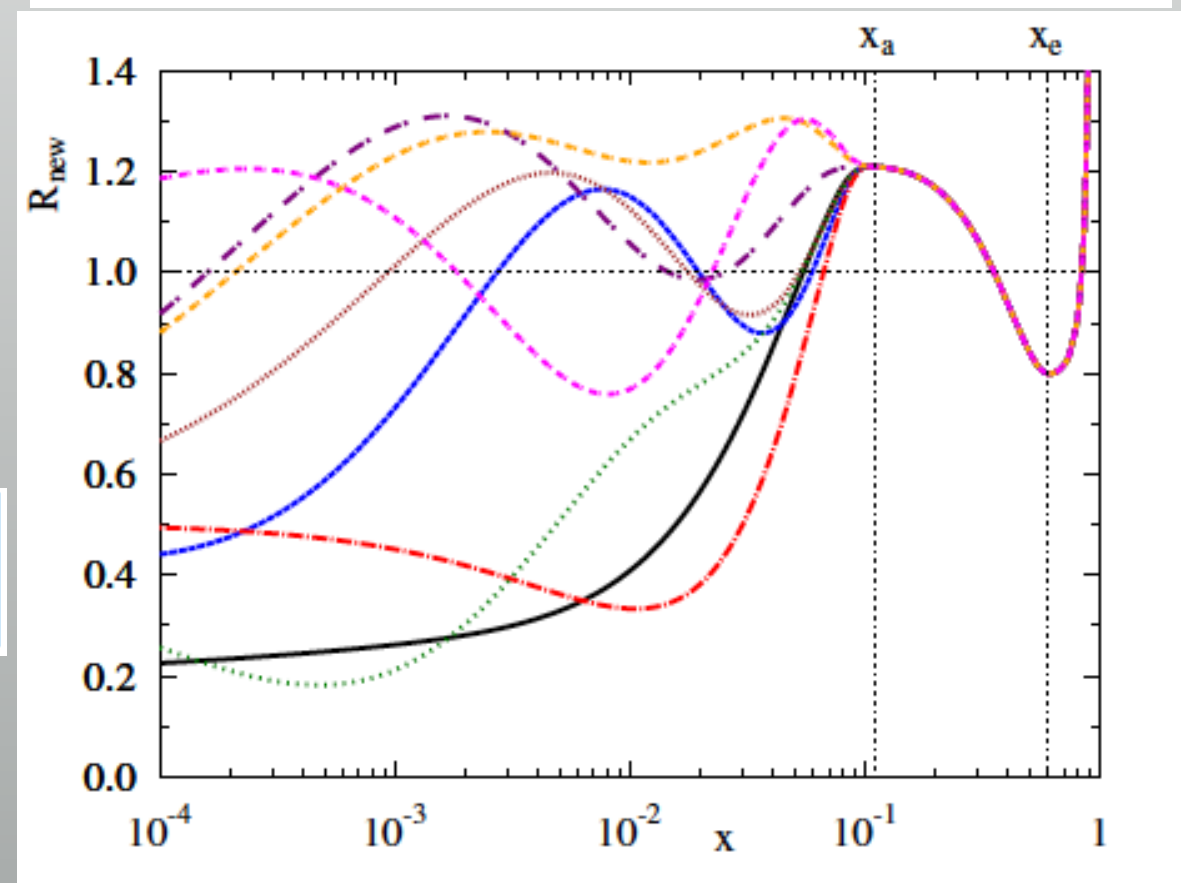
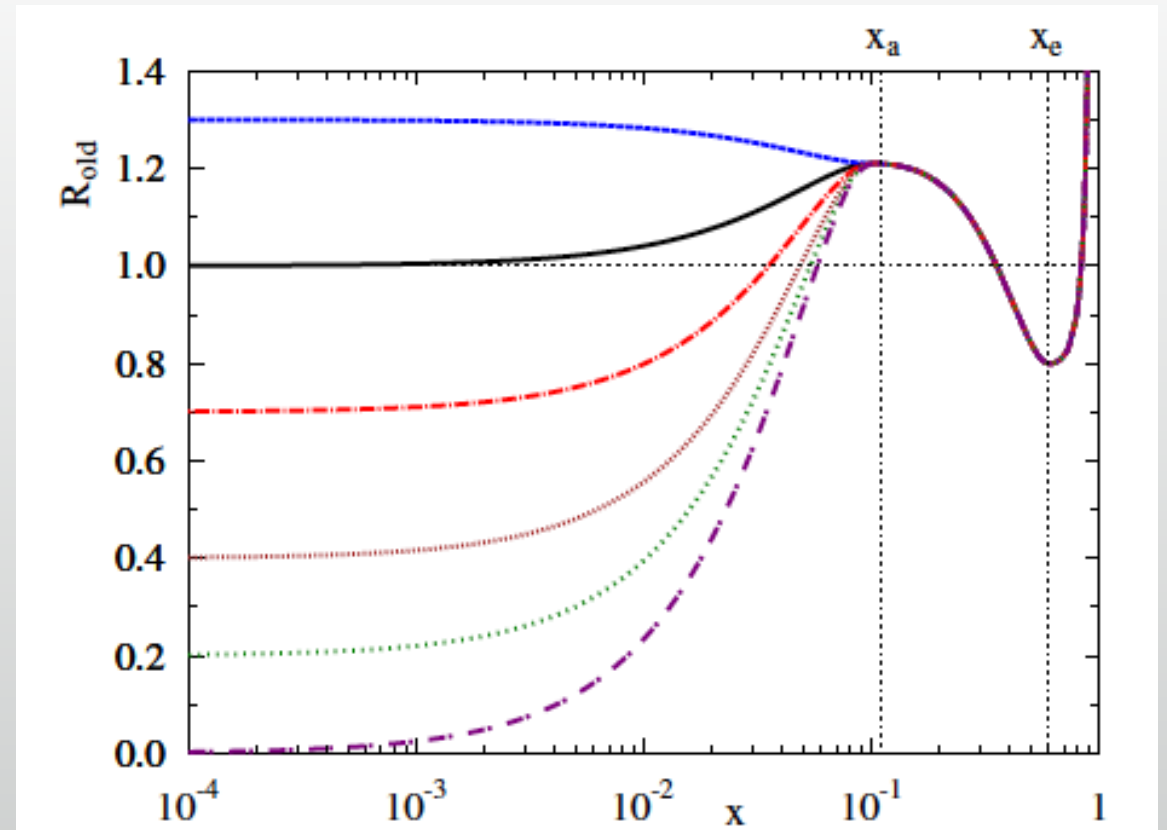
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3 for LHeC

$$R(x \leq x_a) = a_0 + a_1(x - x_a)^2 + x(x_a - x) \left[\sum_{k=1}^4 a_{k+2} \log \left(\frac{x}{x_a} \right)^k \right]$$

- 15 (orig.) → 19 (new) parameters.



New fit framework:

Paukkunen

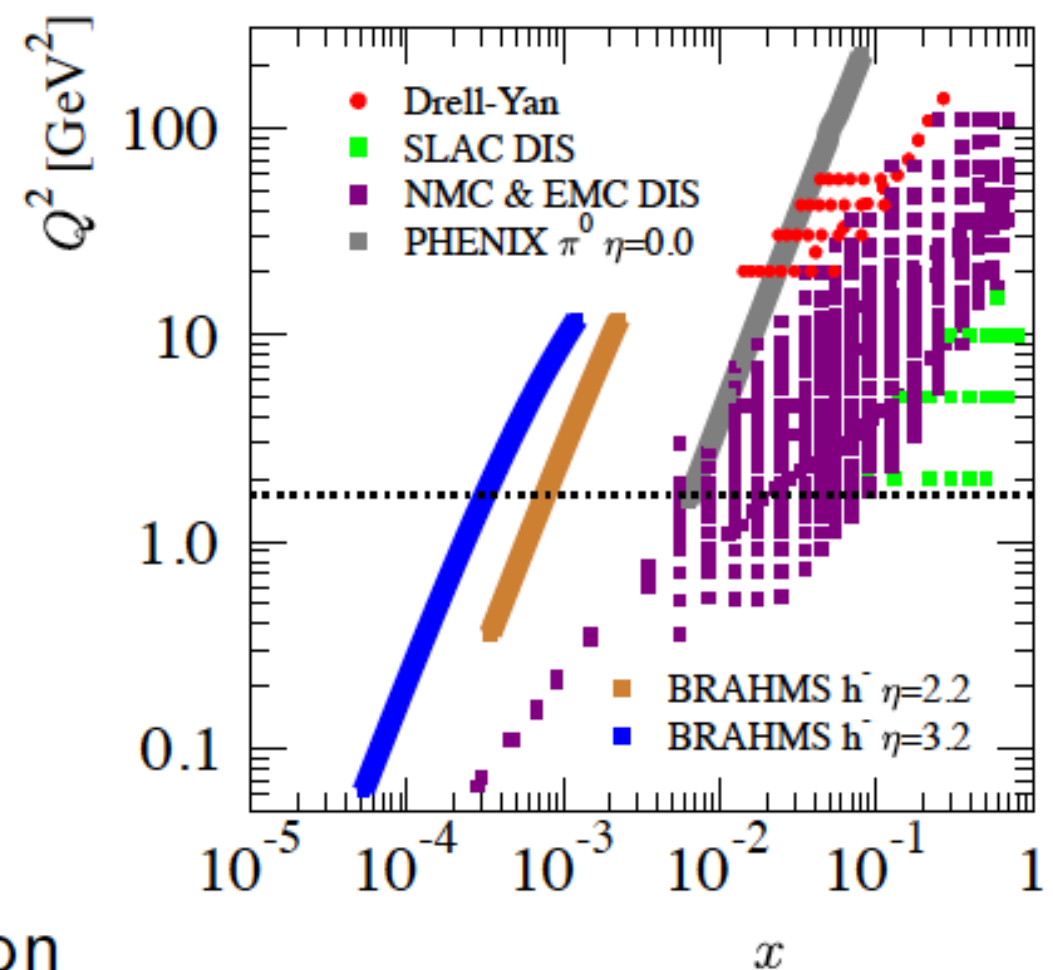
- Include the same data (DIS, Drell-Yan, inclusive π^0) as in EPS09 (no LHC data yet) plus LHeC (neutral current) pseudo data.
- CTEQ6.6 as baseline (doesn't really matter which one)
- Flavour-independent nuclear modifications at $Q_0 = 1.3 \text{ GeV}$

$R_V(x, Q_0)$ for both valence quarks

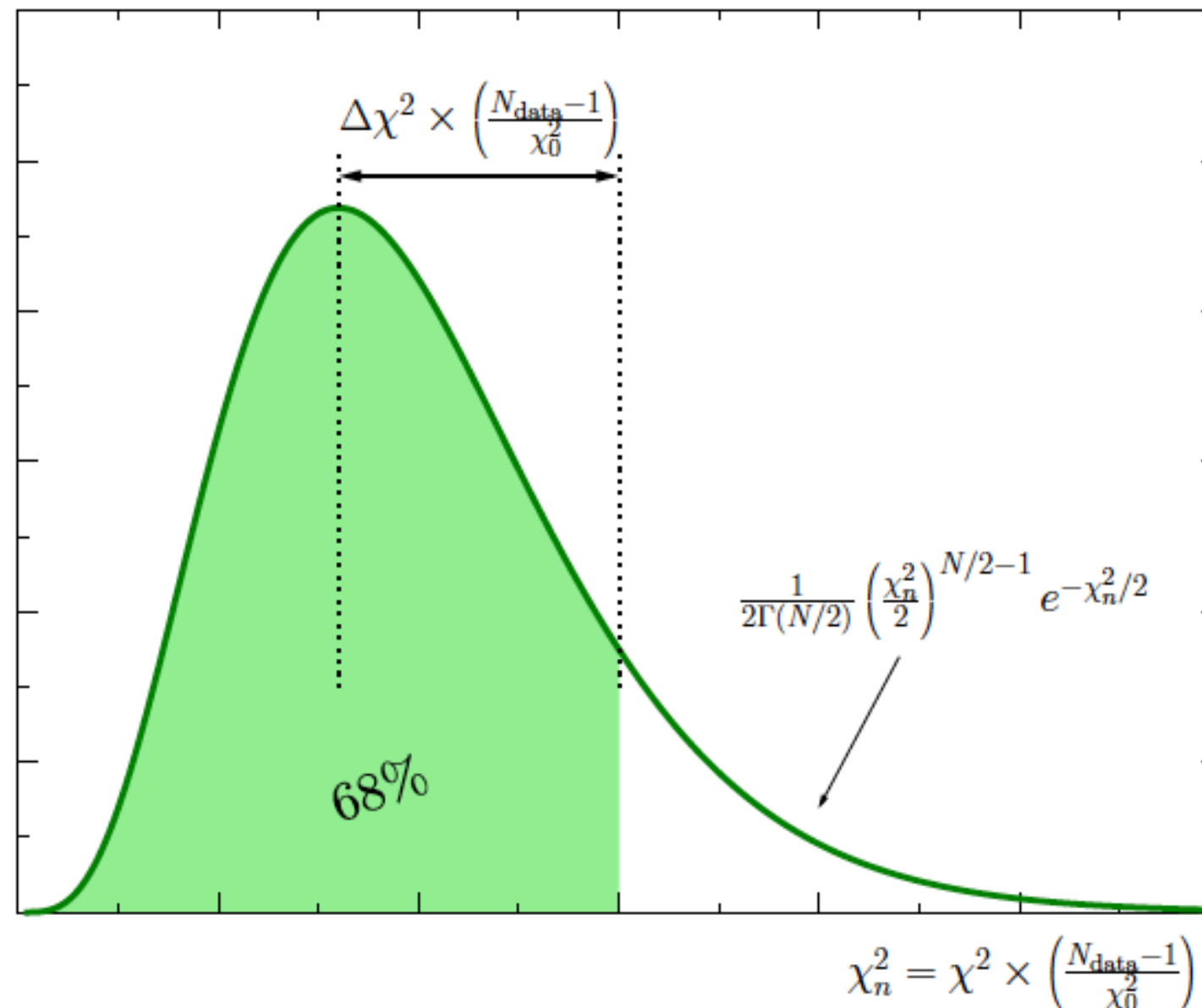
$R_S(x, Q_0)$ for light sea quarks

$R_G(x, Q_0)$ for gluons

- Charged-current data will be added later on to study the flavour dependence
- Cross-sections at NLO in the SACOT heavy-quark scheme (as CTEQ6.6)
- Robust Levenberg-Marquardt minimization method



Standard Hessian uncertainty analysis (a la CTEQ, MSTW,...) with $\Delta\chi^2$ determined from the expected behaviour of probability distribution for the global χ^2

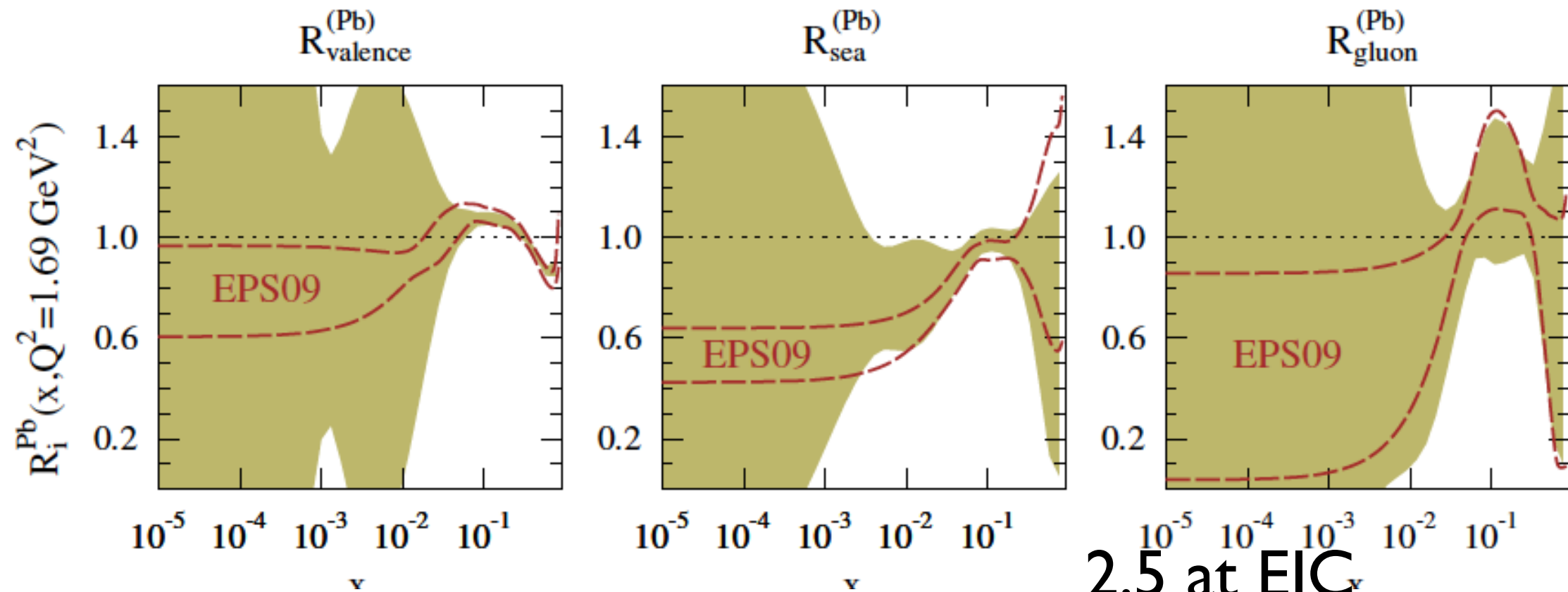


Gives $\Delta\chi^2 \approx 17$ (without or with the pseudodata)

New fit framework:

Paukkunen

The baseline fit using the new fit functions: no control over small x !



2.5 at EIC_x

The lower bound restricted here by $F_L(Q^2 = 2 \text{ GeV}^2, x > 10^{-5}) > 0$

Maybe against “physical intuition” (small- x theory predicts shadowing, $R_i < 1$), but consistent with the data.

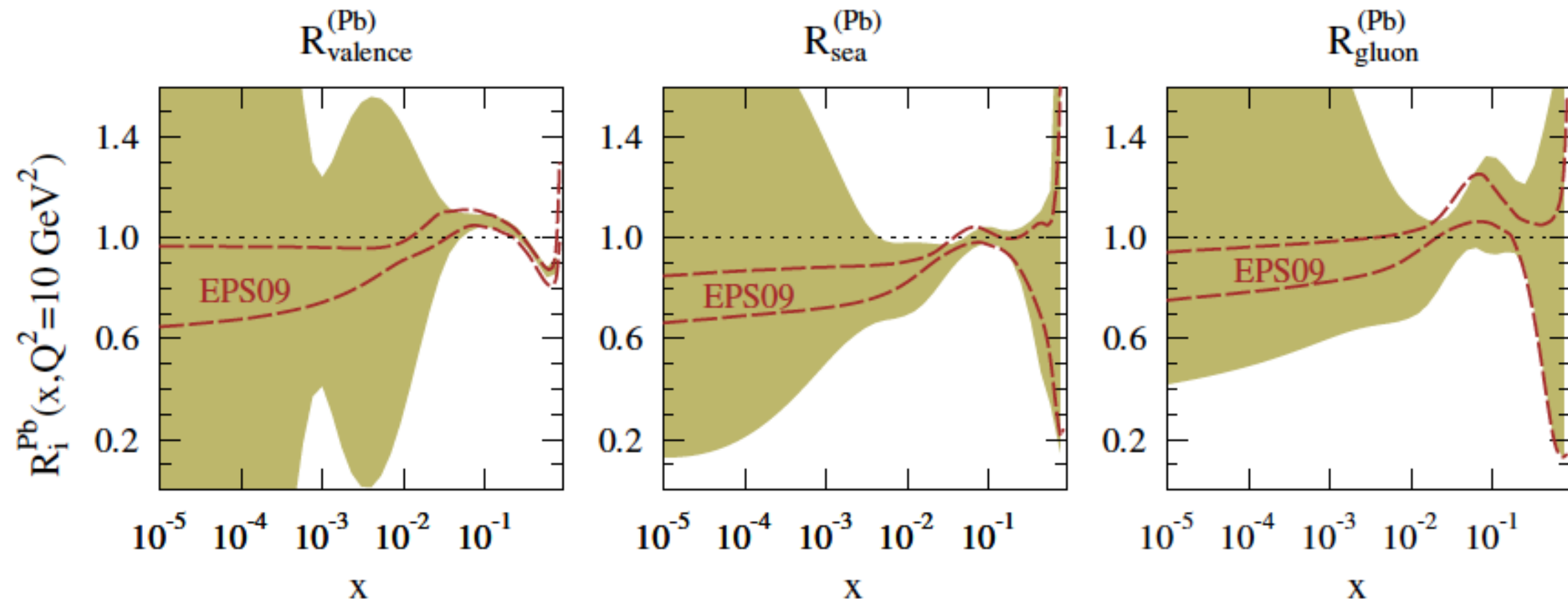
E.g. in EPS09, small- x shadowing was essentially built in

New fit framework:

Paukkunen

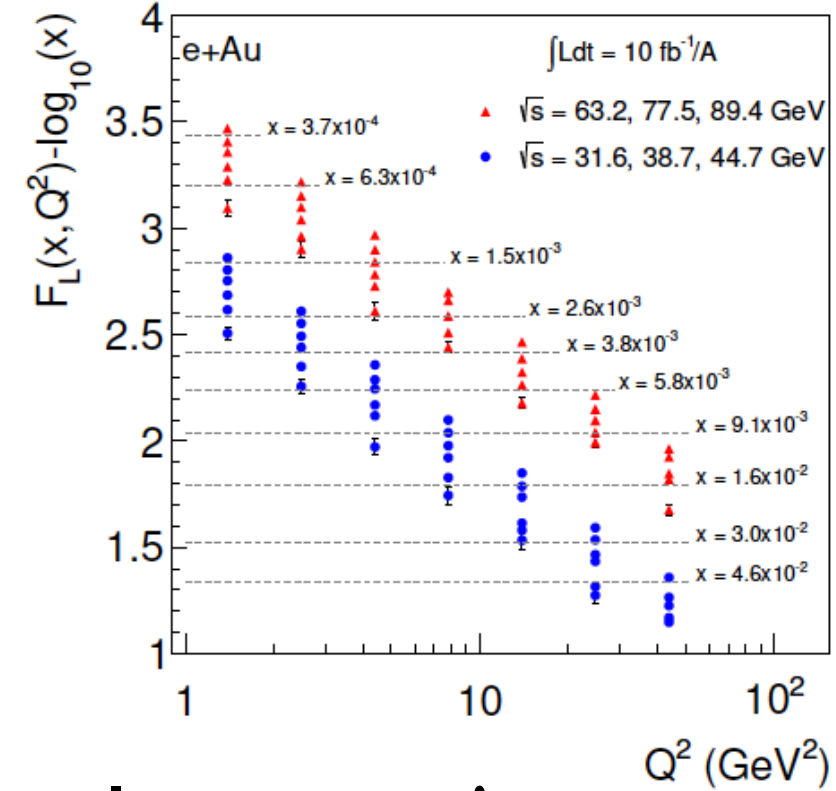
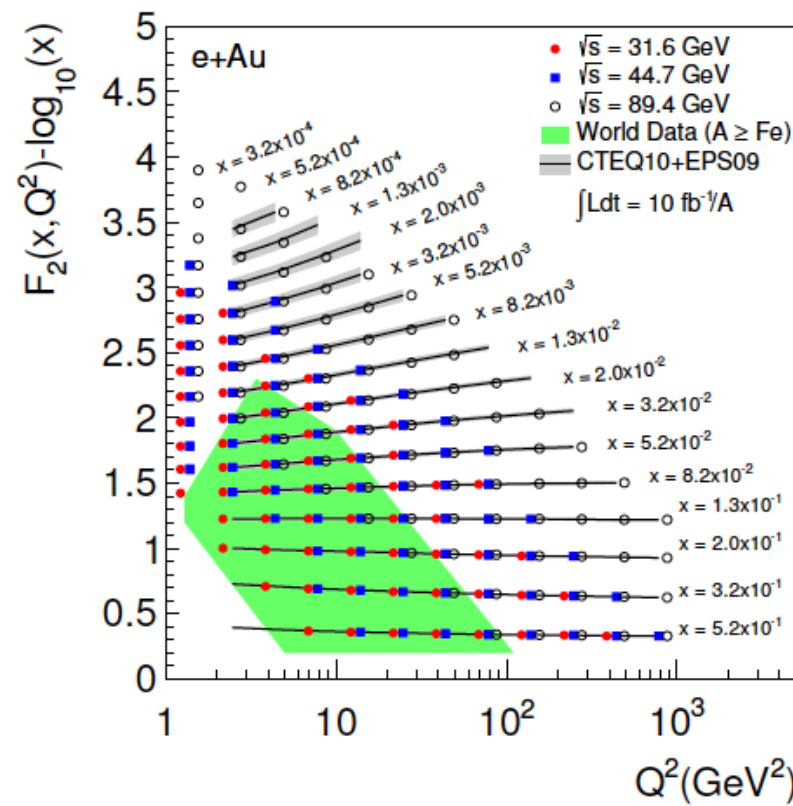
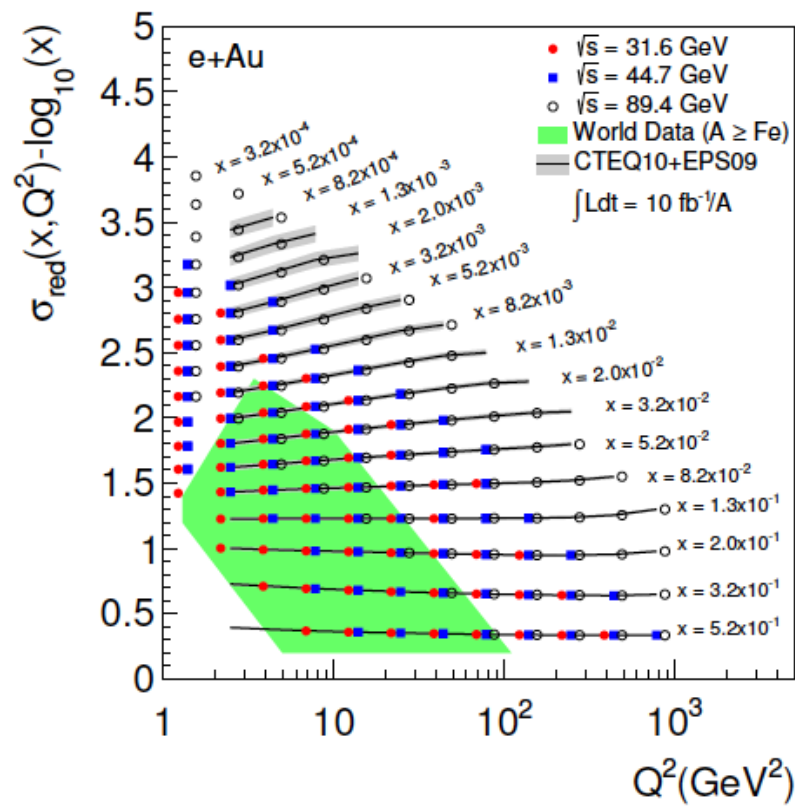
The baseline fit using the new fit functions: no control over small x !

The Q^2 dependence partly smooths out the differences in gluons



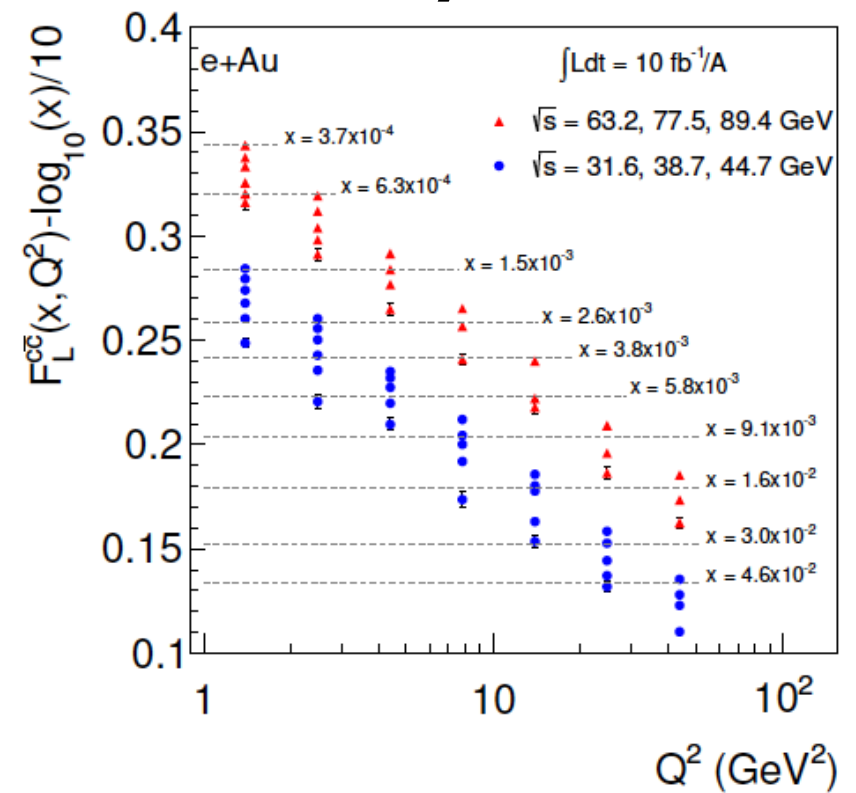
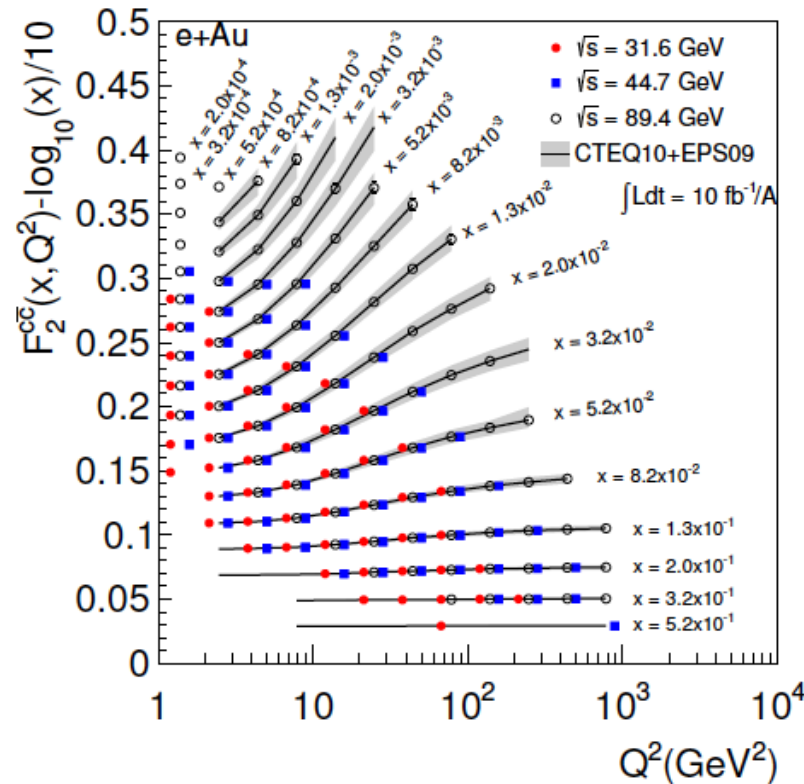
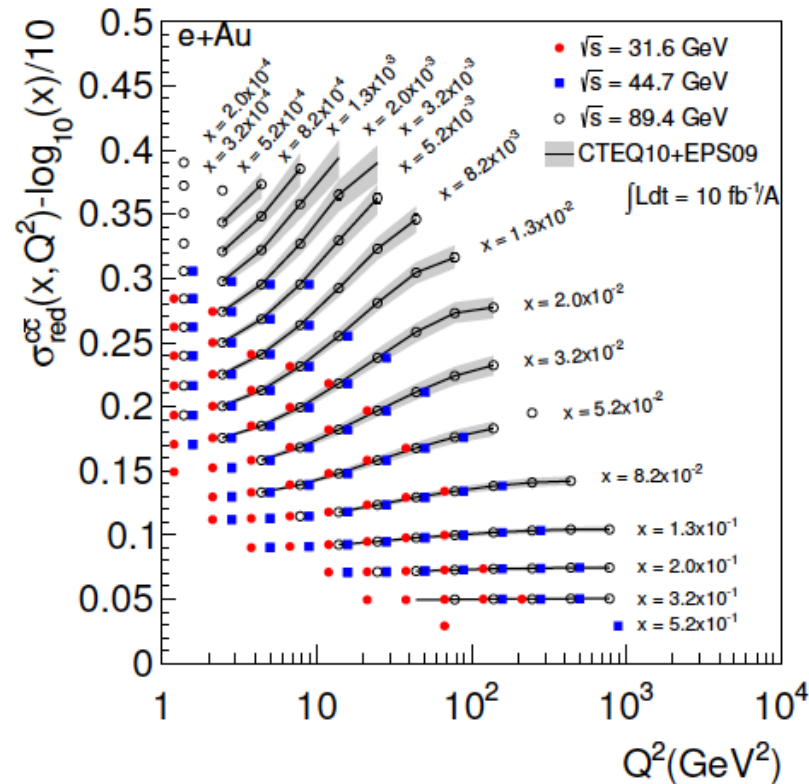
EIC: pseudodata

Inclusive



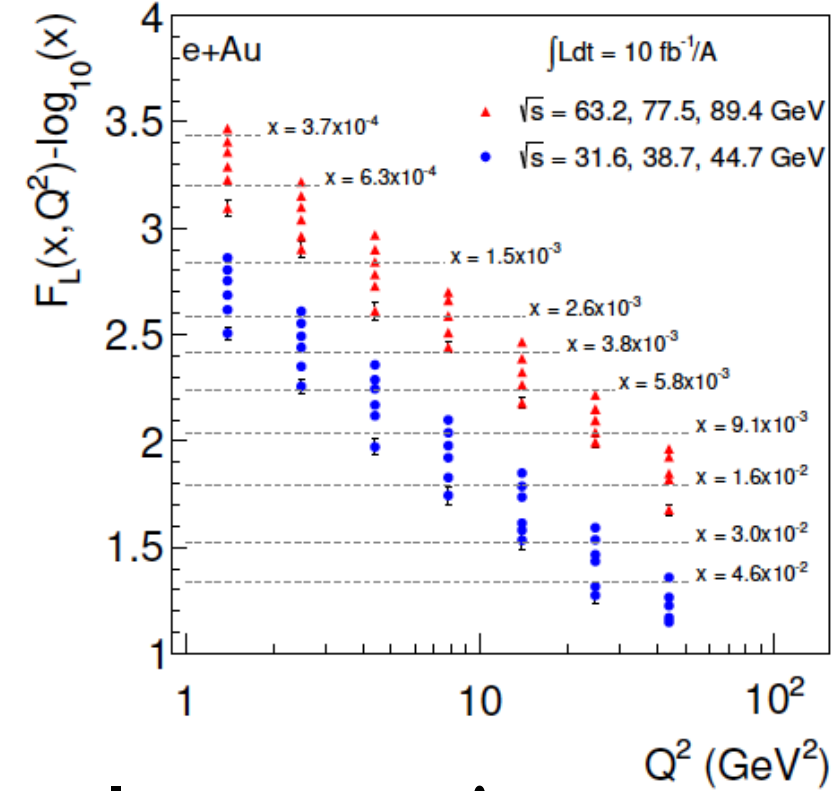
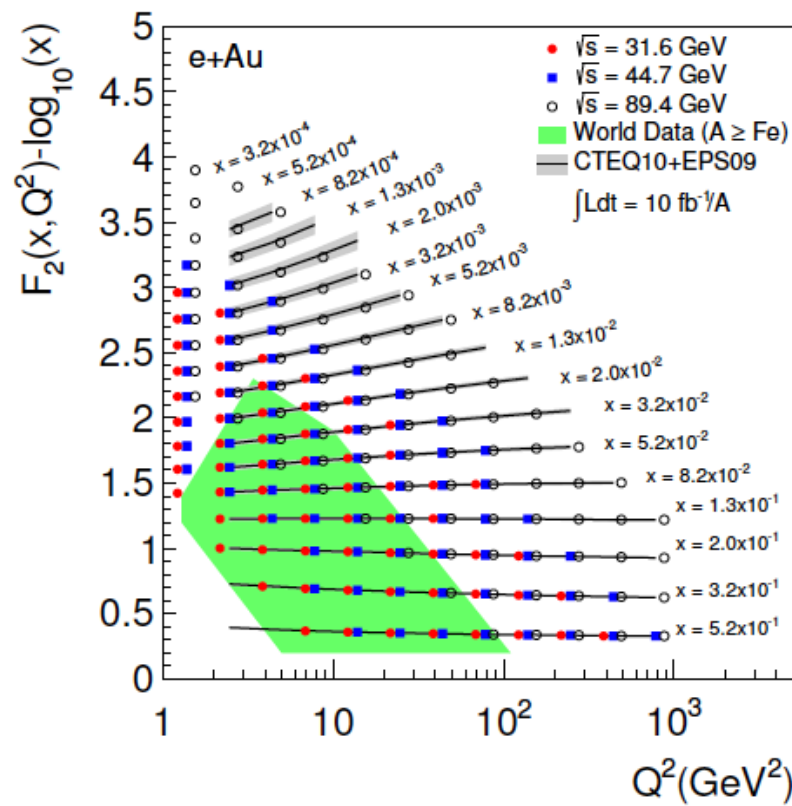
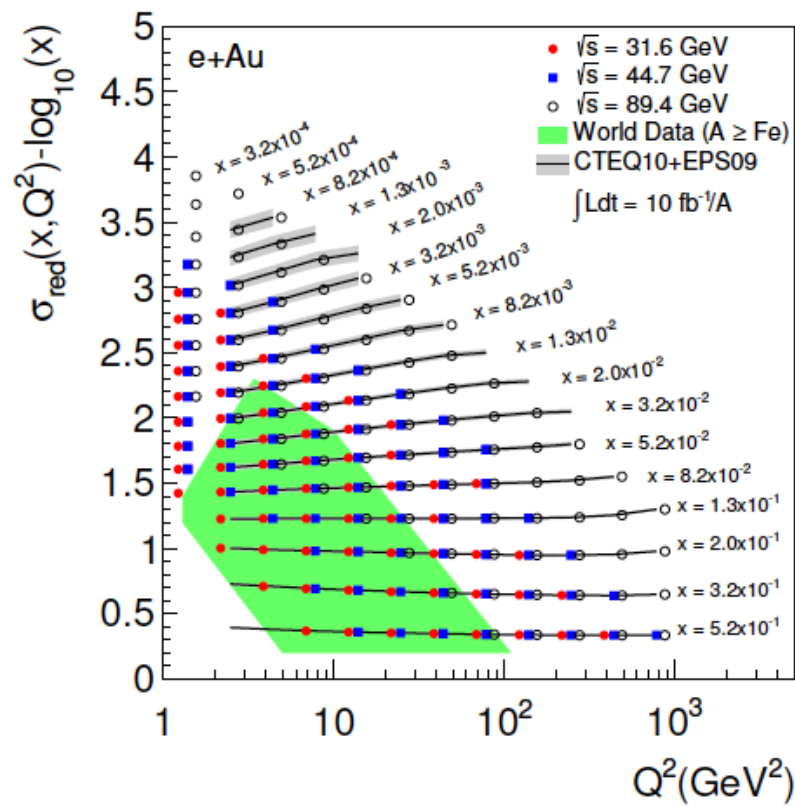
Preliminary!

Charm



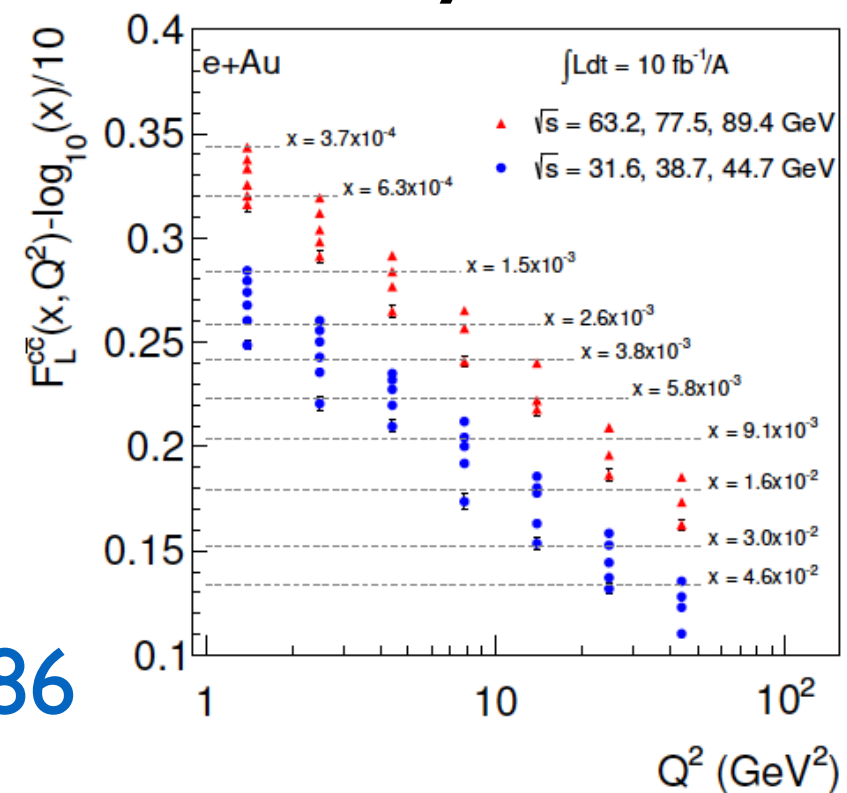
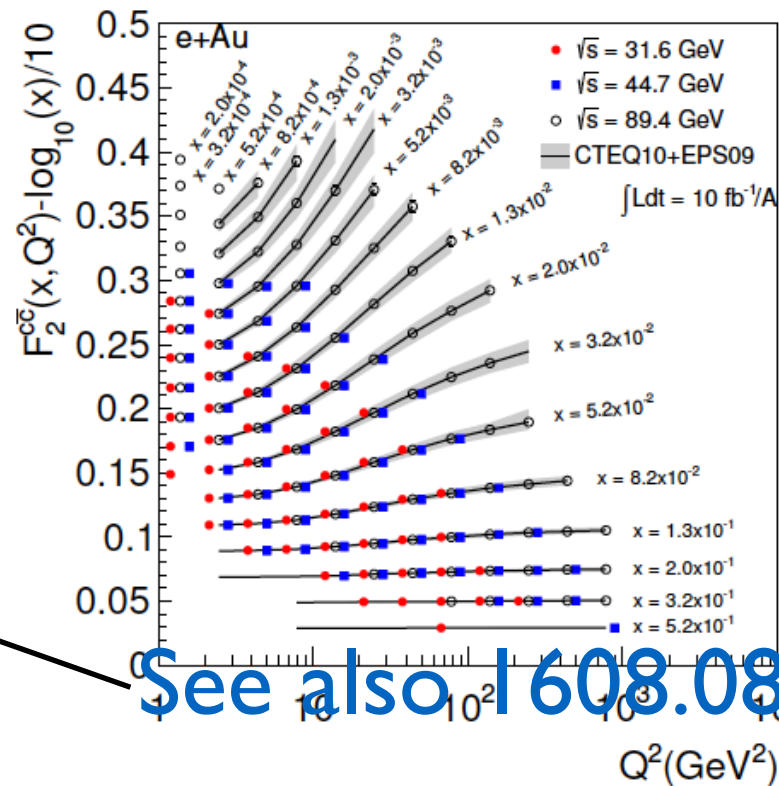
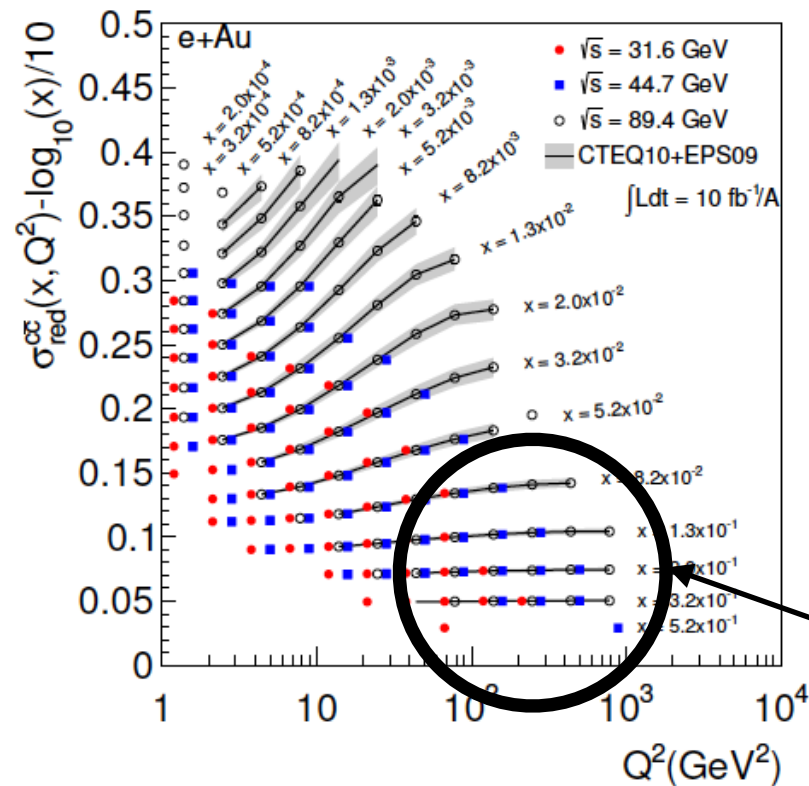
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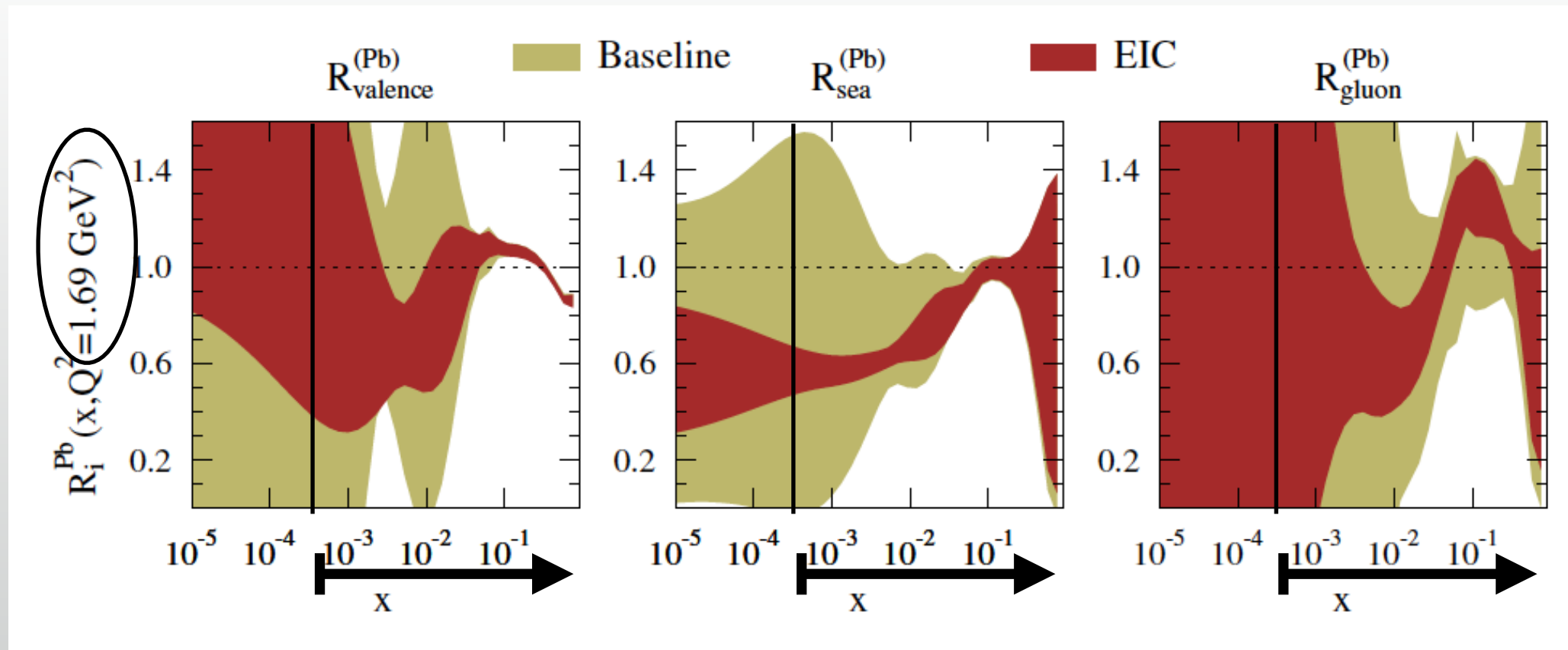
Charm



See also [1608.08686](#)

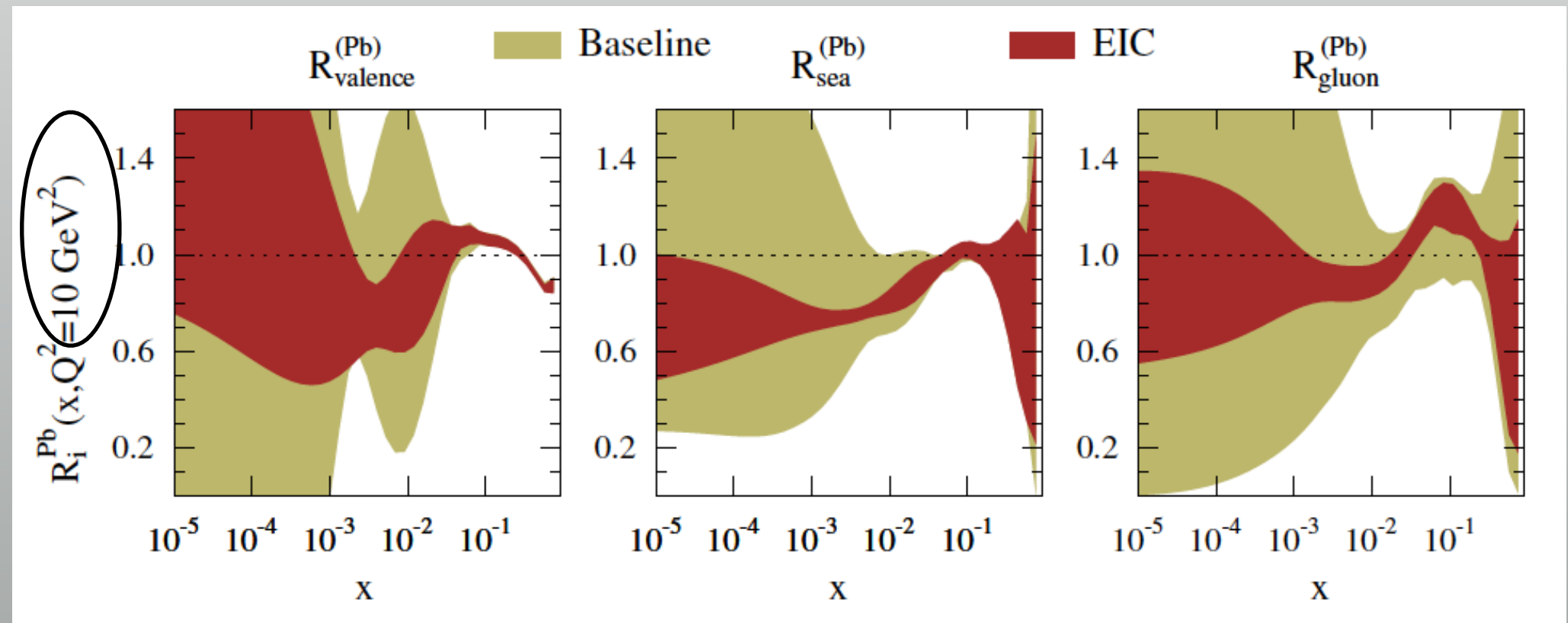
EIC: nPDFs

Preliminary!



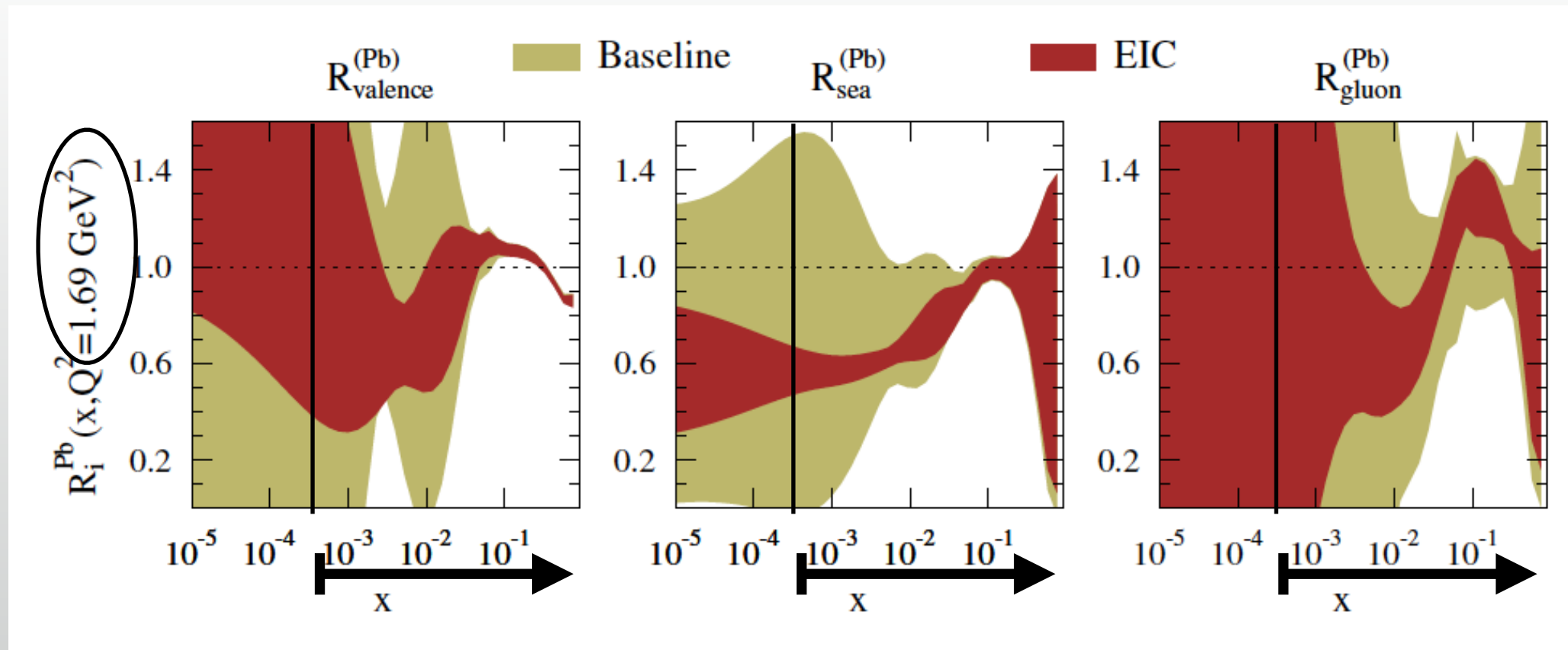
- Substantial reduction of uncertainties, moderate effect of charm.

Preliminary!



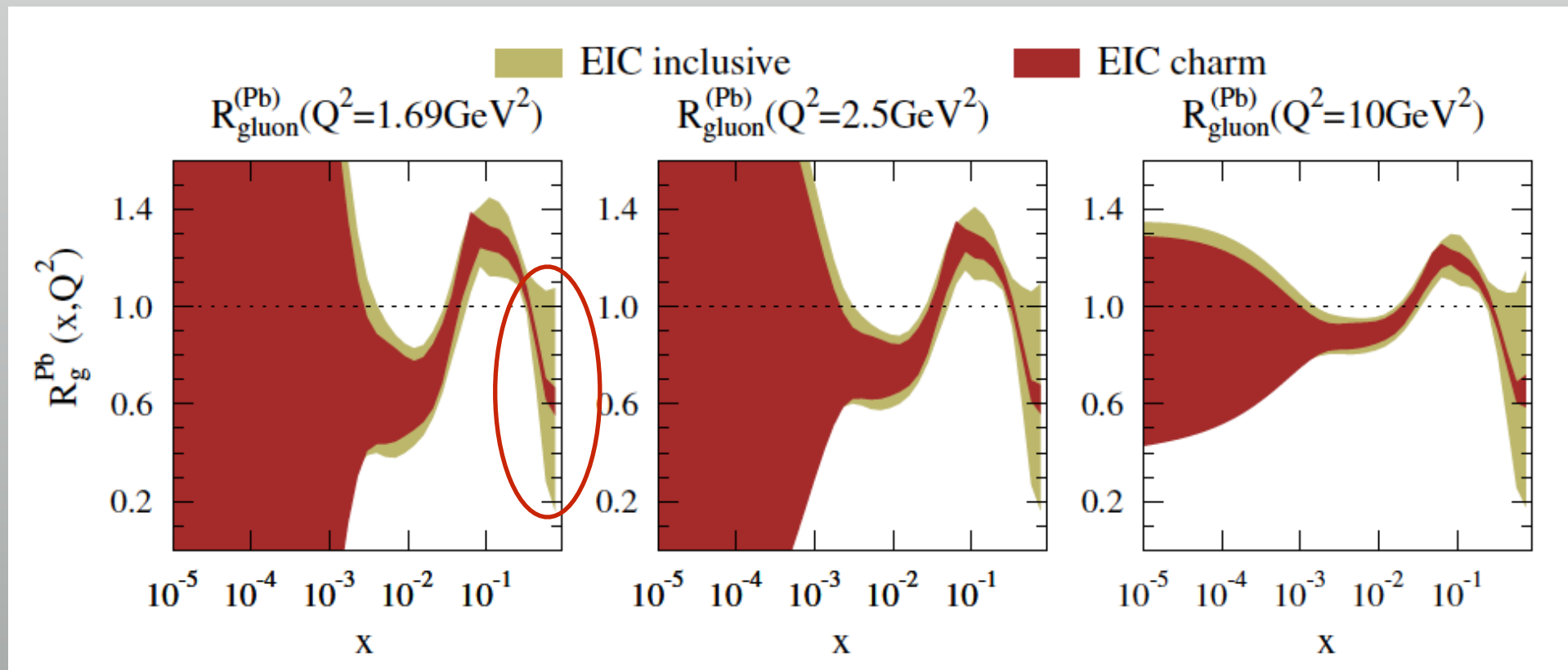
EIC: nPDFs

Preliminary!

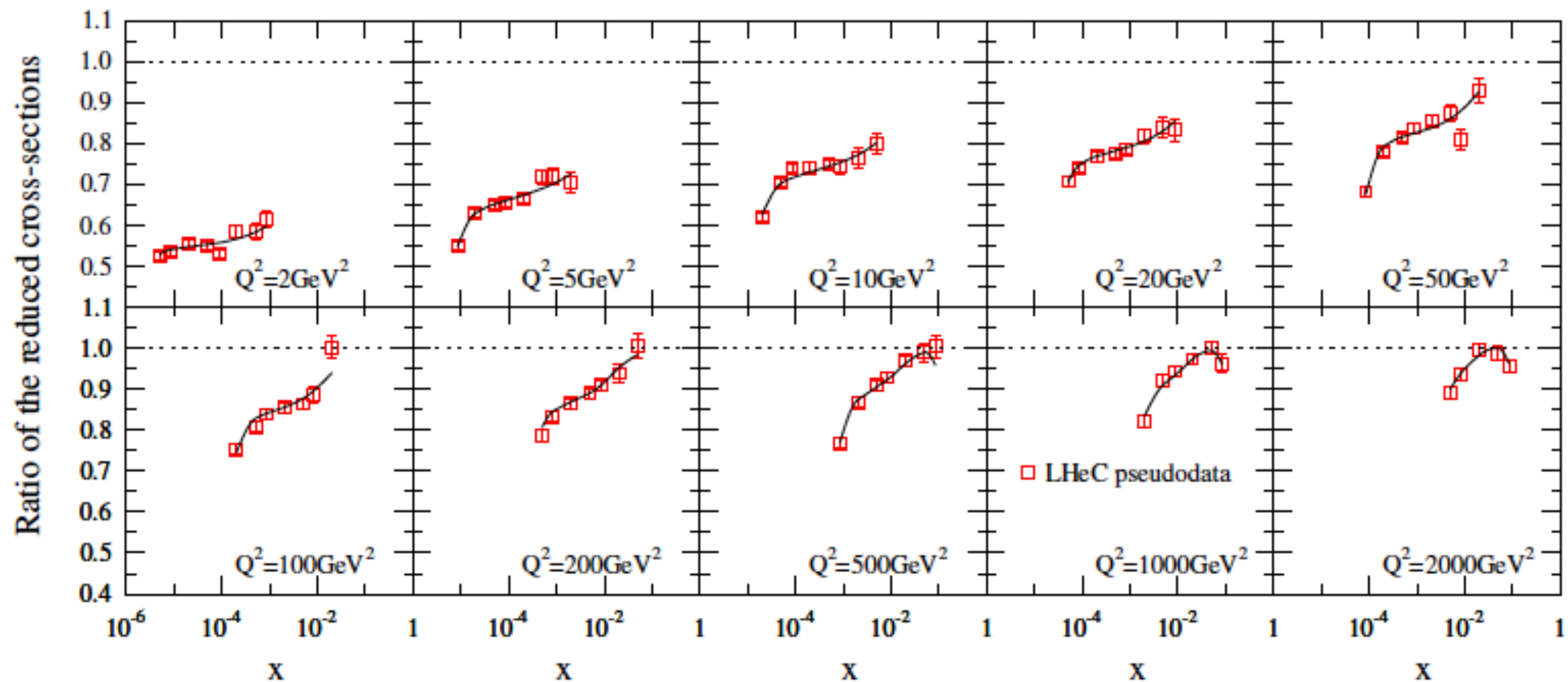


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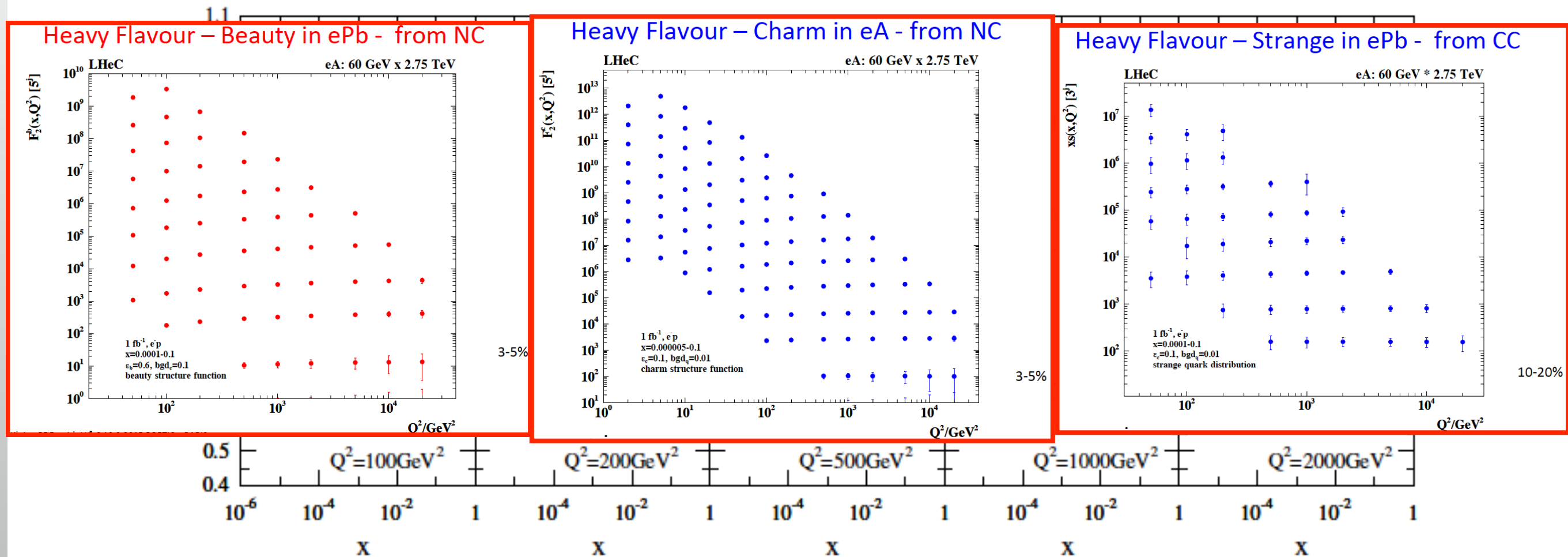


- **Simulation:** NC(+CC+c,b not yet used) with systematic uncertainties from a complete simulation.



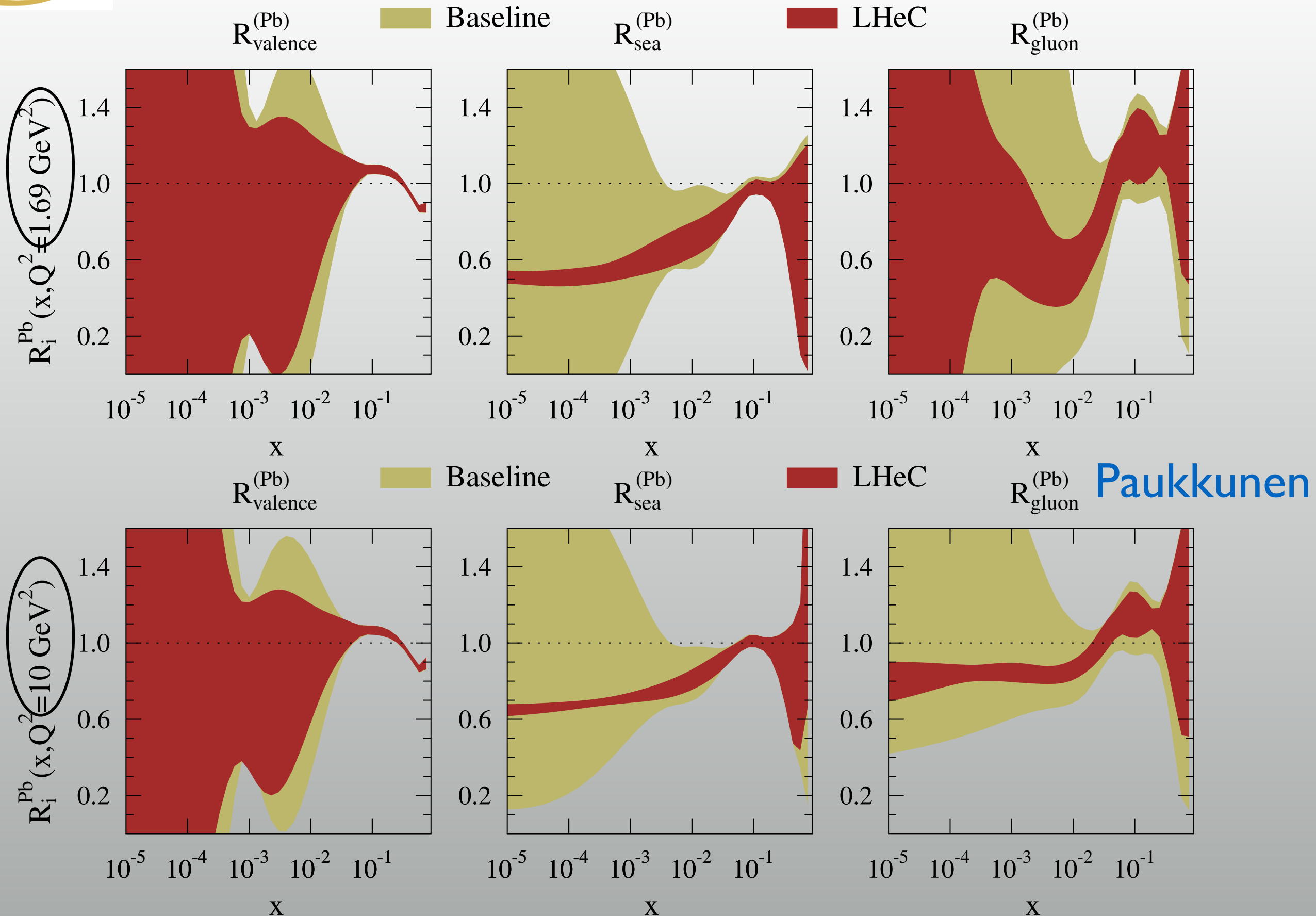
Checked that χ^2/N_{data} to the underlying truth (=EPS09 ;)) fluctuates about unity depending on the random numbers that got chosen

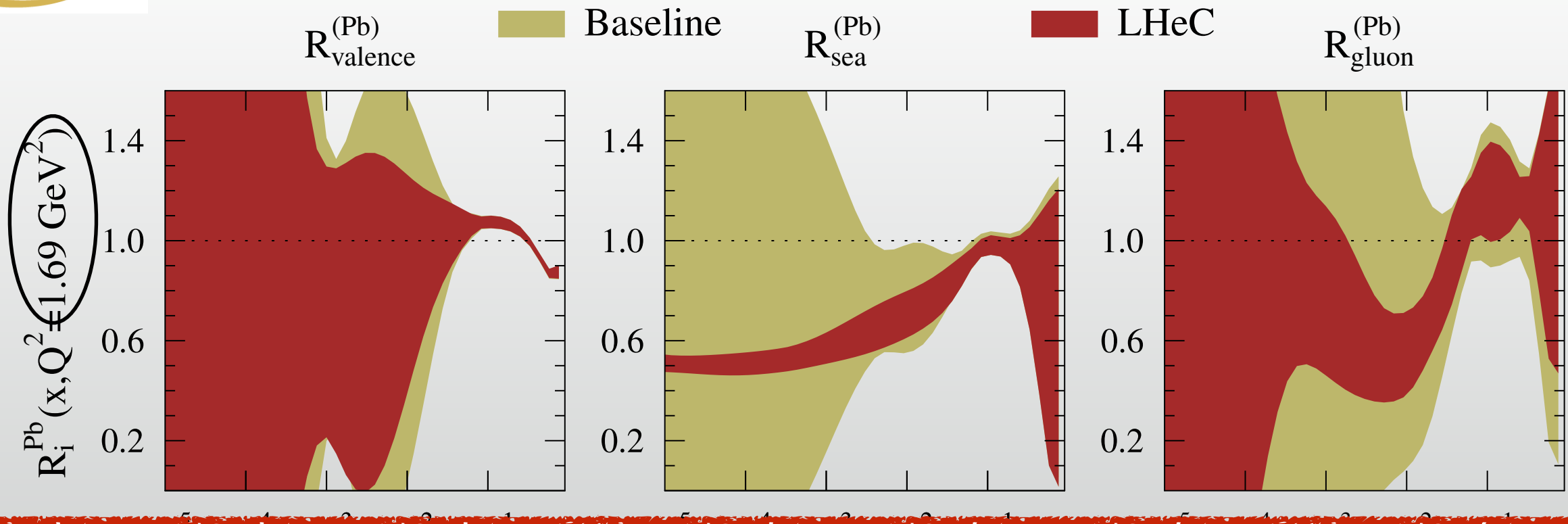
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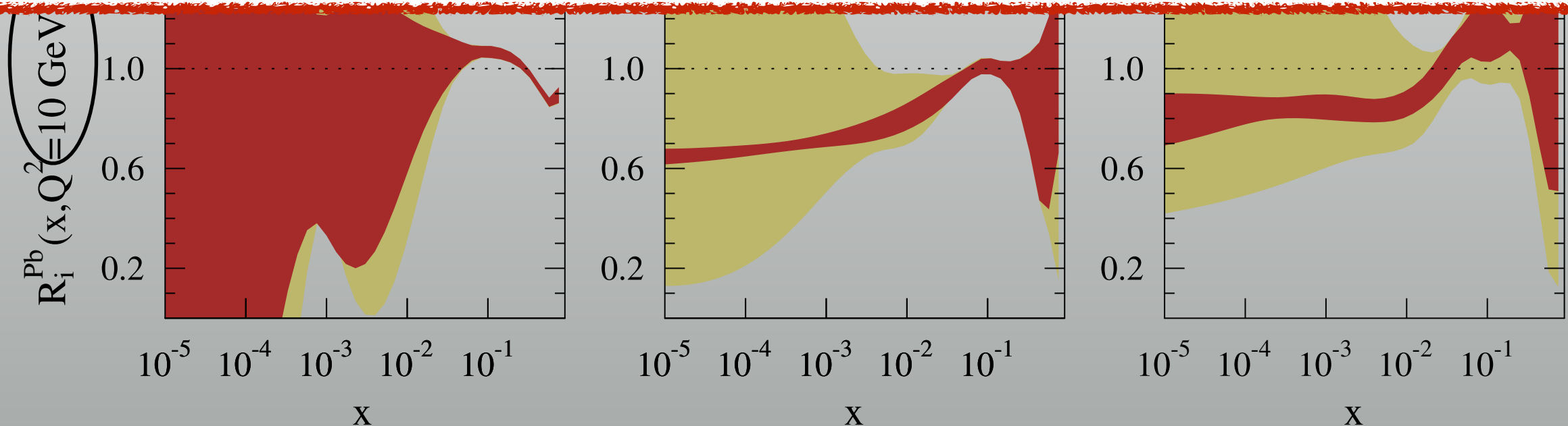
M. Klein at POETIC6

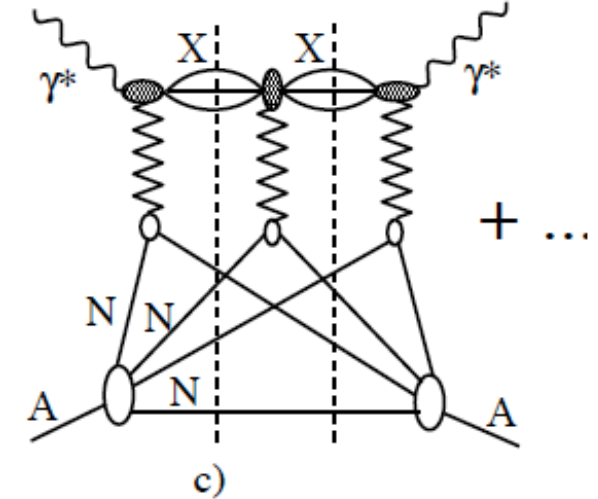
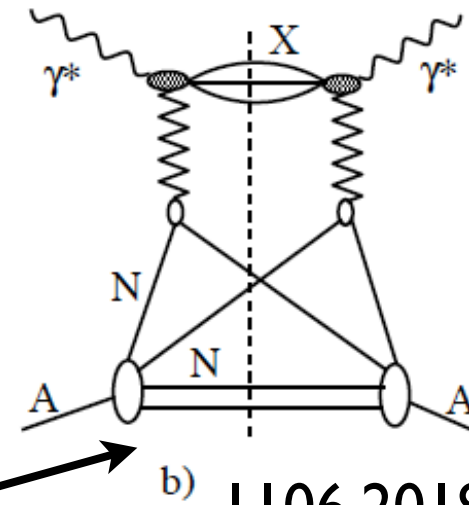
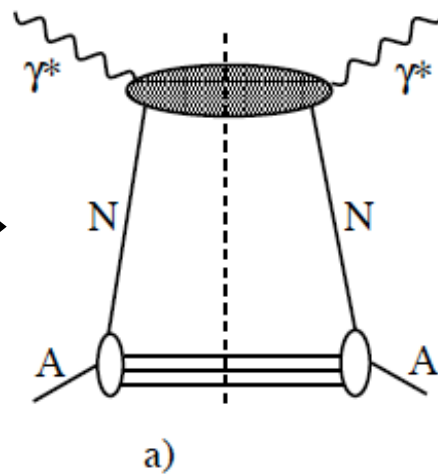
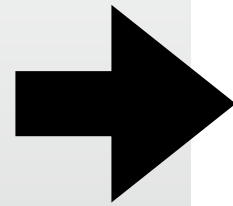
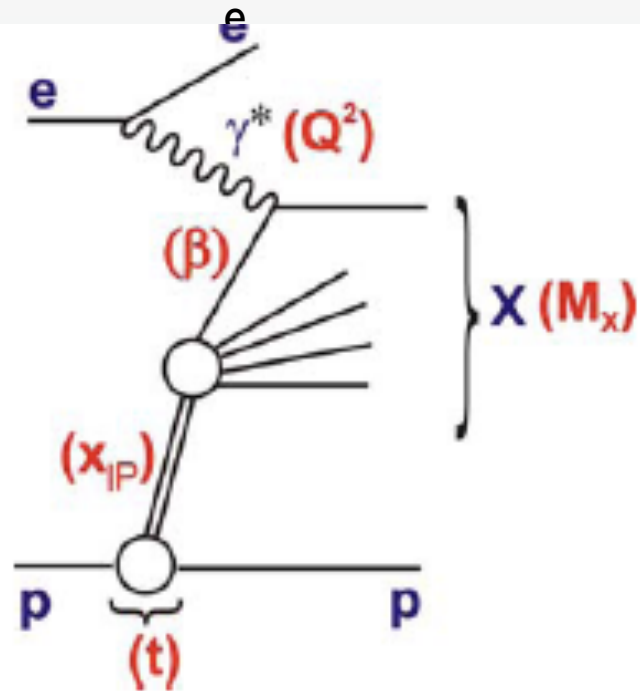
Checked that χ^2/N_{data} to the underlying truth (=EPS09 ;)) fluctuates about unity depending on the random numbers that got chosen



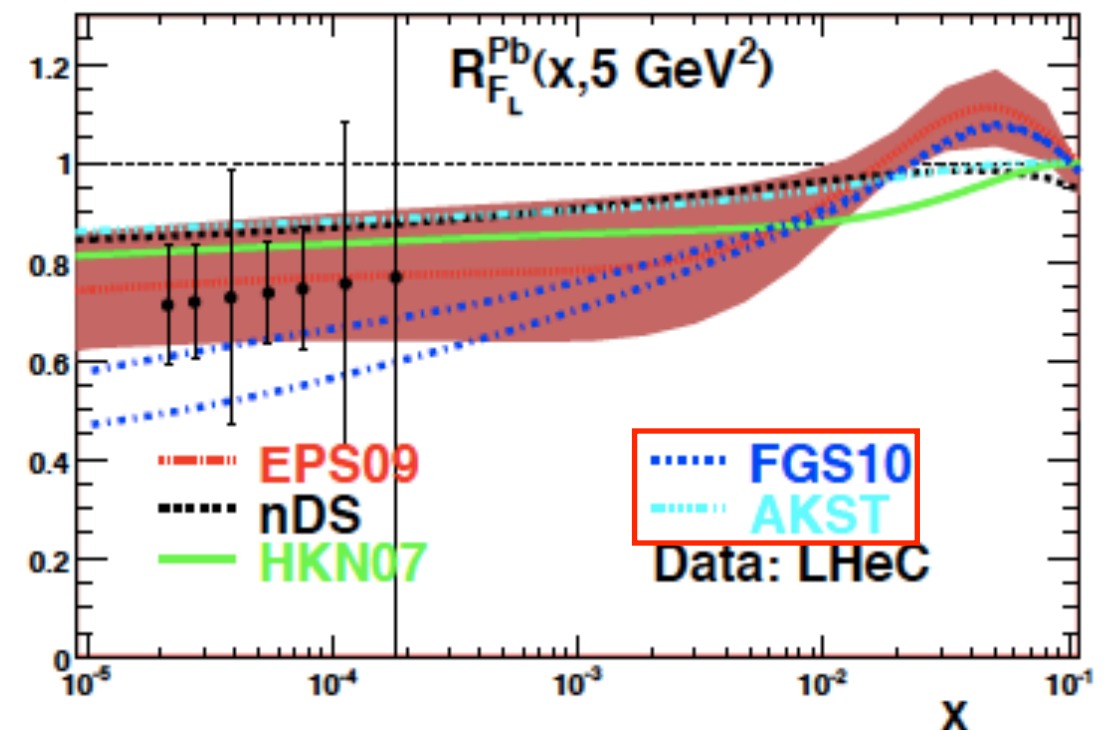
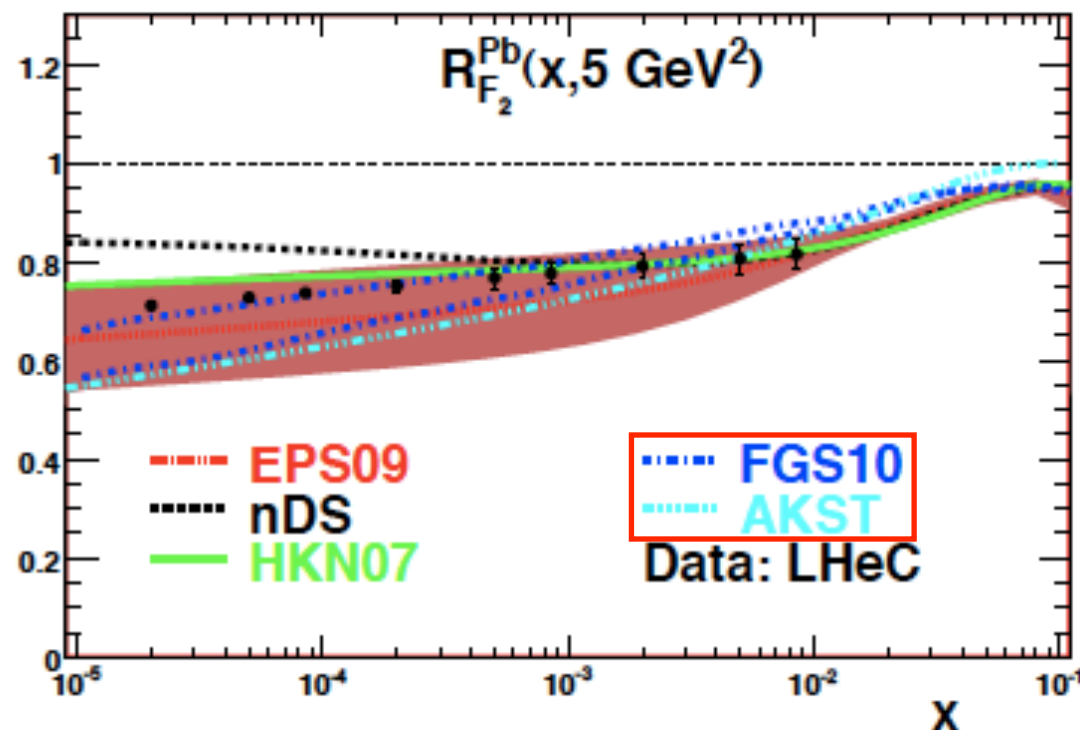


- Substantial reduction of uncertainties.
- EICs provide the nPDFs with the precision required for the heavy-ion programmes at RHIC, LHC and future colliders.



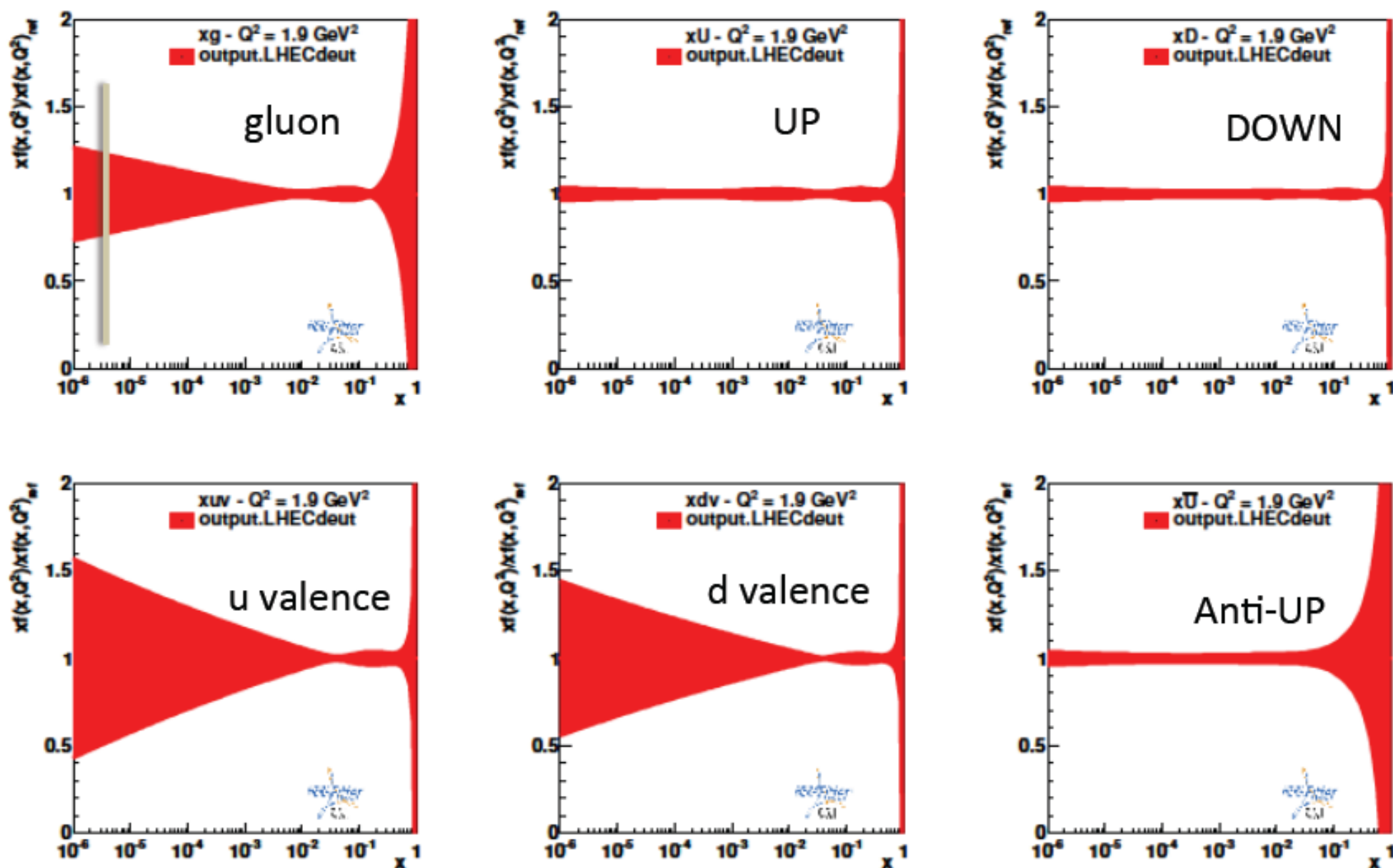


- Diffraction is linked to nuclear shadowing through basic QFT (Gribov): eD to test and set the 'benchmark' for new effects.



Standalone eD analysis – the forgotten neutron..

3.5 TeV x 60 GeV, e-, P=-0.8, 1fb-1 Neutral and Charged Current, exp uncertainties

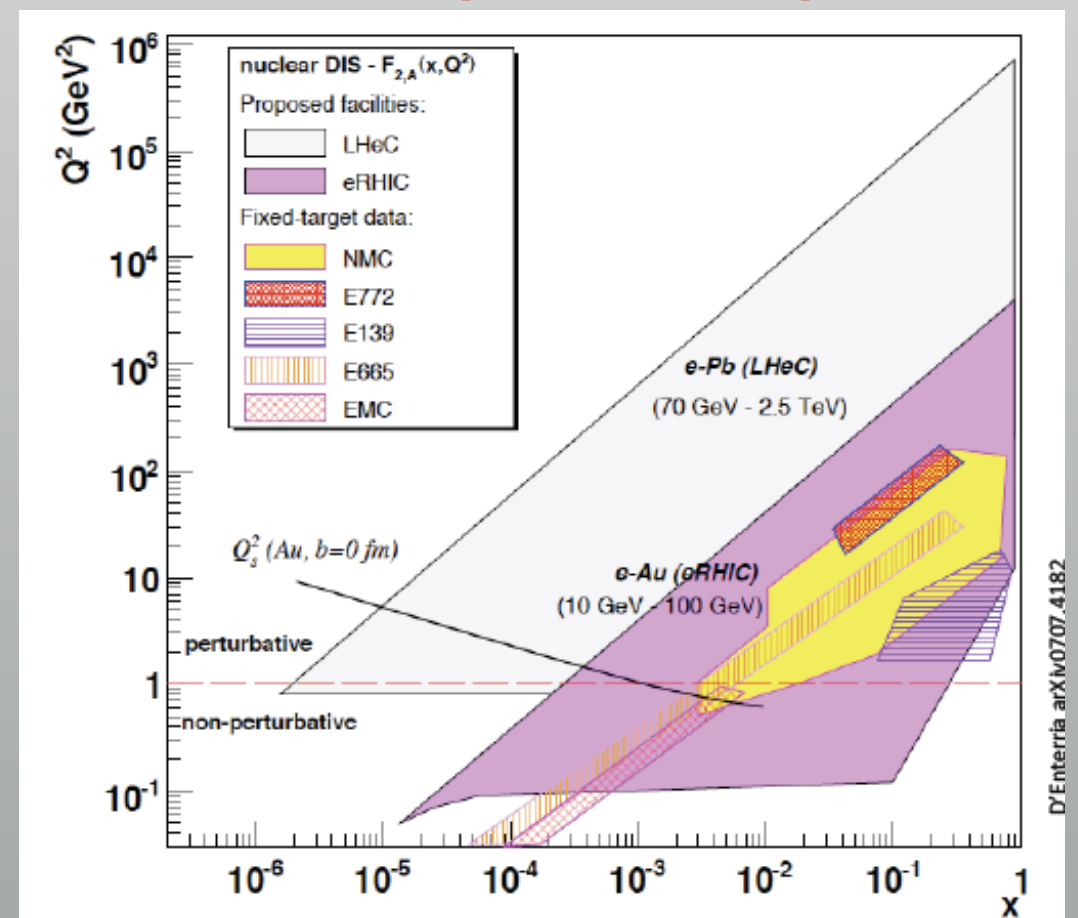


Future fit of jointly ep and eD data will lead to precise unfolding of u-d

Max Klein nPDFs with LHeC 10.9.2015 POETIC a PARIS

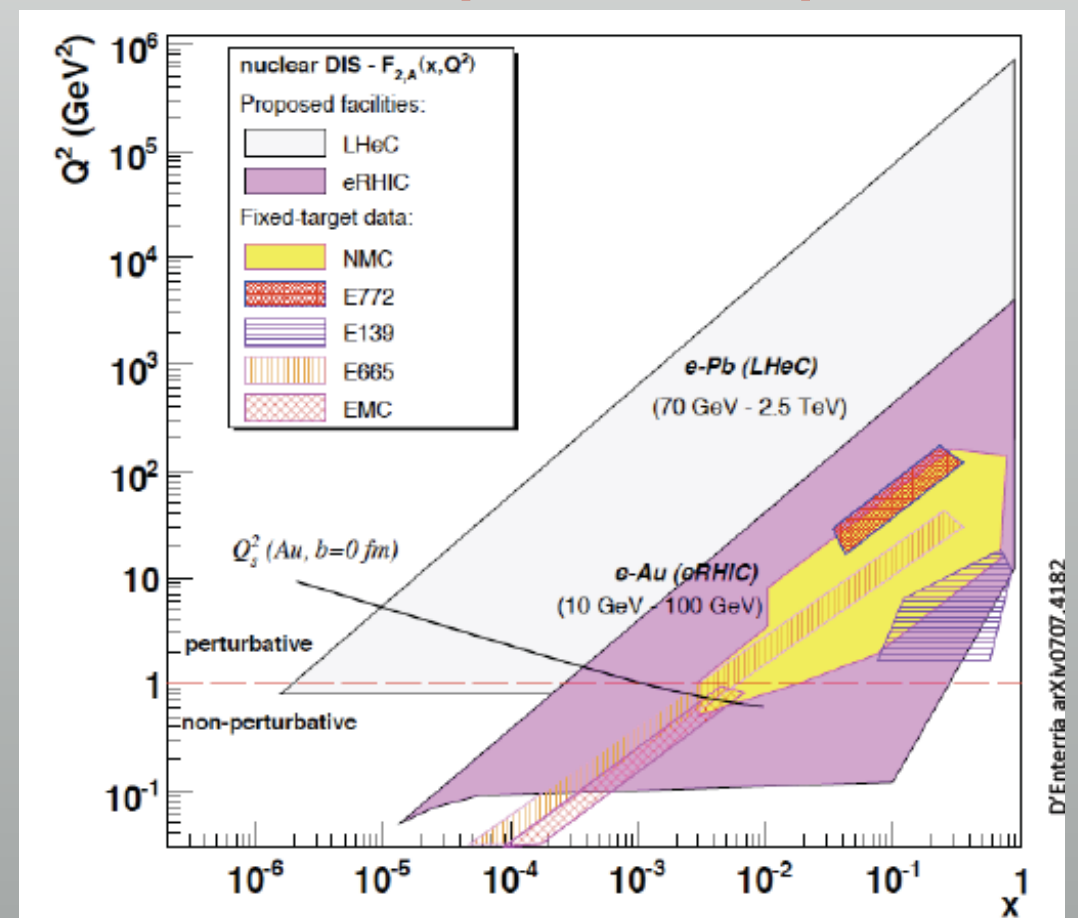
Conclusions:

- **nPDFs are poorly known** considering the needs of the heavy-ion programmes.
- **Hadron colliders** (RHIC, LHC) will provide information, particularly at small x , but DIS is needed:
 - Factorisation to be checked with PDFs extracted from several reactions.
 - Effects beyond fixed order pQCD (resummation, saturation) can be hidden in the PDFs: need of several observables.
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- **To do:**
 - Include NC, CC, s,c,b,t, at all x .
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 - Radiative corrections.



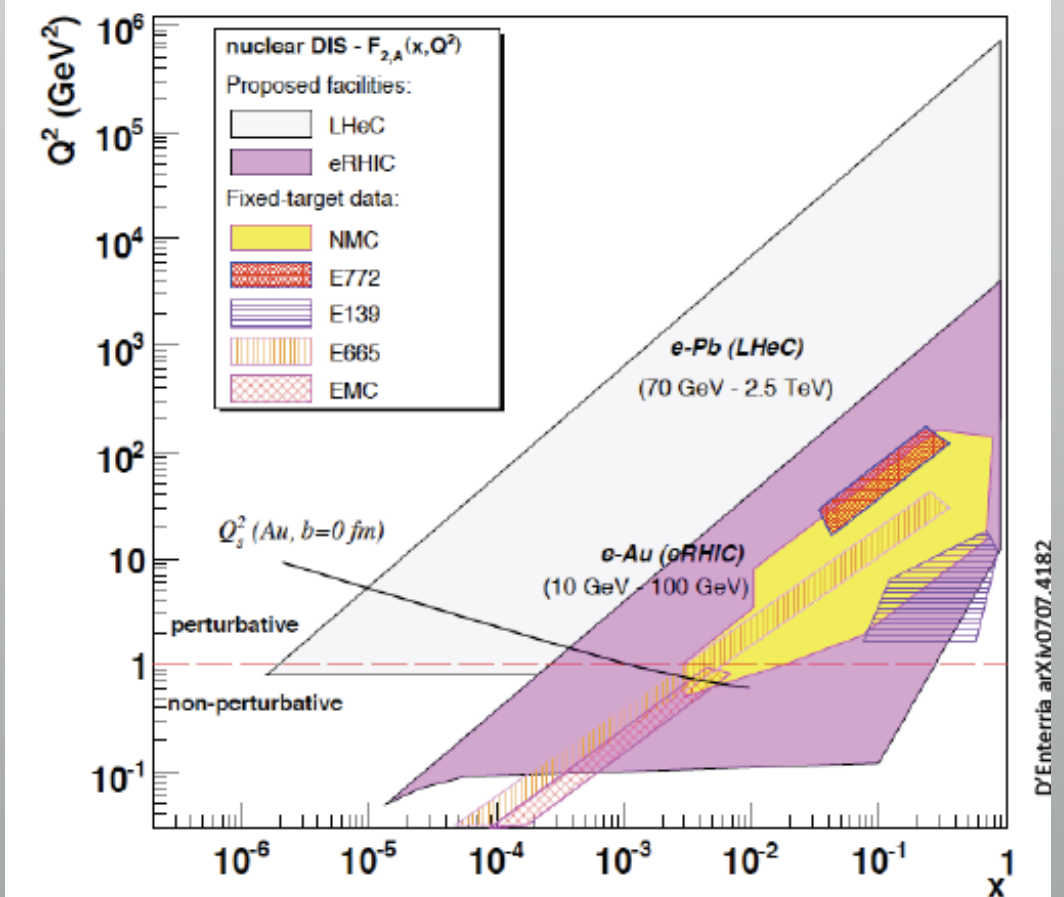
Conclusions:

Many thanks to:

- Elke Aschenauer and Thomas Ullrich for information and material about the most recent EIC fits.
- José Manuel Penín for providing projection plots for HL-LHC.
- John Jowett, Max and Uta Klein, Paul Newman, Hannu Paukkunen, Voica Radescu, Anna Stasto and Pía Zurita for many things.
- The organisers for their invitation to provide this talk.
- You all for your attention.

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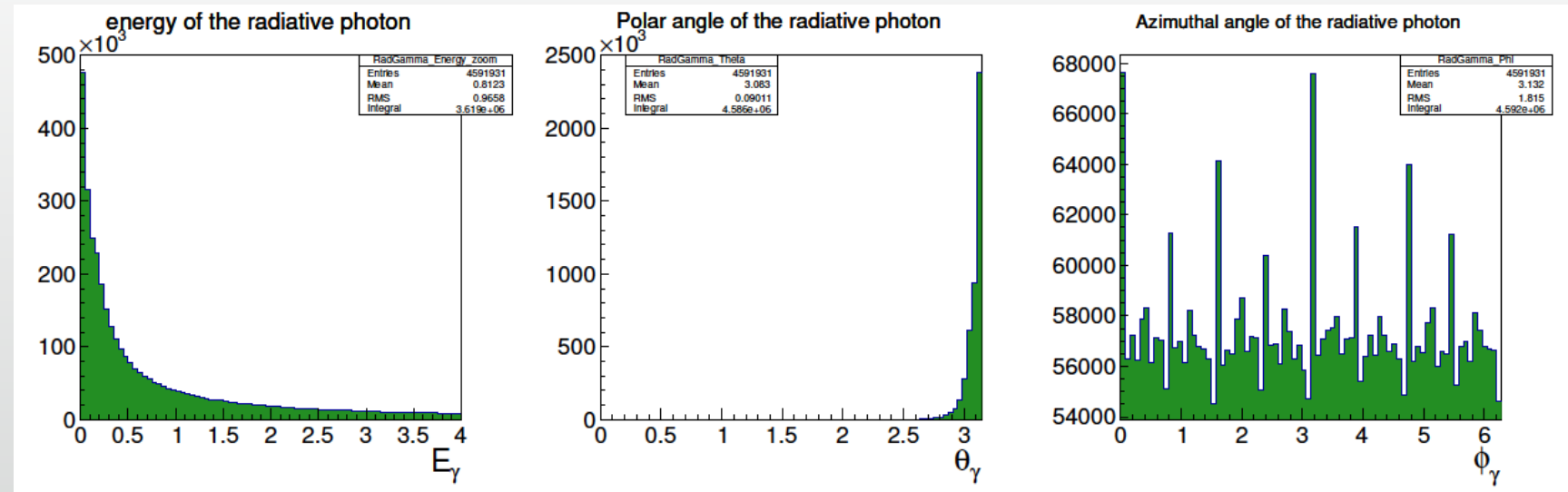


BACKUP:

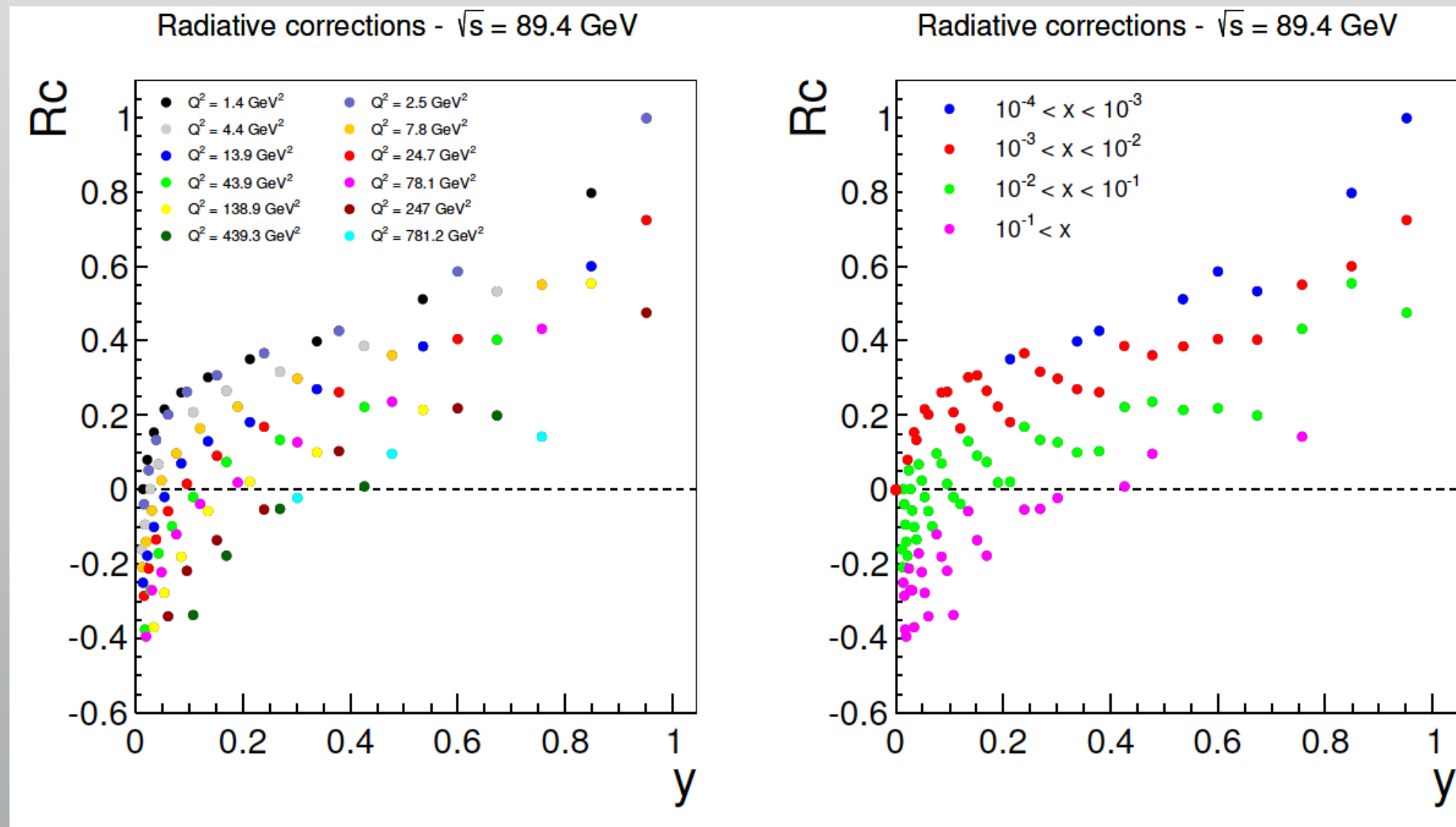
Radiative corrections @ EIC:

$$R_C = \frac{\sigma_{red}(O(\alpha))}{\sigma_{red}(born)} - 1$$

DJANGO



Preliminary!



e(60)+p(7000)/Pb(2750)

Simulation

$\int \mathcal{L} = 10 \text{ fb}^{-1} \text{ (ep)}$

$\int \mathcal{L} = 1 \text{ fb}^{-1}/\text{nucleon (ePb)}$

source of uncertainty	error on the source or cross section
scattered electron energy scale $\Delta E'_e/E'_e$	0.1 %
scattered electron polar angle	0.1 mrad
hadronic energy scale $\Delta E_h/E_h$	0.5 %
calorimeter noise (only $y < 0.01$)	1-3 %
radiative corrections	0.5%
photoproduction background (only $y > 0.5$)	1 %
global efficiency error	0.7 %

Full simulation of NC and CC with correlated systematic errors and optimum kinematic reconstruction method (electron at large y and 'mixed' at low y).

Numerical program, gauged/compared to H1 Monte Carlo simulation.

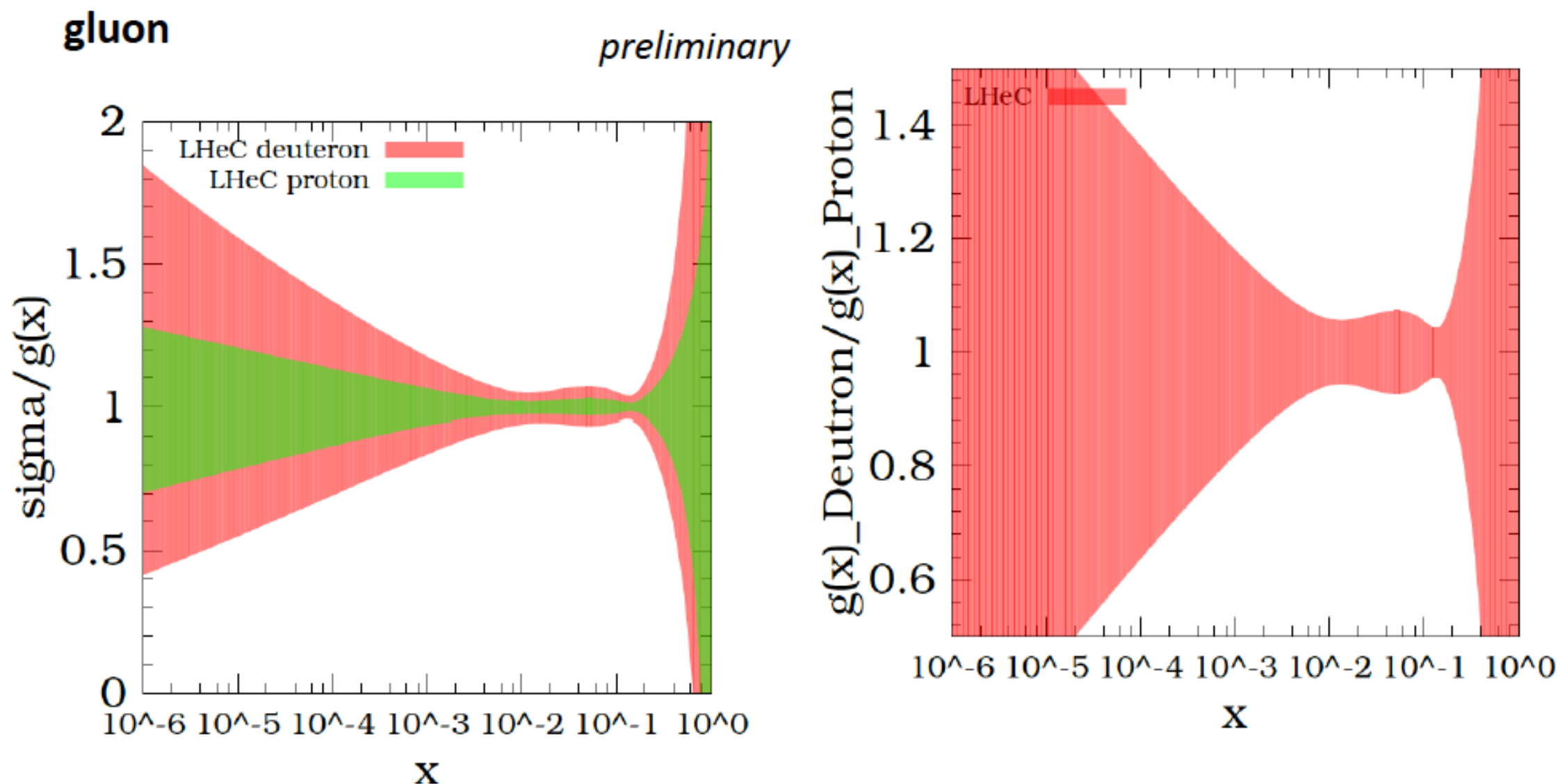
→ **All results have statistical and systematic uncertainty (corr+unc)**

The so-called model uncertainties at the LHeC will be much reduced as it provides precision data (CC for flavours, mc to 3 MeV, extended range, high x with high statistics etc.)

RC in eA is large source of uncertainty, needs photon tagger, still E-pz : 2%

For the simulation of the s,c,b data, background and tag efficiencies are considered

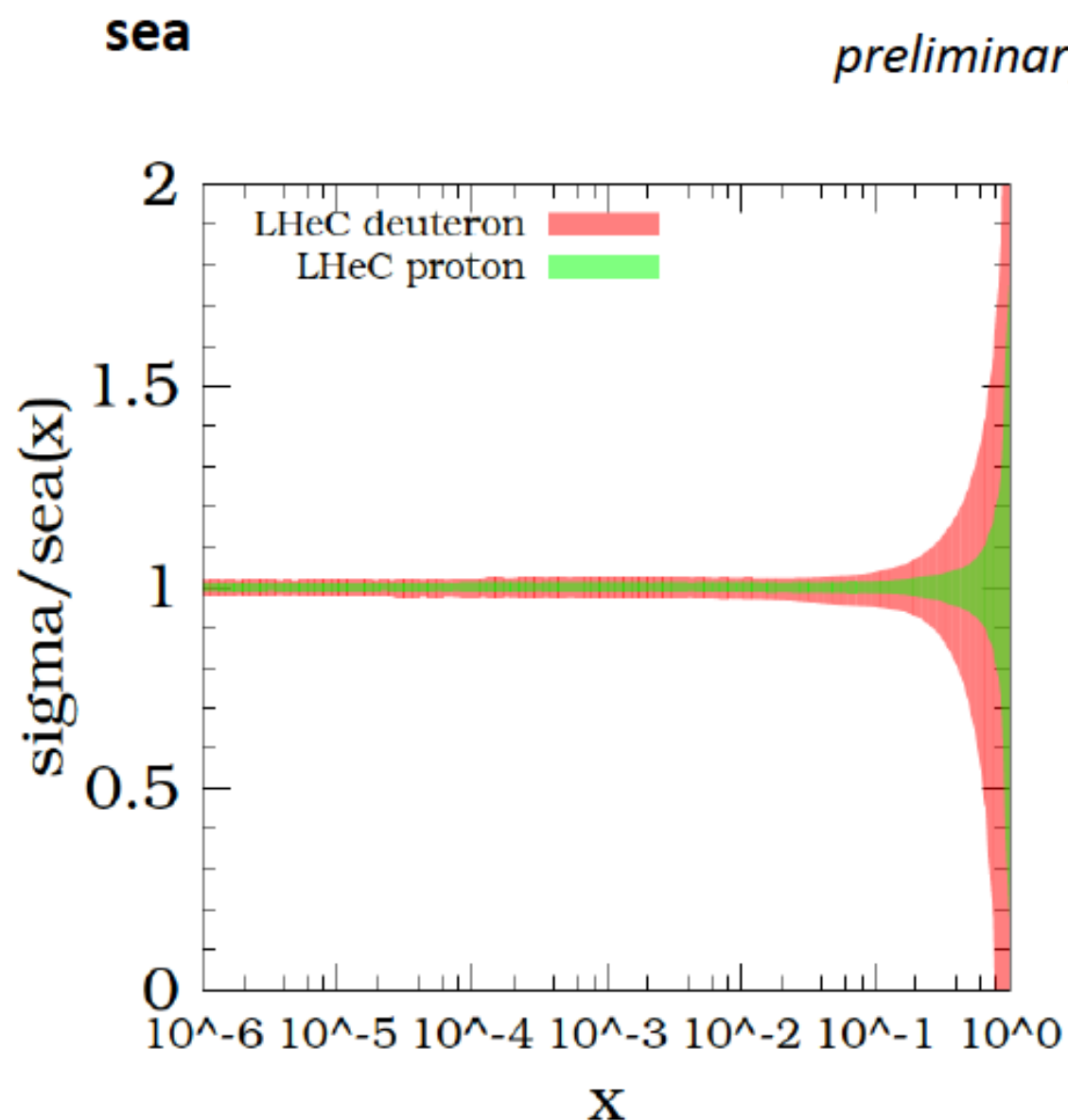
Parton dependent nuclear effects



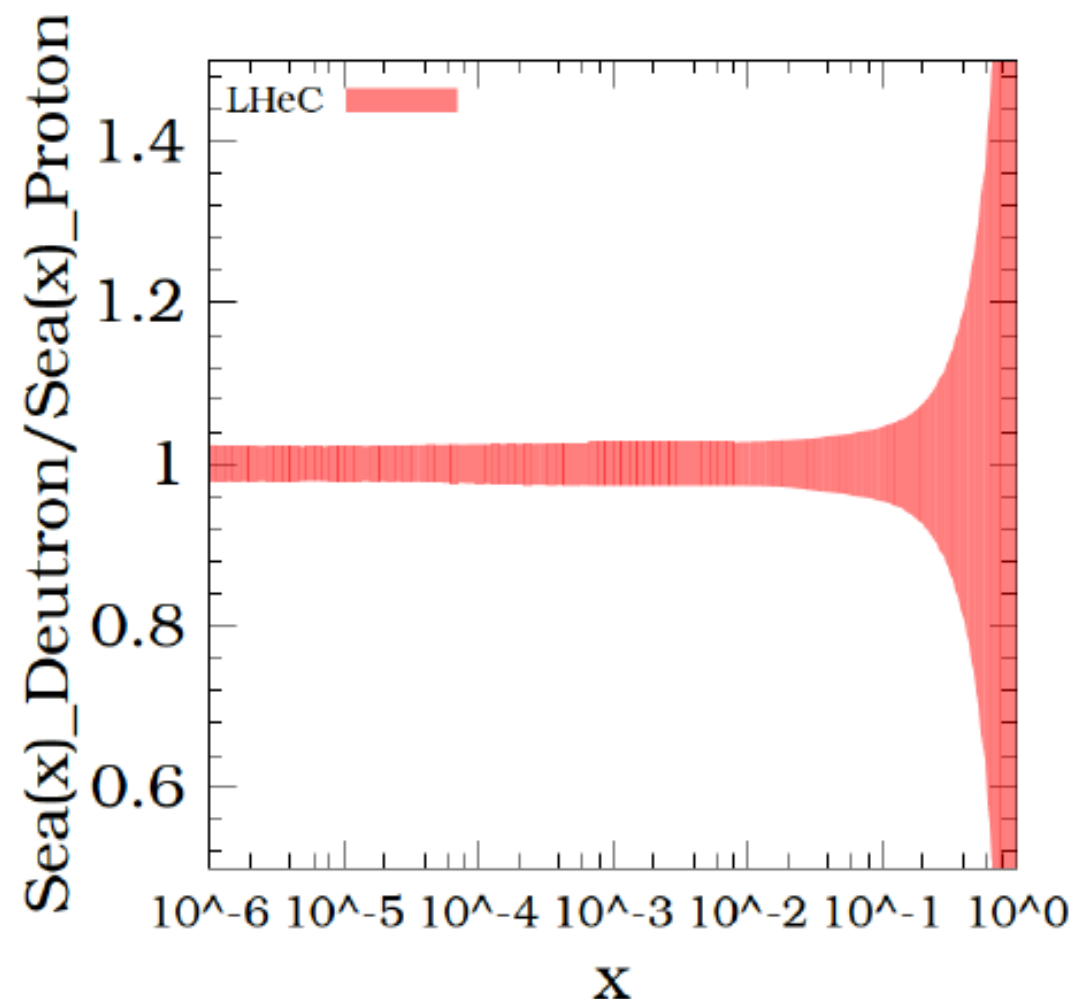
Measure gluon in proton and nucleus
(here used deuteron simulation).

Ratio should provide nuclear correction
and its uncertainty, for each parton fitted

Parton dependent nuclear effects



Measure sea in proton and nucleus (here used deuteron simulation).



Ratio should provide nuclear correction and its uncertainty, for each parton fitted

In progress valence and sea quarks..